1	Organic fraction of municipal solid waste recovery and valorisation by
2	conversion into polyhydroxyalkanoates and biogas
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20 Abstract

The integrated-multistage process proposed herein is a practical example of a biorefinery platform, where the organic fraction of municipal solid waste (OFMSW) is used as value source for polyhydroxyalkanoates (PHA) and biogas production. Technical and economical feasibility of this approach have been demonstrated at pilot-scale providing a possible upgrade to traditional biowaste management practices presently based on anaerobic digestion (AD). A pH-controlled OFMSW fermentation stage produced a liquid VFA-rich stream with high VFA/COD_{SOL} ratio (0.90 COD/COD) that was easily used in following aerobic stages for biomass and PHA production. The solid fraction was valorized into biogas through AD, obtaining energy and avoiding secondary fluxes waste generation. The reliable aerobic biomass enrichment was demonstrated by stable feast-famine regime and supported by microbial community analysis. The selected consortium was able to accumulate PHA up to 55% wt. Compared to the traditional single stage AD process in an urban scenario of 900,000 AE, the integrated approach for OFMSW valorisation is preferable to biogas production only, being characterized by electrical energy production of 85.7 MWh/d and 1.976 t/d as PHA productivity. The proposed process has been also evaluated economically sustainable if PHA is marketed from 0.53 €/Kg, as minimum threshold, to higher market price.

54 Introduction

European food waste production approximately accounts for 90 million tons per year; this amount includes organic waste produced at household level (40%), bio-waste produced by the food service sector (15%) and at retail level (5%).¹ In the whole urban area, the total amount of organic waste includes also biodegradable garden and park waste. The disposal legislation for organic waste is progressively being less connected to landfill as best practises, and particularly in recent years it has become more expensive and restrictive.²

61 The possibility to recover added value products from biowaste could be a strategy for both 62 decreasing cost of disposal and tackling the problems related to the increasing production of organic 63 wastes, by using innovative technologies formally based on the circular economy concept.^{3,4}

64 Even though biowastes have great variability in composition, they are characterized by high 65 moisture content and biodegradability, both favoured by an efficient system of source separate 66 collection. The high biological value of biowastes makes easier their valorisation with biological process; not only via composting or anaerobic digestion⁵ but also with more recent biotechnologies 67 68 that allow producing biopolymer and in particular the family of polyhydroxyalkanoates (PHA).^{6,7} 69 This group of biodegradable thermoplastic polyesters are biologically produced from specific 70 bacteria strains, within their cell walls as carbon/energy source. The current industrial PHA production processes are based on pure cultures cultivation in sterile conditions.⁸ Sterile condition 71 72 causes an increase in production cost (up to $5.0 \notin$ /kg) that consequently renders these polymers not cost-competitive with conventional oil based polymers.⁹ In order to decrease production costs, PHA 73 74 can be produced from renewable organic resources using mixed microbial cultures (MMC) instead of pure cultures.^{10,11} PHA-accumulating organisms can be selected from the waste activated sludge 75 76 coming from the wastewater treatment, always available in the full-scale plants (WWTP), applying 77 transient conditions such as aerobic dynamic feeding process (ADF). The selection of the MMC can 78 be obtained through alternating feeding periods (feast and famine), with fermented feedstock rich in 79 volatile fatty acids (VFA). The VFA are taken up very fast by PHA accumulating bacteria in the

feast phase and can be utilised to gain a competitive advantage during the subsequent famine phase, 80 which are directly converted into PHA.¹² The typical process applied for PHA production from 81 MMC is the so-called three-step process.¹¹ Many studies have been made in several years on PHA 82 production by MMC using different types of waste, comprehensively listed in a recent review.¹¹ 83 84 The interest for biopolymer production from urban waste valorisation is relatively recent, even if they have been recognized as key platform chemical raw material within biorefinery framework.^{13,14} 85 Few studies described different methods for PHA production, particularly from OFMSW sources, 86 such as leachate,¹⁵ percolate¹⁶ and mixture of primary sludge and OFMSW.¹⁷ These studies mainly 87 88 concern laboratory scale tests. Pilot scale trials are unavoidably important to better understand 89 process feasibility also for integration in existing WWTPs, as their further advancement.

90 The pilot scale study herein proposed is an example of an integrated approach to treat and 91 simultaneously valorise the OFMSW (main constituent of urban organic waste) through the 92 production of PHA (via open MMC) and biogas (via anaerobic digestion), without any generation 93 of secondary waste fluxes. The piloting facilities are located in Treviso municipal Wastewater 94 Treatment Plant (WWTP).

95

96 Experimental Section (Materials and Methods)

97 A process schematic of the units and concept at Treviso WWTP is presented in Figure 1. This 98 integrated innovative scheme complies a first unit (Stage I) consisting in a Continuous Stirred Tank 99 Reactor (CSTR) for OFMSW fermentation. The fermented OFMSW is then conveyed to the two 100 following aerobic stages: a Sequencing Batch Reactor (SBR) for biomass selection/enrichment 101 under feast-famine regime (Stage II); and a batch accumulation reactor for PHA production (Stage 102 III). A final anaerobic digestion (CSTR) for residual and/or overflows valorisation is also included. The operation of each unit was automated via National InstrumentTM cRIO device; centrifugation 103 104 and feedstock filtration activities, VFA-rich stream feeding to Stage III were manual operations.

105 The renewable feedstock was the OFMSW coming from door-to-door collection of Treviso 106 municipality. A screw-press was used for feedstock pre-treatment and homogenization; pretreated 107 feedstock characteristics are given in following paragraph.

