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On the Syntax of Possession in the Balkan Languages: the Elusive Nature of the External Possessive Construction

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0. Introduction

As observed by Asenova (2001), Balkan languages feature four types of possessive expressions, exploiting the intricate connection between the grammatical category of definiteness and the semantic category of possession, in particular the notion of inalienable possession. In this paper, I will be concerned with what Asenova labels “Preverbal Dative + Definite article” (Strategy B. below), a construction which is also referred to as “external possession” (EP). It is often pointed out in the literature that EP has a special status in the typology of possessive constructions since the possessor argument (a true dative argument or a dative clitic, as is the case with some of the Balkan languages) forms a syntactic complex with the sentential predicate, as opposed to the more “logical” strategy A., where the possessive pronoun or clitic stands next to the noun expressing the possessum.

A. [Noun Phrase + D(efinite) A(rticle)] + possessive pronoun (tonic or clitic): all Balkan languages

  a. possessive adjectives: *cartea mea* (Romanian), *knjiga* (Serbo-Croatian), *meine* (German) ‘my book’;
  b. possessive pronouns: *mi tvoj* ‘your friend’, *libri i tij* ‘his book’
  c. personal clitic pronouns in Genitive/Dative Case: *cartea-mi* (Romanian), *knjiga mi* (Serbo-Croatian) ‘my book’, *to skili mu* ‘my dog’.

(1a) [Chipu-i luminos] domina multimea (Avram/Coene 2000: 12)
(1b) [Svetloto i litse] izpäkvaše sred tâlpata. ‘Her bright face dominated the crowd’
(1c) Patisa kata laðos [to poði tu]. ‘I stepped on his foot by mistake’ (MG, Kupula 2008: 145)

B. Preverbal Dative + [Noun Phrase + Definite Article] = Possessive Clitic Construction: all Balkan languages

(2a) I-am zârit chipul în multime. (Romanian, Avram 2000: 11)
(2b) Az i zabeljazax litseto sred tâlpata. (Bulgarian) ‘I spotted her face in the crowd’
(2c) Tu patisa kata laðos to poði. (MG) ‘I stepped on his foot by mistake’
(2d) I pashë fytyrën në pasgyrë. (Albanian, G. Turano, p.c.) ‘I spotted her face in the mirror’
C. Noun Phrase + Definite Article (inalienable possession): all Balkan languages

(3) a coborit ochii (‘to lower one’s eyes’), a mișca mâna (‘to move one’s hand’) ngre dorën ‘I raise the hand’; slagam pod glavata, vē poshti kokès ‘I put (something) under the/[my] head’

D. Noun Phrase + Oprtik (Bulgarian only)

(4) zatvarjam oči ‘close (my) eyes’; vdigam raka ‘raise (my) hand’

The Balkan EP has not been studied extensively from a typological perspective, although several studies have dealt with language-specific issues of its distribution, special semantics and syntactic properties (STATEVA 2002 for Bulgarian, SVEŠNIKOVA 1986: 202, DINELEGAN 1994: 129–131, AVRAM/COENE 2000: 208 for Romanian). A major concern in the syntactic literature has been to account for the presence of the Dative clitic, which is apparently unselected by the predicate (and hence, extra-thematic), yet occupies the same position as that of the indirect object clitic in (non-possession) clauses encoding the thematic roles of recipient, target or goal typically associated with the Dative. As illustrated by the Romanian examples in (5), the possessive and the indirect object clitic obey the same ordering constraints with respect to negation, auxiliaries, and the main verb. Moreover, both share the same morphosyntactic and phonological dependency, i.e., as enclitic or proclitic to the verb, according to language specific structural requirements.

(5a) Eu nu-i (nu ii) dădeșem cheile. ‘I did not give him/her the keys’
(5b) Eu nu-i (nu ii) găsim pălăria. ‘I did not find his/her hat’

Given that at least the Balkan languages to be considered here (Bulgarian, Romanian and Modern Greek) also dispose of noun phrase internal dative/genitive clitics (strategy A(c))¹, some syntacticians (notably STATEVA 2002, AVRAM/COENE 2000) have proposed to view EP as a derivative pattern. More precisely, the possessive clitic has its source within the noun phrase, to which it is semantically linked, but can sometimes “raise” to the clausal Dative position reserved otherwise for the indirect object clitic, as in (6):

\[ \text{XP CI-poss} \text{ (Aux) V [noun phrase+Definite article CI-poss]} \]

¹ I abstract away from issues of frequency and style. In Romanian, internal possession is very rarely used, “being felt as outdated, formal and poetic” (AVRAM/COENE 2000: 158), and has been almost entirely (with the exception of the singular paradigm, in particular 3rd person) replaced by the EP construction regardless of register and stylistic marking (Gramatica Academiei 1966, CORNILÈSCU 1995, PANCHEVA 2004: 187). Bulgarian grammars have hardly discussed external possession (cf. for a brief mention NITSOLOVA 1986; 2008: 165), although it is the norm in both educated and colloquial speech. A first look at Greek grammars uncovers few examples. cf. HOLTEN et al. (1997: 194) who refer to these clitics as benefactive and malefactive genitives.

(6a) Az vidjak litseto i ‘I saw her face’
(6b) Az i vidjak litseto i

Apparent evidence for such an approach comes from the ungrammaticality of examples like (7), where the external and the internal possessor position are simultaneously filled by the same clitic.²

(7) *Az i žarnax litseto i v tálpata.
   *I-am zărit chipul-i în mulfime. ‘I her-DAT spotted her face in the crowd’

Although the “possessive raising” approach became quite popular in accounting for a subset of the EP constructions in typologically diverse languages (e.g. Hebrew, LANDAU 1999, CHOUCK, DAVIES 1984, BAKER 1988), its predictive power has turned out to be limited. First, many languages instantiate the EP construction but appear to asymmetrically miss its structural correlate – the Internal Possession (IP) construction in (6a). Slavic, Romance, and Germanic possessive clitics or pronouns but they can appear only externally:

(8a) On poceloval ej ruku. ‘He kissed her hand’ (Russian, PODLESSKAYA, RAKHILINA 1999: 512); Teklo nam do kuchyně. ‘We had a leak in our kitchen’ (Czech, FRIED 1999: 479); Slomila mi se čaša. ‘The glass went and broke on me/My glass broke’ (TOMIC 2009: 455)
(8b) On lui a coupé les cheveux. ‘They cut his/her hair’ (French, KAYNE 1977: 159); El gato le araňo la cara. ‘The cat scratched his/her face’ (Spanish, SÁNCHEZ LÓPEZ 2007: 153); Gli hanno rotto la macchina. ‘They broke his car’ (Italian).

Additionally, even if a certain language has possessive clitics in the nominal domain, it is hardly the case that the two constructions (EP and IP) are syntactically reversible or semantically synonymous so as to justify a free choice Dative placement for this language. The peculiar property of EP resides in the fact that the rela-

² This possibility is available in the languages under study but under precise conditions. Doubly-filled constructions with an external clitic and an internal possessive modifier are considered pleonastic and much less acceptable or completely ungrammatical, as in Bulgarian *Az i vidjak nejnoto litse ‘I saw her face’. According to AVRAM/COENE (2000) also in Romanian the construction *l-am zărit chipul său în mulfime ‘I have seen his/her face in the crowd’ is ungrammatical, but SVEŠNIKOVA (1986: 207) gives acceptable examples like ne regăsim, ne lăsăm în viața noastră ‘we find each other, we encounter our life’. Nevertheless, she notes that such doubly-filled constructions are special, and require emphasis or focus on the possessor, so they are excluded with body parts or with some other inalienably possessed property of the human being like e.g. soul, potential, character, life where possession is taken for granted. For more examples and an analysis cf. CORNILÈSCU (1991: 64).
tion possessor-possessed seems only implied or in any case subordinate to the more salient "affectedness" interpretation which focuses on the effect or impact (positive or negative) that the circumstances described in the predicate have on the possessor. This pragmatic reading illustrated in (9a) from Bulgarian lacks parallels in the realm of adnominal possession (9b). This is also true of languages which lack internal possession, as in the examples in (8) above:

(9a) Toj i skása pismoto. lit. ‘He tore off to her the letter’
(9b) Toj skása pismoto i ‘He tore off her letter’

In this paper, I want to argue that the apparent complexity of the Balkan EP as it emerges from the three Balkan languages I will consider – Bulgarian, Romanian and Modern Greek (see section 2) – can be dealt with theoretically if what is thought to be a single EP construction is decomposed into two discrete instances, corresponding to a purely possessive (genitive) vs. “affected” (dative of interest) interpretation, the latter only inferentially associated with possession in ways that are familiar from other European languages, e.g. Slavic and Romance. In the last section, it will be suggested that this functional split within EP is a follow-up of the well-known dative-genitive syncretism which has conflated the two morphological forms but has nevertheless retained their distinct underlying case functions.

1. EP from a typological perspective

The "affectedness" condition, which HASPELMATH 1999 identifies as one of the two (alongside dative case marking) constraints on the realization of EP in a broader perspective, reduces to two more basic requirements: 1) that the predicate of the construction bear a benefactive or a malefactive meaning, and 2) that there be a strict semantic relationship between possessor and possessed. The combined effect of these two requirements explains the strong cross-linguistic tendency, especially among the European languages, for marking humans as possessors and inalienably possessed items as possessed, in particular body parts as they represent the prototypical member of what BALLY 1926/1996 has identified as the human "personal sphere" (cf. also WIERZBICKA 1988). The implicational nature of this tendency arises from a purely pragmatic consideration: affecting some inalienably possessed item implies affecting its possessor as well. According to BYBEEN (1988), cited in an article by Kate BURRIDGE, this implicit sense of possession (inferred meaning) may become actual meaning by a way of a semantic transfer and can thus be seen as the crucial factor for the grammaticalization of possessivity.