108 Figure 1.

109

110 Anaerobic system for OFMSW fermentation and anaerobic digestion

111 The pilot scale anaerobic process was characterised by a 200 L acidogenic fermentation CSTR with 112 a hydraulic retention time (HRT) of 3.3 d, and average organic load (OLR) of 20 KgVS/m³ d (20.5 113 kgCOD/m³ d). The downstream solids separation was conducted by means of a coaxial filter bag 114 (5.0 μ m porosity) equipped centrifuge. The liquid fraction was intended to the use in the aerobic 115 PHA line.

The solid fraction of OFMSW fermentation was used as feed for 760 L CSTR anaerobic digester (AD), operated at 3.9 KgVS/m³d or 4.0 kgCOD/m³d as OLR, and 12.7 d as HRT. The AD was inoculated with digestate from Treviso full-scale plant. Fermentation and AD have been conducted at thermophilic condition ($55^{\circ}C \pm 0.1$).

120 The optimal pH value at fermentation stage was controlled by the digestate recirculation from the 121 AD stage. Digestate recirculation, rich in buffer agent, was the strategy adopted. In detail, this 122 approach has been explained by Gottardo et al. (2017).¹⁸

123

124 Aerobic stages for functionalized biomass and PHA production

Stage II consisted in a 140 L working volume. The SBR was fed with different feeding solution: a)
synthetic acetic acid solution, days 1st-49th (start-up); b) pre-treated fermented OFMSW, days 50th127 129th. The synthetic solution consisting of acetic acid, diluted with tap water and anaerobic
digestate for nutrients supply.

129 The SBR was inoculated with thickened activated sewage sludge from Treviso WWTP. A single
130 run was conducted for approximately 4.5 month. HRT has been set at 1.0 d, equal to SRT (being

131 with no biomass settling phase), and cycle length at 6 h.¹⁹ The reactor was aerated by means of 132 linear membrane blowers (Bibus EL-S-250), which operated also as stirring and heating system. 133 The temperature (T) and pH were continuously measured but not controlled. The temperature 134 changed seasonally between 14-18°C in March, and 26-29°C in July. The pH was maintained 135 between 8.0-8.5 in the whole SBR cycle. The applied OLR was initially equal to 3.0 gCOD_{SOL}/L d, 136 and then maintained around 2.5 gCOD_{SOL}/L d by using fermented feedstock.

137 The storage potential of the selected biomass was exploited through fed-batch accumulation tests,138 performed with both synthetic (acetic acid), and pre-treated fermented OFMSW.

The operative conditions of both aerobic reactors have been chosen based on previous experimental
laboratory scale proofs of concept.^{19,20} Dissolved Oxygen and pH were monitored by Hamilton[®]
industrial probes.

142

143 Microbial community analysis in selection/enrichment SBR

144 <u>Fluorescence in Situ Hybridization</u>

Aerobic sludge samples (10 mL) were taken over SBR operation at the end of feast phase and immediately fixed in formaldehyde as previously described.²¹ After fixation, the samples were kept at -20°C to be further analyzed by Fluorescence In situ Hybridization (FISH). Oligonucleotide probes targeting the Bacteria and Archaea domains and the main bacterial phyla were employed following the hybridization conditions reported elsewhere.²² The analysis was performed by epifluorescence microscopy (Olympus, BX51). Images were captured with *Olympus F-View CCD* camera and handled with *Cell F* software (Olympus, Germany).

152 DNA extraction

DNA extraction for NGS analysis was performed on samples collected throughout the SBR operation. In detail, 10 ml sludge were collected and centrifuged at 15,000g for 15 min. Pellet was processed for DNA extraction with Power Soil DNA extraction kit (*MoBio, Italy*) following the

- 156 manufacturer's instructions. Purified DNA from each sample was eluted in 100 µL sterile *Milli-Q*
- 157 water and 10 ng of extracted DNA was used for the following NGS analysis.
- 158 <u>Next Generation Sequencing (NGS)</u>

159 16S rRNA Amplicon Library Preparation (V1-3) was performed as detailed in Matturro et al. (2016).²² 10 ng of extracted DNA was used as template in the PCR reaction (25 µL) containing 160 161 dNTPs (400 nM of each), MgSO4 (1.5 mM), Platinum® Taq DNA polymerase HF (2 mU), 1X 162 Platinum® High Fidelity buffer (Thermo Fisher Scientific, USA) and barcoded library adaptors (400 nM) containing V1-3 primers (27F:5'-AGAGTTTGATCCTGGCTCAG-3'; 534R:5'-163 164 ATTACCGCGGCTGCTGG-3'). All PCR reactions were run in duplicate and pooled afterward. 165 The amplicon libraries were purified using the Agencourt® AMpure XP bead protocol (Beckmann 166 Coulter, USA). Library concentration was measured with Quant-iTTM HS DNA Assay (Thermo 167 Fisher Scientific, USA) and quality validated with a Tapestation 2200, using D1K ScreenTapes 168 (Agilent, USA).

The purified sequencing libraries were pooled in equimolar concentrations and diluted to 4 nM. The samples were paired end sequenced $(2 \times 301 \text{ bp})$ on a MiSeq (Illumina) using a MiSeq Reagent kit v3, 600 cycles (Illumina) following the standard guidelines for preparing and loading samples on the MiSeq. 10% Phix control library was spiked in to overcome low complexity issue often observed with amplicon samples. Data analysis was performed as detailed in Matturro et al. (2016).²²

- 175
- 176 *Analytical methods and calculation*

Suspended solids (total and volatile, TSS and VSS), ammonia and PHA analysis have been
performed as described in Valentino et al. (2014);¹⁹ COD, VFA and phosphate have been quantified
as illustrated by Micolucci et al. (2014).²³

180 Gas production in anaerobic reactors was monitored by two flow meters (Ritter CompanyTM,
181 drum-type wet-test volumetric gas meters). The percentages carbon dioxide was determined by an

infrared gas analyser portable GA2000TM (Geotechnical InstrumentsTM). Hydrogen and methane
 percentage were determined by a gas chromatograph GC Agilent Technology 6890NTM equipped
 with HP-PLOT MOLESIEVETM column and thermal conductivity (TCD) detector.