Deviations from the prototypical schema may result in a different strength of the affectedness condition. Apart from variation in terms of inalienability, purely structural parameters may also affect the syntax of the construction, with reference to the type of predicates selected as affecting in each particular language. To capture cross-linguistic variation, and the relative accessibility of the various grammatical elements for EP encoding, several implicational hierarchies have been proposed:

(10a) The Animacy Hierarchy (KÖNIG/HASPELMATH 1997: 7.1)

1%/2nd p. pronoun < 3rd p. pronoun < proper name < other animate < inanimate

(10b) The Possessive Hierarchy (FRIED 1999: 477)

Body part > kinship relations > close alienable entities > distant alienable entities

(10c) The Situation Hierarchy (KÖNIG/HASPELMATH 1997: 6)

Patient-affecting < dynamic non-affecting < stative (KÖNIG/HASPELMATH 1997)

(10d) The Syntactic Relation Hierarchy (KÖNIG/HASPELMATH 1997: 2.6)

PP < direct object < unaccusative subjects < unergative subjects < transitive subjects

Languages may choose to grammaticalize different cut-off points of these four universal hierarchies but the conclusion of HASPELMATH (1999) strongly suggests that EP constructions are favored cross-linguistically if they are relatively high on all of the hierarchies. In particular, European languages restrict EP to a) animate possessors (as well as the positions above "other animate" on the Animacy hierarchy, especially 1st person); b) affecting verbs denoting an event, i.e. dynamic (typically transitive) but not stative predicates (on the Situation Hierarchy); c) syntactic functions that can express the affected semantic roles of patient, theme or goal, i.e. prepositional phrases, direct objects, and (to a much less extent) unaccusative subjects (on the Syntactic Relations Hierarchy). As confirmed by a number of studies (FRIED 1999 for Czech, PODDESKAYA/Rakhilina 1999 for Russian, ŠARIĆ 2002 for Slavic, LAMIROY 2003, SÁNCHEZ López for Romance, GUÉRON 1985 for French), the common tendencies have led typologists to think that there is just one homogeneous construction, which HASPELMATH 1999 calls "the European prototype" given that "variation in Europe is not particularly great" (p. 113).4

4 The only more significant locus of variation seems to be the Inalienability Hierarchy but it is known since BALLY 1926/1996 that this very notion is flexible and membership in the personal sphere often depends on cultural, pragmatic and contextual factors that may be predominant in one language or another. Apart from body parts, also kinship terms, clothes, picture nouns and even familiar objects such as home, car etc. are also be available for EP construal (these are the extended inalienables of VERNNAUD and ZUBIZARRI 1992, cf. also CHAPPELL and Mc Gregor 1996: 8). Some European languages tend to be stricter than others. For example, French admits only body parts while the

2. The Balkan EP construction

In this section, I want to show that at first glance, Balkan EP strongly deviates from the European prototype in that as has already emerged from previous studies (Crîstea 1974, Svešnikova 1986, Cornilescu 1991, Manoliu-Manea 1996, Niculescu 2008 for Romanian, Stateva 2002 for Bulgarian), there are practically no restrictions relevant to

a) the reference of the possessor (human or non-human);
b) the type of possessive relation (inalienable or alienable);
c) the type of syntactic function that the possessee can fulfill;
d) the type of verb that can enter the configuration (affecting or not).

The three Balkan languages under study will be shown to pattern alike with respect to the first three points, and to diverge with respect to d) in that only Modern Greek obeys the "affectedness" constraint regarding choice of predicate type, a feature which will be attributed to the fact that this language instantiates just one of the two constructions which I am going to posit for the other two languages.

Starting with animacy effects, the most significant property of Balkan EP is that the external possessive clitic need not refer to a human possessor; in fact, it can pick up any of the reference points on the Animacy hierarchy in (10a). In particular, as illustrated by the examples in (11), objects typically belonging to the human "personal sphere", but not exclusively so, can be viewed as inanimate possessors with respect to their constituent parts, basic functions or properties.6

rest of Romance seems to extend the construction also to kinship terms and to extended inalienables. See the following examples from French (i) vs. Italian (ii):

(i) a. Il me prend le bras. 'He grabs my arm' (Lamroy 2003: 259)
   On lui a coupé les cheveux. 'They cut his/her hair' (Kaye 1977: 159)
(ii) a. Mi ha preso la mano. 'He grabbed my hand'
   B. Gli hanno rotto la macchina. 'They broke his car'

Slavic languages too, judging from data presented in Šaríc 2002, as well as in Fried 1999 for Czech, occasionally extend EP to kinship terms, garments, familiar objects of possession.

5 This seems to be particularly true for Romanian where the reflexive dative construction can express the same possessive relations as the personal dative clitic, cf. e.g. (i):

(i) Soarele îşi trimeţe razele. (Murd 159) 'The sun was pouring its rays'
   Chiţecul şi-a repetat vraja. 'The song repeated its charm' (Svešnikova 1986: 202)

6 Often, as in (11) in the text, the inanimate possessor is represented by a full dative noun phrase (a prepositional phrase in Bulgarian) in addition to the dative clitic. The result is a clitic doubling structure whose function however is not to mark topicality, as in the standard case, but to resolve potential ambiguity (cf. (ii)), given the lesser accessibility of inanimates for possessor encoding.

(11) Ioana i-a rupt (mesei) piciorul 'Ioana broke its leg (= the leg of the table).
   (Niculescu 2008, 488); Njakoj e otjazal krakata (na masata). 'Someone has sawn off the legs of the table'; Na radioto sa mu svartenli bateriete; RADIOLUI i s-au terminat bateriile; Tis teliosan i bateriies tou radiofonou 'The batteries of the radio are consumed'.