185 SBR and accumulation stages calculations were made as previously indicated.¹⁹ Detailed
186 explanations are also given in Supporting Information.

For energy balance, analytical data of the present pproposed study process (for proposed system) aand for of single stage anaerobic digestion process have been used to compare energy yields of the proposed platform with a classical single stage anaerobic digestion process. The parameters used to perform the final balance are those recently illustrated by Micolucci et al. (2018) and adapted to a scaled up version of both processes.²⁴ More technical details about parameters and boundary conditions are given in Supplementary Information.

193

194 Results and Discussion

195 Characteristics of the OFMSW and the effluent obtained after controlled fermentation

196 The OFMSW was given on a weekly basis by Treviso Municipality (Italy) from the separate 197 collection. Before biological treatments, the OFMSW was pre-treated with the aim to remove inert 198 materials, as plastic and metal. As for the general chemic-physical characteristics, pre-treated 199 OFMSW showed an average dry matter content of 28%, of which 90% volatile solids. The COD 200 values were typically greater than 900 gCOD/kgTS. The content of nitrogen and phosphorus in 201 pre-treated OFMSW was of 27 gN/kgTS and 4 gP/kgTS on average respectively. As for the 202 nutrients, this substrate showed a COD:N:P ratio of 100:2.9:0.7 (on average), not particularly rich 203 in nutrients but potentially usable for the following aerobic stages. In addition, the alkalinity of fermented feedstock (around 900 mg CaCO₃/L, pH 5.0) had also excluded the necessity of NaOH 204 205 addition in the medium, eventually necessary to avoid fast pH decrease (inhibiting for the biomass) 206 during SBR feeding time.

207 By controlled fermentation process it was possible to obtain a liquid stream corresponding to 3.85 208 kgCOD/d of which 1.05 kgCOD/d in the soluble fraction and 2.81 kg COD/d in the particulate 209 fraction. Therefore, it can be deduced that through a stable pH control of the fermentation there was 210 a high VFAs production by microorganisms. The 90% of COD_{SOL} product was represented by VFA 211 equal to 16.0 ± 0.4 gCOD/L (on average), and mainly consisting of butyric (38.0% COD basis), 212 acetic (21.5%), propionic (12.7%), valeric (11.6%) and caproic (10.0%) acids (Figure 2). Lower 213 levels of isobutyric (3.8%), isovaleric (1.6%) and isocaproic (0.7%) acids were also detected. 214 Figure 2.

215

216 Anaerobic digestion stage.

The fermented product was subjected to a solid/liquid separation process by centrifuge. The filtered mass flow was sent to a PHA production unit and the solid mass flow fraction ("cake") was sent to the anaerobic digestion stage.

As for the general chemical-physical characteristics, the cake showed an average dry matter content of 20% and a VS/TS ratio of 88%, with a COD:N ratio of 37. Therefore, regarding to nutrient content, this kind of substrate did not show any limitations. This assumption was demonstrated by ammonia concentration value of digestate, which was less than 900 mg NH₄⁺-N/L, abundantly lower than inhibition value for the methanogenic activity.²³ The stability of the process was also proved from the VFA/partial alkalinity ratio, which was less than 0.3 for the overall period of experimentation (around 120 days).²⁴

The average specific biogas production (SGP) was $0.71 \text{ Nm}^3/\text{KgVS}$ fed with a composition of 65% v/v and 35% v/v of methane and carbon dioxide respectively. Considering the overall process (fermentation and AD stages), the composition of biogas was 53% methane 44% carbon dioxide and 3% H₂, as volume based percentage.

231

232 Biomass selection/enrichment in SBR (Stage II)

233 The start-up of the aerobic stages of the platform has been made in more easily controlled 234 conditions, by using acetate synthetic feeding solution. Thus, the applied OLR was stable and 235 initially set to 3.0 gCOD/L d. Since the system was not equipped with temperature (T) control, at 236 the start-up, the SBR temperature was slightly higher than 10°C (end winter) achieving almost 30°C 237 in the last part of experimentation (midsummer). This difference strongly affected the process of 238 culture adaptation to the newly imposed feast-famine conditions. More than 40 STRs were needed 239 to achieve a feast/cycle length ratio below 0.2 h/h, necessary condition for a stable feast-famine 240 regime with a satisfying biomass selective pressure.^{11,12} Most of the studies approaching to the 241 MMC selection with the same process configuration were performed under T-control at 25°C; these examples reported 10-15 SRTs maximum, 19,25,26 as window time to achieve a stable storage 242 243 response, also in agreement with a change in microbial community and stabilization of one major PHA-storing phylotype.¹⁹ 244

The length of the feast phase stabilized after about 50 SRTs (or days of operation) exhibiting values consistently lower than 0.2 h/h (with respect to the overall aerobic cycle length), as temperature started to increase from around 20°C above (Figure 3A). The temperature values, reported as daily average values, progressively increased until the end of operation, generating an increasing selective pressure over the biomass as demonstrated by the decreasing feast/cycle length ratio, often below 0.1 h/h in the last 50 days of operation.