Another remarkable feature of the possessive clitic construction is that the possessive relation encoded by the possessive dative structure need not be inalienable. (See the extensive lists presented in Svešnikova 1986, and Niculescu 2008 for Romanian.) Apart from the prototypical body parts, cf. (12), and kinship terms, (13), the following kinds of referents can appear as possessums in the EP construction:

a. extended inalienables such as clothes, cf. (14) and familiar objects from the possessor's environment, cf. (15);
b. permanent abstract properties or qualities, cf. (16);
c. temporary mental or psychological states (17a); functional relations (17b); objects in the near vicinity to the possessor (17c); close alienable entities (17d); actions and results (expressed by deverbals) in relation to their Agents qua possessors (17e), distant alienable entities (17f), etc. (Romanian examples are from Niculescu 2008, see also Crîstea 1974, Cornilescu 1991, Manoliu-Manea 1996).7

(12) Afti tou espasse to mikro dahtilto; Tja mu sçu prikija prast. 'She broke his little finger'

(13) Afti tou kastrepsan tin kori/ ti gheinka. 'They ruined his daughter/ wife'

(14) Objlakos frókliata. 'I put one her dress'

(15) Apa i-a distrus casa. 'The water destroyed his house'; Tou chassa tin ombrella. 'I lost his umbrella'; Sou hriisimopisa to stilo. 'I used your pen'; Razbixa mi kolata. 'They destroyed my car'; Sou sinkentrosa ta vivlia. 'I collected your books'

(16) Mrazja ti taja čerta na paramo. 'I hate this feature of your character';
   Ši ufrus lipsa de respect. 'I hate her lack of respect'

(17a) Ne mi vgorčavaj radostta. 'Don't spoil my happiness'; Nu-i apreciez comportamentul. 'I don't appreciate her behavior'; Esi mou ekmetaleftikes tin kali diathesi. 'You spoiled my good mood'

(i) Ioana mu sçu glavata 'Ioana broke his/ its head'.

In the default case, the possessor gets interpreted as animate regardless of the grammatical function of the possessee.

7 Here and elsewhere in the text the Modern Greek examples present native judgements of my informants. I thank in particular Eugenia Liosatou, and also Marika Lekkakou, for invaluable help with the data.
It is obvious that the referents in (12)-(17) cover the entire range of elements on the possessive hierarchy in (10b), including its lowest cut-off point, distant alienable entities, as in (17f). This semantic expansion of the possessive construction reveals a consistent grammaticalization pattern reflected not only in the increased number of elements that can enter the construction but also in its greater contextual freedom. As shown by (18) from Bulgarian, even nominals that fall outside of the realm of possession (such as abstract temporal and spatial relations) can be coded as possessives:

(18) Izgbubix mu dirite/koordinatite/doverieto/vremeto/celija den.
'He lost his traces/whereabouts/faith/time/the whole day'

It would seem therefore that the Balkan languages have gone one step further in the grammaticalization of possessivity, in that their EP signals not only the first and foremost relation of possession (ownership) or some pragmatic extension thereof, as in the other European languages, but practically all relations belonging to possession in a very broad sense. However, the generalization of lexical meaning is just one of the ingredients of the process of grammaticalization. The other involves a reanalysis of the syntactic functions of the dative clitic in its relation to the type of predicate involved in the construction.

Judging from the above examples, it appears very plausible that the dative clitic be considered a particular case of dativus commodi/incommodi (dative of interest) expressing the beneficiary of an action, i.e., the person in whose favor or to whose detriment the action is being performed. As pointed out by a number of scholars, beneficiary datives share with the other usages of the dative a typically goal-oriented semantics. However, differently from the more prototypical dative functions (that of recipient with verbs of giving and of experiencer with experiencer predic-
(10c) **The Situation Hierarchy** (KÖNIG/HASPHELMASTH 1997, section 6)

Patient-affecting ⊆ dynamic non-affecting ⊆ stative (KÖNIG/HASPHELMASTH 1997)

The situation hierarchy reflects the strength of the affectedness constraint as a function of the type of predicate selected for the EP construction. As mentioned above, Haspelmath’s generalization is that cross-linguistically and in Europe in particular, the higher points of the hierarchy are favored, especially the classical patient affecting verbs, such as open, repair, lose, lift, break, scratch, destroy, ruin, all of which transitive.

Balkan EP however is not limited to these predicate. It is also available – and this is true to a maximum extent for Bulgarian and Romanian – with:

i. intransitives such as walk, run, enter, flow, unaccusatives such as get old, lose weight, die, become red, unergatives, such as work, cry, laugh, among many others;

ii. stative predicates like see, hear, love, hate, know, remember, among many others.

For reasons of space I cannot go into much detail, so I just illustrate these possibilities (see (21)–(22) and for more examples BACIU (1985), SVEŠNIKOVA (1986), AVRAM/COENE (2000, 2008), NICULESCU (2008)):

(21a) Teče mu nosat; Ëi curge nasul. ‘His nose is running.’ – Unaccusative predicates

Mi-a căzut un dinte; Padna mi edin zăb. ‘One of my teeth fell out’;

Treperjat mi rătase. ‘My hands are trembling’;

Začervixa mi se buzite. ‘My cheeks grew red’;

I-a murit pisica. ‘His cat died’.

9 For Romanian, NICULESCU (2008) has shown that there are few restrictions which seem to be of lexical nature, as some verbs do not accept the occurrence of this clitic, be it possessive or of a different type: a constituis (‘to constitute, to be’), a exista (‘to exist’), a insemina (‘to mean’), a reprezinta (‘to represent, to be’), a spera (‘to hope’):

(i) Îmi sper fericirea. ‘I hope for my happiness’ (NICULESCU 2008: 64).