251 Before a reasonable feast-famine pressure was reached, strong fluctuations were observed for both feast/cycle length ratio and PHA concentration (Figure 3B), with values abundantly higher than 252 253 average trends, which also positively correlated each other. Indeed, feast phase increased (i.e. 254 COD_{SOL} uptake rate decreased) as PHA concentration in the medium increased. When feast phase 255 started to be consistently short, PHA concentration profiles displayed net and constant differences 256 between the end of feast and the end of the cycle, as an indication of a stable storage response. This 257 evidence was already identified in previous lab-scale SBR experiments, and usually discussed based on PHA concentration^{26,27} or storage yield trends:²⁸ in the acclimation process, the biomass fits 258

faster to storing PHA than to consume it, bringing a remarkable increase of PHA cell content. The biomass storage capacity is consequently saturated, but recovered when PHA concentration decreases, and stabilized if process conditions remains unchanged. Similar biomass behaviour was observed in this pilot scale approach, but with longer adaptation period, probably affected by the relatively low temperature in the start-up.

264 **Figure 3**.

The feed shift from acetic acid to fermented OFMSW caused another fluctuation in the biomass storage response (after day 49th). In this case the feast/cycle length ratio increased up to 0.4 h/h; however, the functional feast-famine regime was rapidly re-established since the biomass was already largely acclimated to the process condition and the applied OLR was slightly decreased to 2.5 gCOD_{SOL}/L d with respect to the use of synthetic feed. Indeed, the process conditions remained substantially unchanged, except for medium temperature, whose progressive increase (up to 29.5°C) positively affected the biomass selective pressure.

272 Table 1 summarizes the main parameters that have been monitored and quantified in SBR, in both 273 periods where acetic and fermented feedstock were used as substrate. In the second period (day 274 50st-129th), the length of the feast phase was much shorter with respect to the first period and abundantly lower than the largely recognized threshold value of 0.20 h/h,¹¹ indicating the 275 276 establishment of the 'feast-famine' conditions, required to enrich the culture in PHA-storing 277 microorganisms. The quantification of biomass storage properties, in terms of yields (Y_{P/S}^{feast}, Y_{P/VFA}^{feast}) and rate (qP^{feast}), confirmed the efficiency of selective pressure over the biomass when 278 279 SBR was fed with fermented OFMSW. These parameters were comparable with previous investigations applying the same three-step based technology.^{29,30} Conversely, very lower values 280 were obtained by using acetate feeding, being selection/enrichment performance primary affected 281 282 by temperature.

283 **Table 1.**

285 Fed-batch PHA accumulation reactor (Stage III)

Preliminary accumulations have been conducted in order to exploit the storage potential of selected consortium by using acetate synthetic feeding without nutrient addition. Then, more tests have been carried out with fermented OFMSW assessing the biomass PHA production capacity and process productivity. All the fed-batch tests were performed after the 50th days of SBR operation, when the imposed selective pressure was stable and high enough to ensure a satisfying PHA accumulation performance.

Acetate accumulations led to 0.37-0.42 gPHA/gVSS as PHA content, consistent with results previously reported by using synthetic acetate (0.12-0.76 gPHA/gVSS)^{31,32} or VFA mixture solution (0.14-0.51 gPHA/gVSS).¹⁹ The accumulation capacity of the biomass was better expressed with fermented OFMSW, being PHA content in the range 0.39-0.52 gPHA/gVSS. However, PHA saturation levels in the biomass was not achieved, even though storage response was prevailing mechanism of substrate removal alongside biomass growth.

298 These performances were in line with many examples demonstrating the three-step process feasibility by using renewable fermented feedstock.¹¹ In particular, recent investigations 299 300 approaching the use of fermented OFMSW or similar sources reported a wide range of different 301 MMC-PHA accumulation capacities. Amulya and co-workers achieved a maximum level of 0.24 gPHA/gVSS with fermented oil free filtered food waste.33 The use of percolate was more 302 303 comparable with the present investigation; the PHA biomass content was in the range 0.40-0.48 gPHA/gVSS.¹⁶ Korkakaki and co-workers (2016)¹⁵ achieved even better performances by using 304 305 pre-treated leachate (close to 0.80 gPHA/gVSS), even though the biomass selection step was 306 performed with a solution largely made up of synthetic VFA (75%-90% volume based).

Although with some variability due to fermentation performance and/or maximum VFA content achieved, fed-batch accumulations indicated that fermented OFMSW triggered higher accumulation rates and productivities than those with acetate with no nitrogen and phosphorus addition (Table 2).

310 Table 2.

311 Such differences in accumulation response to different levels of nutrients have been widely 312 investigated and even the presence of nutrients at certain levels were associated with increased polymer productivities due to concurrent PHA storage and active biomass growth.^{11,34} Indeed, PHA 313 storage was contingent upon nutrient level as well as growth response. Higher biomass growth 314 response and yields ($Y_{X/S}^{batch} = 0.19-0.28 \text{ COD}_{Xa}/\text{COD}_{SOL}$) were obtained with fermented OFMSW 315 316 with respect to strongly growth-limiting nutrient levels of acetate solution ($Y_{X/S}^{batch} = 0.12-0.15$ COD_{Xa}/COD_{SOL}). PHA storage response was greater in acetate accumulations and higher in terms 317 318 of yield $(Y_{P/S}^{batch} = 0.61 - 0.64 \text{ COD}_{PHA}/\text{COD}_{SOL})$; however, the lack of nutrient availability strongly 319 limited the PHA production to large extent, since PHA storage was poorly supported by new storing 320 active biomass growth. For this reason, the PHA productivities were doubled or even tripled when 321 using fermented OFMSW (0.28-0.49 vs 0.16-0.18 gPHA/L h with OFMSW and acetate 322 respectively) as a result of a not negligible growth response and faster kinetics for both substrate 323 uptake and storage specific rates (Table 2). In these cases, it is reasonable to suppose that a 324 sustained PHA content (apparently not at saturation level as shown in Supporting Information; 325 Figure S1) alongside growth of PHA-storing biomass increased PHA productivities.