Also excluded, but for an independent reason, are obligatorily reflexive verbs which cannot accommodate a second dative:

(ii) Nu-i amintesc fata vs. Nu-mi amintesc de fata lui. ‘I don’t remember her face’

I thank Corina Bădeliţa for judgements and precious help with the Romanian data.

(21b) Ne mi raboti kompjutărăt. ‘My computer doesn’t work’ – Unergative predicates

Zasmja mi se sârceo. ‘My heart was happy’.

(22) Az mu vidjajaj novata prijatelka. ‘I saw his new girlfriend’ – Stative predicates

Az mu zabravix imeto. I-am uitat numele ‘I forgot his name’

Poznavam ti prijatelite. ‘I know your friends’


As mentioned above with respect to (19b), intransitives represent the next stage of the grammaticalization of possessivity, given the absence of any benefactive/malefactive component in their lexical semantics, of the semantic role of “patient”, as well as of a goal argument. Therefore, it is only via the salient possessive relation that affectedness gets signaled, or better, inferred, in this case, which is why some authors prefer to speak of “mental affectedness”10 in order to distinguish this more abstract way of affecting a possessor, or even to discard the connection with the beneficiary dative in favor of a possessive dative analysis. Without elaborating on this type of dative in relation to affectedness, I will treat it together with the beneficiary datives, noting that the possessive value is supplementary here and thus closer to the so-called dative sympatheticus11 as it is used traditionally, EP with such type of predicates is occasionally found in the European EP construction e.g.

10 See e.g. HASPHELMASTH (1999). The possessor is affected in a more abstract way by the whole situation by virtue of holding a possessor relationship with the main argument of the sentence, typically a subject or (in impersonal sentences) a prepositional phrase or both, as in (i):

(i) Edin kosam mi padna v supata ‘A hair fell into my soup’

Semantic information, e.g. the double possessive relation in (i), is entirely manipulated by syntactic function. According to FRIED (1999), to account for the common ground between “mental affectedness”, which for her is a form of interest, and possession, a more abstract semantic relation should be used instead of “affectedness”, namely ‘end-point of the event’. In any case, this kind of implicational affectedness can be considered an intermediate step between beneficiary Datives and pure possessive Datives. Note that the term “non-affecting” in (10c) is rather misleading.

11 The classical (and historically very early) usage of dative sympatheticus, labeled by some authors dativus possessivus, is represented by (i). In such cases, the dative clitic appears with the verb essere and in Romanian also with habere, and expresses a relation between a possessor and a kinship term or some other close possession:

(i) Êmi este naş. ‘He is my godfather’; Toj mi e eci. ‘He is my uncle’

I will not discuss predicative possession here. It is not clear how this type of dative is to be differentiated from dativus commodi/incommodi.
in Czech, Spanish and Italian. The pattern however is very productive in Romanian and Bulgarian, and is also available in Modern Greek (23), mainly with unaccusative verbs (parallel to those in (21a) where the possessor is coded as the subject and represents the theme argument), but also with unergatives (where the possessor is an agentive subject, as in (21b), and also (23b)).

(23a) Mou poni to kefalì. ‘I have a headache’; ‘Tou kopikan ta ghonatì lit. ‘He felt his feet torn off’ ‘His feet were trembling’ (SINT. 1990: 88)
(23b) De mou doulevi to kompiouter. ‘My computer doesn’t work’

From a broader typological perspective, the dividing line that sets apart Balkarian and Romanian regards stative predicates. Crucially, one of the defining properties of statives is the absence of any inherent benefactive or malefactive semantics: the patient of e.g. a perception/experience verb like see, hear, love or a verb of knowledge like know cannot possibly be conceptualized as affected by the very act of seeing/hearing/knowing, and in consequence, the possessor cannot be cast as affected either. See (24)–(25) from Romance and Slavic. As reported by FRIED (1999), such data are consistent with the same restriction noted for other languages.

(24) Slavic
*Už iste jim vidíli zahradu. ‘Have you seen their yard yet?’ Czech (FRIED 1999: 484)
*Widzialem mu żęby. ‘I saw his teeth’ Polish (WIERZBIKAA 1986, cited in HASPELMATH 1999: 114)

(25) Romanian
*Non le ho visto la faccia. ‘I didn’t see her face’
*Je lui ai oublié le nom. ‘I forgot his name’ French (KAYNE 1977: 159)
*Je ne te connais pas l’adresse; *Non ti so/conosco l’indirizzo; *No te sé/ conozco la dirección. ‘I don’t know your address’ (SÁNCHEZ LÓPEZ 2007: 168).

Romanian thus stands in contrast to the rest of Romance, as does Bulgarian to the rest of Slavic (Czech included in spite of the greater predicate range allowed in this language, to judge from FRIED 1999). In the absence of an affecting reading at least

a subset of Balkarian EPs should be analyzed as possessive only and not as a special case of the dative of interest construction. I will come back to the situation in Modern Greek later.