326

327 PHA-accumulating microbial community in SBR

The microbial composition and structure of the communities selected in SBR were estimated by using *in situ* detection methods for cell-based quantification and high-throughput sequencing. Overall, bacteria were the main microbial component accounting for 50-80% of the total biomass (Supporting Information; Figure S2). FISH analysis revealed a marginal presence of archaeal cells, approximately 4% with acetate feeding (days 28, 50) and 10% of total population with fermented OFMSW (days 62, 82, 91 and 108).

The analysis with bacterial phylum specific probes revealed the dominance of *betaproteobacteria* during acetate feeding: 70% of total bacteria (day 28; Supporting Information, Figure S3) and was almost constituted by members of *Azoarcus/Thauera* group (Supporting Information; Figure S4). From the beginning of SBR operation, a six-fold increase of the *Azoarcus/Thauera* relative abundance was observed indicating members of this group as the main PHA-accumulating microorganisms in the SBR with acetate as the sole carbon source. The latter finding is in line with previous experiences that showed the dominance of *Thauera* and *Azoarcus* species in the PHA accumulation under ADF conditions with acetate as feedstock.^{28,35,36,37,38}

Temporal fluctuations of the microbial population were observed during the operation with 342 343 fermented OFMSW (Supporting Information; Figure S5). Members of Proteobacteria and 344 Cythophaga/Flexibacter/Bacteroidetes represented the main components at day 91 whereas a 345 marked shift towards the dominance of Betaproteobacteria was found at day 108 along with the 346 increase of temperature most likely driving the observed changes of the PHA accumulating SBR 347 biomass. Diversely from start-up phase with synthetic feeding, Thauera/Azoarcus group 348 represented only a portion of total Betaproteobacteria (Supporting Information; Figure S3) and 349 gradually decreased until reaching the lowest value (~12% of total *Betaprotebacteria*) at day 108. 350 High-throughput sequencing showed the occurrence of known PHA-accumulating microorganisms 351 including Acidovorax spp. and Hydrogenophaga spp., the latter representing 52-79% of the OTUs 352 affiliated to Betaproteobacteria (Supporting Information, Figure S5-A). Additionally, genera 353 Amaricoccus spp., Meganema spp. Rhizobium spp. and Rhodobacter spp. were found (Supporting 354 Information, Figure S5-B) as well as a variety of other Alphaproteobacteria occurring at very low 355 relative abundance.

Some of the taxa found to dominate with fermented OFMSW, such as *Acidovorax* and *Hydrogenophaga*, were previously found prevailing in MMC fed with synthetic soluble fraction of municipal wastewater³⁹ under ADF conditions or with fermented waste activated sludge under aerobic/anaerobic operating conditions.⁴⁰ Both genera, commonly found in activated sludge, are aerobic even though some species are capable of heterotrophic denitrification of nitrate. In addition to chemoorganotrophic metabolism, some strains of *Hydrogenophaga* are chemolithoautotrophic, using the oxidation of H₂ as an energy source and CO₂ as a carbon source.

363 To date, only very little is known regarding the key-microbes catalyzing the PHA storage from 364 OFMSW and most of the indications were obtained by adopting low-resolution monitoring tools 365 such as DGGE without providing any quantitative data as, instead, has been performed in this 366 study. In particular, Brachymonas denitrificans, Corynebacterium, Xanthobacter and Azorhizobium 367 were found with raw or pre-treated leachate obtained from OFMSW with VFA mainly composed by acetate, propionate and butyrate.¹⁵ The influence of aerobic and anoxic conditions on PHA 368 369 production was evaluated in SBRs treating un-fermented and fermented food waste.⁴¹ DGGE 370 analysis was performed only on the biomass selected in SBR treating un-fermented food waste 371 under anoxic conditions and revealed the occurrence of genera belonging to gammaproteobacteria 372 (e.g. Pseudomonas, Aeromonas and Acinetobacter) followed by members of betaproteobacteria, 373 epsilonproteobacteria, Bacteroidia and Firmicutes.

374

375 Mass and energy balance assessment of the integrated platform

Data analysis of each separated pilot units have been transferred to a single industrial scheme, ideally identified in an urban scenario of 900,000 PE with a specific OFMSW production of 0.3 kg/PE d.²⁴ As consequence, considering a recovery of 75% TS from pre-treatment screw-press stage and a dry matter content of 28%, the amount of OFMSW to be treated is 60,143 kgTS/d which corresponding to 53,865 kgTVS/d. The mass balance discussed in this paragraph is illustrated in detail in Figure 4.

Figure 4.

The pre-treated OFMSW stream conveyed to the industrial plant for PHA and biogas production needs to be abundantly diluted with tap water in order to reduce TS level to 7% w/w and to maintain the applied OLR in the fermenter around 20 kgVS/m³ d. The gaseous effluent flow rate out of acidogenic fermenter is 8,080 Nm³/d, as product of SGP value (0.15 Nm³/kgTVS). As expected, it is mainly composed by CO₂ (75% v/v or 6,060 Nm³ CO₂/d), and in minor part by H₂ 388 (15%, 1,212 Nm³ H₂/d) and CH₄ (10%, 808 Nm³ CH₄/d), corresponding to 10,479 kgTVS/d in the 389 effluent gas phase, almost 20% of TVS influent amount (53,865 kgTVS/d).