What I want to show now, following previous work (CINQUE/KRAPOVA 2009), is that the maximal permissiveness of Bulgarian and Romanian with respect to predicate choice is an effect of conflating in a single construction what some Romance languages (French, Italian) express in two different ways: by a dative clitic (in a dative of interest construction) and by a genitive clitic (ne/en) in a purely possessive construction. In fact, this type of clitic is the only possible way of rendering (25) grammatical in the presence of a stative predicate, implying that one of the functions of ne/en in Romance is to express possession outside the realm of affectedness:

(26a) Non ne conosco l’indirizzo not itgen know-1sg the-address ‘I don’t know his/its address’
(26b) J’en ai oublié le nom itgen have-1sg forgotten the name ‘I have forgotten his/its name’

The evidence in the next section will help tease apart the dative from the genitive usage of the “possessive” clitic, applying diagnostics internal to Bulgarian, which can be extended, I believe, also to Romanian. The historical relevance of this bi-constructional approach, if it is on the right track, will amount to denying the possibility of semantic bleaching of the affectedness feature in the emergence of a true possessive construction, and to claiming that in spite of the genitive-dative syncretism which has rendered the two case functions morphologically indistinguishable, they are nevertheless still well differentiated syntactically.

3. On distinguishing two EP constructions

Starting from constructions involving a dynamic (patient-affecting or intransitive) predicate, there is particularly clear evidence that the dative clitic corresponding to the beneficiary/possessor is an extra argument of the predicate and not an argument of the noun phrase expressing the possessum. In generative grammar terms, this means that the dative argument is base generated externally rather than derived by “possessor raising” from within the noun phrase. To take one example, consider idiomatic expressions such as (27a/a’): from Bulgarian. If we try to substitute the external with an internal clitic, either the idiomatic reading is lost (27b/b’), or the sentence becomes ungrammatical (28b):13

12 According to HASPELMATH (1999), unergatives are inexistent in Europe but Albanian is an exception (HASPELMATH refers to examples from O. BUCHHOLZ and W. FIEDLER: Albanische Grammatik, 1987). Such facts, which need further research, will turn out to be highly relevant for the overall analysis of Balkan EP. Several examples (from Giuseppina TURANO, p.c.) are given below:
- Džalj nude neton ‘My child does not swim’
- Nuk nude punon komputer ‘My computer does not work’
- ?Libri nude mé ka fuar anjë çhim ‘My book did not win a prize’
- Svent nude mé qesh ‘My eves are not laughing’

13 In Romanian too the idiomatic reading is lost if we substitute the external clitic with a possessive adjective:
   (i) a. A nu-și crede ochilor ‘to not believe one’s own eyes’ (NICULESCU 2008)
      b. *A nu crede ochilor să/loanei (**to not believe his/loana’s eyes’

Note that all of the above idiomatic expressions contain a) a benefactive or malefactive verb meaning, b) a beneficiary or “sympathetic” dative, c) a human possessor, and d) a body part (or some other inalienable possession in the strict or extended sense). These are precisely the properties which form the quintessential affectedness EP pattern, or the “European prototype” in Haspelmath’s terms. Parallel idiomatic constructions in Romance also require an external dative clitic that cannot be substituted by an internal possessive adjective nor by a genitive ne/en clitic. Cf. (31)–(32).

(31a) Luc lui casse les pieds. ‘Luc bothers him/her’ (LAMROY 2003: 260f.)
(31b) Luc casse ses pieds. ‘Luc breaks his/her feet’ (no idiom interpretation available)
(31c) Luc en casse les pieds. ‘Luc him[gen] breaks the feet’ (no idiom interpretation available)
(32a) Gli hanno rotto le scatoole. ‘They annoyed him’
(32b) Hanno rotto le sue scatoole. ‘They have broken his boxes’ (no idiom interpretation available)
(32c) Ne hanno rotto le scatoole. ‘Him[gen] they have broken the boxes’ (no idiom interpretation available).

In non-idiomatic constructions the same possessive dative clitic is apparently free to occur either in noun phrase-internally or externally:

(33a) Tja mu ščuipi [NP malkija prast]. ‘She broke his little finger’
(33b) Tja ščuipi [NP malkija mu prast]. ‘She broke his little finger’

However, the internal variant of (33) must meet a crucial requirement not holding of the external variant; namely that the noun phrase containing the possessive clitic must be definite: the possessive dative clitic cannot appear inside an indefinite phrase, a restriction known from the syntactic literature as the “definiteness restriction” (PENČEV 1998: 30, FRANKS/KING 2000: 282; ASSENOVA 2001). See the contrast between (33b) and (34) below:

(34) *Tja ščuipi [edin mu prast]. ‘She broke a finger of his’

No definiteness requirement holds of the external variant, (34), as can be seen from (35) which is the only possible way to render (34):

(35) Tja mu ščuipi [edin prast]. ‘She broke a finger of his’

The availability of indefinite (partitive) possessive elements in a subset of the EP constructions, namely those involving an affecting predicate, as opposed to the

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14 The clitic appears immediately attached to a demonstrative or to whichever element is inflected with the definite article (PENČEV 1993, FRANKS 2000: 59ff., STATEVA 2002: 660, a.o).
obligatory definiteness feature of the same elements in the Internal Possessive construction suggests that (33a) and (33b) are not related transformationally and consequently, the external dative clitic in (35) does not have its source inside the noun phrase but is generated within the verb phrase as an additional argument of the verb rather than being moved to this position by way of raising or cliticization (cf. (6) above).

Other cases however call for an analysis in terms of possessor raising. The ungrammaticality of (36a), for example, follows from the impossibility of the clitic to appear inside an indefinite noun phrase (36b).

(36a) *Ne mu poznavam edin prijatel. ‘I know a friend of his’
(36b) *Ne poznavam [edin mu prijatel].

This case contrasts with the one before since here, the external dative clitic remains affected by the definiteness restriction inside the noun phrase. If in (36a) the external clitic position is derived by possessor raising from within the noun phrase, then the “wrong” result in (36a) can be attributed to a movement out of an improper syntactic configuration (36b). This implies that whenever the definiteness restriction is respected on the noun phrase internal level, the corresponding external clitic formation is expected to be grammatical, as e.g. in (37):

(37) Ne mu poznavam [NP-def prijatelja ‘I don’t know his friend’

Similar reasoning can be made for the other EP constructions which contain a stative (non-dynamic and non-affected) predicate. The claim therefore is that precisely with these predicates will external and internal possession correspond to each other semantically and syntactically because of the mirror-like positioning of the possessive clitic. An even stronger claim is that precisely in these cases, the possessive clitic, although dative in morphology, has an underlying genitive case value which makes it compatible with Romance ne/en-clitic amenable to a “possessor raising” analysis as well.

Before we turn to Modern Greek, I just point out one consequence of the split approach to Bulgarian, extendable also to Romanian, EP constructions. Since the clitic in the affecting EP type is not related to a noun phrase internal position, we can expect that this latter position could be simultaneously filled. This would give rise to a double occurrence of the clitic, as in (37) and in other similar contexts involving some type of mental affectedness – once in the external position where the clitic bears a beneficiary (dative of interest) value, and a second time in the internal position where it is specialized for possession and has a genitive value.15

15 The co-occurrence of two dative clitics corresponding respectively to a dative and to a possessive argument under coreference is possible also when the clitic realizes one of the prototypical dative functions (word order and optionality apart). In (i), for example,

(38) Umija mu (...) konjat mu (...) ‘His horse died on him’ (SCHICK 2000: 191).

With pure possessive structures, on the other hand, no similar double occurrences are predicted to be possible under the possessor raising analysis suggested above, or else the sentence would end up having two coreferential clitics with the same syntactic function. We can follow the standard assumptions in the generative grammar tradition and suggest that in this case, the possessive clitic leaves an unpronounced copy in the noun phrase from which it has been raised. The relation with the overt clitic takes care of the possessive interpretation (cf. (7) above repeated here as (39a) and the structure in (39b)). For additional evidence bearing on the syntactic properties of the two EP types the reader is referred to CINQUE/KRAPOVA (2009).

(39a) *Az iz zarnax litseto i v tlapa. ‘I her-DAT spotted her face in the crowd’
(39b) Az [v tlapa] [litseto i] [v tlapa]. ‘I saw her face in the crowd’

Modern Greek also allows for the double occurrence pattern analogous to (37) with predicates of the mental affecting type such as e.g. die in (40):

(40) Tou pethane alogo tou. ‘His horse dies on him’.

However, in spite of sharing this, as well as many of the other semantic or syntactic peculiarities of the Romanian and Bulgarian EP (possessed objects, nonhuman possessors, unaccusative and unergatives predicates, cf. the examples in (11)–(16), (23) above), the so-called “personal pronoun genitive” in Modern Greek does not allow, as the following ungrammatical examples show, a stative predicate (like, see, remember, understand, know) to appear in this construction. All of the examples in (41) have been judged ungrammatical by my informants:

(41) *Tis sou aressoun ta podia. ‘You like her legs’; *Tus idha ke kenourhio avtokinito. ‘I saw his new car’ (PANCHEVA 2004); ?? *Tou xehasa to onoma. ‘I forgot your name’; *Den tou thimame to prosopo. ‘I don’t remember his face’; *Sou katalava tin idea. ‘I got your idea’; *Den tou xero ton filo. ‘I don’t know his friend’.

The only way to render the possessive meaning in (41) is by a noun phrase internal possessive clitic:

the first appearance of mu corresponds to the recipient of nosja ‘bring’, and the second occurrence is the obligatory internal possessive argument of a kinship term, majka mu ‘his mother’.

(i) Zakuskata [mu donese] [majka mu]. / [Majka [mu donese] zakuskata.
   ‘His mother brought him the breakfast’

This possibility gives support to the claim made in the text that the beneficiary dative belongs to the same family of dative functions.
(42) Sou aressoun [ta podhia tis]; Idha [to xenourghio tou autokinito]; Xehasa [to onoma tou].
Den thiname [to prosopo tou]; Katalava [tin idea sou]; Den xero [ton filo tou].

Given that static predicates diagnose the presence of a true possessive structure, Modern Greek obviously does not dispose of this pattern, so its EP is basically a dative of interest context. As in other European languages, the beneficiary dative can cumulate an additional possessive value due to the inferential relation between possessor and possessed. HOLTEN et al. (1997: 194) refer to this usage of the Greek genitive as "malefactive" and "benefactive", although the distinction with the other dative functions (e.g. the ethic dative) needs to be made more precise:

(43) Afli mou skotose ton enthousiasmo ‘She killed my enthusiasm’; Esi mou katestrepse ti zoi. ‘You ruined my life’; Mou ekapse to spiti. ‘You burned my house’; Tou dankosa to dahilti. ‘I bit his finger’; Mou poni to kefali ‘I have a headache’; Sou haidevi to kefali ‘He/She caresses your head’ (Sint. 1990: 62); Ghiati mas halases tin parea? ‘Why did you spoil the party for us?’ (HOLTEN et al. 1997: 194)