The solid/liquid separation unit allows recovering more than 70% of the volumetric OFMSW liquid flow rate: 574,839 Kg/d of fermented stream with a TS content around or even below 0.5% w/w and VFA/COD_{SOL} ratio of 0.90 can be used for both aerobic PHA production steps. On the other hand, the more concentrated TS stream (cake) can be further valorised into biogas, once diluted before feeding in anaerobic digestion unit.

395 Regarding PHA production line, the liquid fermented OFMSW needs to be split in two secondary 396 fluxes, properly quantified based on OLR applied on both SBR and accumulation reactors. The 397 SBR step accounts for 49% of influent carbon source (281,671 kg/d) and 100% of dilution water consumption, as largely proven in this PHA process configuration.¹¹ Dilution water is included 398 399 because SBR has been modelled at 3.0 kgCOD_{SOL}/m³ d as OLR (similar to this study), lower than 400 40 kgCOD_{SOL}/m³ d, which is the current OLR applied in PHA accumulation reactor. Accordingly, the volume of selection and accumulation reactors is 1,690 m³ and 136 m³ respectively. The storage 401 402 yield for both aerobic stages is 0.35 CODPHA/CODSOL, based on consumed kgCODSOL/d for 403 biomass production (in SBR) and PHA synthesis (accumulation). The modelled multi-stage process has a production potential of 1,976 kgPHA/d; this means an overall polymer productivity of 1.08 404 kgPHA/m³ d. 405

For overflows valorisation, the diluted cake previously discharged out of PHA line represents the feed for anaerobic digestion. Based on 0.69 $Nm^3/kgTVS$ as digester SGP, the anaerobic digestion process produces around 28,410 Nm^3/d of biogas, mainly composed by CH₄ (65% v/v or 18,467 Nm^3CH_4/d) and then CO₂ (35% v/v, 9,944 Nm^3CO_2/d).

The energy balance comparison between the proposed process and the classical single stage anaerobic digestion process (CSSP) was carried out using the same full-scale scenario of mass balance (900,000 PE basin). All the thermal and electrical energy items are summarized in Table 3 (reference parameters and boundary conditions are given in Supporting Information; Table S1). The 414 aim of this comparison is to evaluate the different energetic yields between the two scenarios and 415 estimate the minimum economic value of PHA produced to cover the economic income from the 416 amount of biogas that would has been produced in a single stage anaerobic digestion platform. The 417 specific SGP of CSSP, 0.75 Nm³/kgTVS, was determined from Micolucci et al. (2018).²⁴

418 **Table 3.**

419 Considering the thermal yield of the Combined Heat & Power unit (CHP) of 0.5,²⁴ the thermal 420 energy produced is approximately 464,828 MJ/d and 419,854 MJ/d from the CSSP and the 421 proposed process respectively. In both scenarios, the thermal balance is closed positively because 422 the estimate thermal energy request is roughly 40% (185,774 MJ/d) and 82% (342,314 MJ/d) of the 423 thermal energy produced for the CSSP single stage and the proposed multi-stage process 424 respectively.

425 Regarding the economic income from the electrical energy produced, a production of approximately 426 103.4 MWh/d for the CSSP and 93.4 MWh/d for the proposed process has been estimated. By 427 considering the overestimated electrical energy consumption for the oxygenation in the two aerobic 428 steps (7.7 MWh/d), the net production is 85.7 MWh/d (Table 3). The electrical CHP yield of 0.4 has 429 been considered for the thermal energy balance as well.²⁴ Assuming 130 €/MWh (no incentives) and 100 €/t for the disposal cost of the digestate (25% TS),²⁴ a gap of approximately 378,193 €/y 430 431 exists between the CSSP and the proposed process. However, this gap can be easily covered 432 because 1.976 t/d of produced PHA (as indicated in mass balance) has to be marketed at the low 433 economic value of 0.53 €/Kg, as minimum threshold. A higher but still reasonable market price 434 making it easier to overcome the economic income from that part of the biogas not produced in the 435 platform with respect to CSSP, giving a practical evidence of the economical sustainability (in 436 addition to the demonstrated technical one) of proposed multi-stage process.

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647 Figure Ca	aptions
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648 Figure 1. Schematic overview of the pilot scale multi-steps process for PHA and biogas production

649 from the OFMSW

- 650 Figure 2. VFA evolution in the fermenter CSTR (Stage I)
- Figure 3. Feast phase/cycle length ratio and temperature monitored in SBR (A); PHA concentration
- at the end of feast and at the end of cycle (B) (Stage II)
- Figure 4. Mass balance of the proposed multi-stage process currently developed at pilot-scale
- **Table Captions**
- Table 1. Main parameters monitored in SBR, in the two representative periods with different feed
- 657 solutions
- Table 2. Summary of PHA accumulation fed-batch tests performed with synthetic acetate solution
- and fermented OFMSW
- 660 Table 3. Assessment of energy balance of proposed integrated platform in comparison with the
- 661 traditional anaerobic digestion process





695 Figure 3.



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712 aCoaxial filter-bag equipped centrifuged (solid/liquid separation unit)
 713 bCake

737	Table 1.

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Parameter		Unit	Synthetic acetate solution (days 1 st -49 th)	Pre-treated fermented OFMSW (days 50 st -129 th)	
VSS (end of feas	t)	mg/L	1227 ± 144	1405 ± 132	
PHA (end of feas	st)	mg/L	119 ± 55	200 ± 19	
PHA (end of cyc	le)	mg/L	55 ± 23	69 ± 8	
HB:HV content	(end of feast)	Mass (g) %	100:0	$88\pm1{:}12\pm1$	
Feast phase/cycl	e length ratio	h/h	0.42 ± 0.07	0.13 ± 0.02	
Substrate uptake (-qS ^{feast})	e rate	mgCOD _{SOL} /gCOD _{Xa} /h	320 ± 32	578 ± 77	
Storage rate (qP ^{feast})		mgCOD _{PHA} /gCOD _{Xa} /h	46 ± 22	225 ± 33	
Storage yield	(Y _{P/S} ^{feast})	COD_{PHA}/COD_{SOL}	0.16 ± 0.09	0.36 ± 0.04	
	(YP/VFA ^{feast})	COD _{PHA} /COD _{VFA}	0.10 ± 0.08	0.41 ± 0.05	
Observed yield	(Yobs ^{SBR})	COD _{VSS} /COD _{SOL}	0.40 ± 0.03	0.59 ± 0.05	
Temperature range		°C	10.5 - 22.5	20 - 29.5	
рН			7.2-8.2	7.8-8.4	
Applied OLR		gCOD _{SOL} /L d	2.0	2.7 ± 0.2	
		gCOD _{VFA} /L d	5.0	2.3 ± 0.2	

Table 2.

Substrate			Accumulation						
Number		Unit	Acetate		OFMSW				
Parameter			1	2	1	2	3	4	5
COD:N:P ratio		Mass basis	100:0:0	100:0:0	100:2.6:0.6	100:3.0:0.7	100:2.6:0.6	100:2.8:0.5	100:2.5:0.5
Temperature		°C	22.5	22-24	24-26	23.5-26	24-26.5	25-27	25-27
pН			7.8-8.5	8.0-8.8	8.0-8.8	7.4-8.7	7.5-8.9	7.4-9.0	7.5-9.0
Feast phase len (SBR cycle)*	gth	h/h	0.13	0.16	0.08	0.11	0.13	0.09	0.11
Accumulation t	time	h	6	6	4.3	5	6	5.3	6
PHA content		g/gVSS	0.42	0.37	0.52	0.45	0.47	0.39	0.44
Storage yield	Y _{P/S} ^{batch} Y _{P/VFA} ^{batch}	CODPHA/CODSOL CODPHA/CODVFA	0.64	0.61	0.45 0.57	0.39 0.49	0.46 0.55	0.40 0.43	0.47 0.50
Growth yield	Y _{X/S} ^{batch} Y _{X/VFA} ^{batch}	COD _{Xa} /COD _{SOL} COD _{Xa} /COD _{VFA}	0.07	0.09	0.18 0.20	0.21 0.24	0.21 0.25	0.24 0.28	0.17 0.19
Storage rate (qP ^{batch})		COD _{PHA} /COD _{Xa} /h	264	232	436	388	255	294	367
Substrate uptal (-qS ^{batch})	ke rate	COD _{SOL} /COD _{Xa} /h	404	389	746	702	520	501	662
PHA productiv	rity	gPHA/L h	0.18	0.16	0.49	0.40	0.28	0.31	0.36
HB:HV content	t	Mass%	100:0	100:0	92:8	88:12	87:13	93:7	92:8

42 * corresponding to the SBR cycle in which the biomass was sample

746 Table 3.

Process		Energy and process units		Unit	
			Heating Water	173,989 MJ/d	
CSST	Thermal Energy	Digester	Thermal Dissipation	10,491 MJ/d	
	Required	_	Total	184,481 MJ/d	
	I	Energy produced from CHP		464,828 MJ/d	
	Energy produ			103.4 MWh/d	
		Fermentation	Heating Water	135,358 MJ/d	
		Reactor	Thermal Dispersion	3,282 MJ/d	
	Thermal Energy	Aerobic Reactors (PHA line)	Heating Water	58,926 MJ/d	
Integrated	Required		Heating Water	135,358 MJ/d	
multi-stage		Digester	Thermal Dispersion	8,000 MJ/d	
process		Whole platform	Total	340,924 MJ/d	
		1.0 0175	Thermal	438,804 MJ/d	
	Energy Produ	ced from CHP	Electrical	97.6 MWh/d	
	Energy consumed	for aerobic reactors	Electrical	7.7 MWh/d	

772 Abstract Art





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778 Synopsis

779 Recovering bio-based products from the conversion of bio-waste organic fraction allows solving

780 open issues about bio-waste treatment and disposal.

1	Supporting Information
2	

 conversion into polyhydroxyalkanoates and biogas Francesco Valentino^{a*}, Marco Gottardo^b, Federico Micolucci^c, Simona Rossetti^d, Paolo Pavan^b, David Bolzonella^b, Mauro Majone^a ⁸ ⁹ ^aDepartment of Chemistry, "La Sapienza" University of Rome, P.le Aldo Moro 5, 00185 Rome, Italy ¹⁰ Italy ¹¹ ^bDepartment of Environmental Science, Informatics and Statistics, Via Torino 155, 30170 Venezia ¹² Mestre, Italy ¹³ ^cDepartment of Biotechnology, University of Verona, Strada Le Grazie 15, 37134 Verona, Italy ¹⁴ ^dWater Research Institute – National Research Council, Via Salaria km 29, 300, 00015 ¹⁵ Monterotondo (RM) Italy ¹⁶ *corresponding author: francesco valentino@uniromal it
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34 Methods

35 Analytical method for PHA monomeric composition

For PHA determination, the mixed liquor sample was treated immediately with a NaClO solution with 7% active Cl₂ (1 mL NaClO per 5 mL mixed liquor) and stored at 48°C for the following analysis. PHAs were extracted, hydrolyzed and esterified to 3-hydroxyacyl methyl esters and determined by gas chromatography following the method illustrated by Braunegg et al. (1978).¹ The relative abundance of HB and HV monomers were quantified using a commercial polymer P(3HBco-3HV) of known HV content as the standard (Poly(3-hydroxybutyric acid-co-3- hydroxyvaleric acid), with a PHV content of 12 wt%, Sigma-Aldrich).