Restricting EP to just “affectedness” leaves the additional possessive interpretation open to context. Also somewhat “vague” in this sense is the extent to which a certain predicate can count as affecting for the purposes of the construction. For example, the verb like and experiencer predicates in general do not seem good candidates for an EP construal, and sometimes even the inalienable relation does not succeed in rendering the construction possible. Hâte on the other hand, is acceptable to some extent, (44a), especially under emphasis, as in the second examples of (44a). In spite of the lexical and pragmatic support, my informants would still prefer the internal possessive construal (44b):

(44a) ?(!) Tou misso to haraktira /Den tou misso to haraktira.
   b. Miso to haraktira tou. ‘I hate his character’

However, in other cases a stricter inalienability (ownership) relation can provide an interpretive clue and increase the verb’s chances for entering an EP construction and provoking the same ambiguity as in the other two Balkan languages. In (45b), as reported by my informants, only the benefactive/malefactive reading survives, given that no possessive relation is likely to be implied, while such reading is more readily available in (45b) because of the ownership relation:

(45a) Sou anteghrapsa to arthro. ‘I copied the article for you/*I copied your article’.
   Cf. Prepsax ti stathjata. ‘I copied your paper/I copied the paper for you’

(45b) Na mou taiasis to skilaki. ‘Feed the dog for me/Feed my dog’

Although a historical scenario of the grammaticalization process in the Balkan languages is beyond the scope of this paper, I just point out that the affectedness criterion internal to Modern Greek is yet another proof that we are dealing with two separate constructions well differentiated in the other two languages: dative of interest and possessive dative. The ambiguity of the Bulgarian example in (45a) is thus only apparent. What is visible on the superficial level as ‘two-in-one’ in fact conceals two distinct underlying constructions whose syntactic properties can be successfully extrapolated in the non-ambiguous contexts we have discussed above. In other words, the emergence of a truly possessive dative cannot be said to result from a process of semantic bleaching of the affectedness feature on the possessor in the EP construction on its way to expressing pure possession; this would leave unexplained the distinct behavior of the EP constructions in Bulgarian and Romanian, as well as the special choice of Modern Greek not to follow the exact same path in the grammaticalization of possession, as opposed to the other two languages.

4. The case for dative case

For HASPELMATH (1999), the morphological dative-genitive case in the Balkan EP behaves as unambiguously dative from a structural and synchronic point of view. However, if this were so, the restrictions presented by Modern Greek would remain mysterious in the face of what would seem to be an overgenerated pattern in Romanian and Bulgarian. What I would like to suggest, as mentioned before, is that the dative clitic in the possessive construction is a genitive clitic “in disguise”. While in the affectedness construction it is a true dative clitic. Following TOPOLINSKA (2004), I understand case “not as a morphological form, but as a syntactic relation of dependence of a noun phrase either on the verbal construction … or on another noun phrase”. The dative is an adverbial case related to predicative expressions, while the genitive is an adnominal case related to argumental expressions and although there is the additional possibility (in Bulgarian and Romanian) of expressing a possessive (genitive) relation outside of the noun phrase and on the sentence level, it is not the case that that genitive case functions switch to dative case functions. The genitive-dative case symcretism, as pointed out again by Topolinska, has unified the morphological devices of grammatical accommodation, but the syntactic functions of the adverbial dative vs. the adnominal genitive are still as visibly distinct as they have been before the merger; wherever the two cases appear “fused” from a syntactic point of view, in the contemporary languages, independent evidence can establish their different syntactic behavior.

A very brief look at the history of the construction in Bulgarian and Greek shows that in fact the two languages may have gone in opposite directions in grammaticalizing external possession. As pointed out by HORROCKS (2007), ‘displaced’ genitives had a crucial role in the diachronic process related to the dative –
genitive functional transfer: genitive clitics which properly belonged to the possessed noun could cliticize to the verb and end up in the position typically reserved for indirect objects and other types of datives. This allowed for their interpretation as dativus commodi/incommodi (or dativus ethicis) which quickly led to the assumption of all dative functions by the genitive clitic pronouns. By the 8th or 9th century the dative almost entirely disappeared. A process in the opposite direction must have taken place in Old Bulgarian (Old Church Slavonic), to be further extended in the period of Middle Bulgarian as a result of Balkan influence on analytic development. Minčeva (1964) has shown that the first contexts to yield a possessive interpretation of the dative were those involving a dative commodi/incommodi context where a dative (lexical or pronominal) argument translating the Greek genitive clitic and appearing in preposition to the noun and closer to the verb, could get interpreted as "doubly dependent" – once as signaling possession with respect to the noun, and a second time as an argument of the verb. Internal possession on the other hand heavily relied on the genitive, at least in Old Bulgarian, in the rendering of the Greek postnominal genitives, and only after the spread of the doubly dependent dative did the internal genitives get substituted by a dative. Of course, a number of questions remain unanswered pertaining to the more precise picture of these historical processes, but it seems that while in Greek (which has generalized the genitive) a reanalysis of the dative relation has taken place, in Bulgarian (which has generalized the dative) it was the genitive relation that underwent a reanalysis. Both the intrusion of the dative into the sphere of possession (in Bulgarian) as well as the assumption of dative functions by the genitive in Greek are due to some sort of syntactic displacement of the pronominal or clitic argument which has allowed the respective reinterpretation of the original case functions. This, and other issues (especially the possibility of clitic doubling of the possessive element) are essential for a better understanding of the syntax of Balkan possession but of course require much further research.

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