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44 Calculation

45 For SBR calculation, the observed and storage yield as well as specific rates of storage and 46 substrate were quantified in terms of COD units. Active biomass (or non-PHA biomass) was 47 consistently expressed as $X_A = (VSS-PHA) \cdot 1.42$, by using COD conversion factor of 1.42 48 gCOD/gX_A. PHA was also converted into COD according to the following oxidation stoichiometry: 49 1.67gCOD/gHB and 1.92gCOD/gHV monomer. The specific COD_{SOL} removal rate was calculated as ratio between consumed COD_{SOL} (Δ S) and feast phase length (t), per unit of active biomass (X_A): 50 $(-qS^{feast}) = \Delta S/(t \cdot X_A)$. Stored polymer (ΔPHA) was calculated as difference between PHA 51 52 concentration at the end of feast and at the end of cycle; consequently, the specific storage rate was 53 expressed as follows: $(qP^{feast}) = \Delta PHA/(t \cdot X_A)$. The storage yield in the feast phase was quantified based on removed COD_{SOL} (Y_{P/S}^{feast}) and on removed VFA (Y_{P/VFA}^{feast}). Observed yield was 54 and 55 calculated between the ratio of VSS concentration applied OLR: the 56 Y_{OBS}^{SBR}=VSS/(OLR•HRT).

57 In batch tests, the specific storage rates (qP^{batch}) and substrate consumption $(-qS^{batch})$ were 58 calculated by linear regression of the data versus time by considering the initial period at constant 59 rate (2.5 h approximately). Biomass growth yield was calculated from ammonia consumption by 60 considering the mean nitrogen content in the biomass (10% as gN/gX_A). Growth yields were 61 calculated as the ratio between the new produced X_A (ΔX_A) and the consumed COD_{SOL} ($Y_{X/S}^{batch}$) or

62 VFA ($Y_{X/VFA}^{batch}$) as given in the following equations: $Y_{X/S}^{batch} = \Delta X_A / \Delta S$; $Y_{X/VFA}^{batch} = \Delta X_A / \Delta VFA$.

63 The maximum polymer content in the biomass was given by the ratio between PHA and VSS
64 concentrations at the end of each accumulation test: %PHA = PHA/VSS = PHA/(XA+PHA).

For the final energy balance, the parameters and boundary conditions (e.g. temperature of water andair, etc.) that have been used are reported in Table S1.

67 Table S1

68 69

70 **Results and Discussions**

In the PHA accumulations with fermented OFMSW, a maximum plateau of PHA content was not always reached since growth and storage response simultaneously occurred. However, PHA contents were similar or even higher than those obtained in acetate accumulations, where both PHA concentration and PHA biomass content did not increase linearly, especially after 3 h of accumulation.

The following figure S1 illustrates the trends of PHA, X_A, VFA concentration and PHA biomass
content in two representative batches carried out with synthetic acetate solution (A) and fermented
OFMSW (B).

79 Figure S1

80 The following figures S2-S5 illustrate microbial population (bacteria and archea, figure S2) selected 81 in the SBR with particular emphasis to the composition of total bacteria (figure S3) and β -82 proteobacteria (figure S4, S5).

83 Figure S2

84 Figure S3

85 Figure S4

86 Figure S5

87 Figure and Table captions

Figure S1. Concentration trends of PHA, VFA and active biomass together with PHA biomass
content in two explicative examples of accumulation test performed with synthetic acetate solution
(A) and fermented OFMSW (B) (Stage III).

Figure S2. Relative abundance of total bacteria and archaea estimated in selected samples taken in
SBR during acetate (sampling days: 1 and 28) and fermented OFMSW feeding (sampling days: 58,
62, 91 and 108).

Figure S3. Composition of total bacteria estimated by FISH analysis with group specific
oligonucleotide probes in selected samples throughout the reactor operation.

Figure S4. Relative abundance of *Betaproteobacteria* and *Thauera/Azoarcus* estimated by FISH
 analysis at different sampling times (days) over the reactor operation.

Figure S5. Affiliation of the main OTUs belonging to A) *betaproteobacteria* and B)
 alphaproteobacteria estimated by Illumina sequencing in samples taken in SBR during fermented
 OFMSW feeding (sampling days: 91, 108 and 119).

- 107 Table S1. Reference parameters and boundary conditions for energy balance

Table S1

Parameter	Unit	Value		
	BIOGAS ²			
Low Heat Value Biogas	kJ/Nm3	23,012		
COMBINE	ED HEAT AND POWER (CH	P) ²		
Termical Energy yield	-	0.5		
Electrical Energy yield	-	0.4		
Total Energy yield		0.9		
BOUNDARY CONDITIONS ²				
Operative Temperature	°C	55		
Anaerobic Processes	e	55		
Operative Temperature	°C	25		
Aerobic Processes	C	20		
Water Temperature	°C	15		
Air Temperature	°C	20		
Ground Temperature	°C	25		
HEAT TRANSFER COEFFICIENT ³				
Outer Concrete Reactor Wall	$W/(m^{2\circ}C)$	0.7		
Inner Concrete Reactor Wall	$W/(m^{2\circ}C)$	1.2		
Floor	$W/(m^{2\circ}C)$	2.85		
AERATION SYSTEM ³				
Electric Motor Adsorbed Power	kWh/kgCOD rimoso	0.753		

150 Figure S1















β-proteobacteria Thauera/Azoarcus

Figure S5





B)

229 References

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