

### Section I: Identification of topics through keyword clustering and topic titles

Three manual inputs are required to perform the automatic classification of the literature in topics. The first is the decision upon the number of topics. The number depends on the aim of the article and on the type of research question to be addressed. As our intent is to place and distinguish the literature in large – yet coherent – topics, we decided to reduce the number of topics to 20 ( $T=20$ ), a number that suffices to distinguish branches of scientific literature, with topics that characterize DRR, and others that are typically associated to the CCA research stream. The second is the tuning of the classification tool. We carried out the topic modelling adopting the Gibbs algorithm following a rule of thumb proposed by Steyvers and Griffiths ((2007)) to obtain enough granularity of results and clear proportion of topics. Thus, we set the variable of topic smoothing equal to  $50/T$  keeping the term smoothing equal to 0.01. One last manual setup before the run of topic modelling was the exclusion of the 20 most frequent words<sup>1</sup> and of irrelevant words, such as articles, prepositions and verbs like to show, exhibit, prove, and others, that are too pervasive to characterize topics, resulting in additional noise to the classification task. The classification task of articles into topic proportion requires also a minimum amount of words available that we set to 4. This procedure led to the exclusion of 4 articles.

Words (in decreasing order of probability)						Topic Titles	
Topic 00	Topic 01	Topic 02	Topic 03	Topic 04	Topic 05	Topic 00	Topic 01
food	drought	model	events	economic	data	food security	drought & water resources
variability	resources	scenarios	extreme	future	analysis	models & scenarios	extreme events
agriculture	river	future	weather	sector	spatial	social (& economic) issues	data analysis (indexes, indicators, maps)
security	changes	impact	during	tourism	index	society & people (rural)	health & people
agricultural	region	models	population	energy	area	coastal vulnerability & sea level rise	policy & governance
farmers	basin	under	over	potential	indicators	ecology (forestry & biodiversity)	methods & assessment
strategies	system	global	years	within	using	national & global studies (mitigation)	resilience of socio-ecosystems
systems	resource	regional	past	sectors	method	local communities & knowledge	climate science
africa	regions	using	time	increasing	information	planning (urban & local)	floods
production	western	potential	frequency	can	gis		
land	fisheries	uncertainty	losses	current	china		
south	land	scenario	increase	industry	hazard		
rainfall	availability	projections	extremes	effects	exposure		
climatic	conditions	changes	hurricane	importance	areas		
changes	hydrological	current	intensity	take	each		
livestock	potential	modelling	last	strategies	maps		
practices	north	different	number	strategy	geographic		

<sup>1</sup> Such an operation is usual in machine learning approaches in computational linguistics when the idea is to discover the latent semantics and not articles' stylistic nuances.

farm  
farming  
important

**Topic 06**

social  
rural  
strategies  
people  
livelihoods  
migration  
communities  
poverty  
factors  
coping  
households  
livelihood  
vulnerable  
poor  
environmental  
household  
access  
economic  
groups  
capacities

**Topic 12**

countries  
mitigation  
national  
developing  
policies  
global  
efforts  
reduce  
world  
states  
emissions  
projects  
effective  
country  
united  
level  
greenhouse  
there

droughts  
variability  
four

**Topic 07**

health  
human  
heat  
population  
mortality  
effects  
populations  
stress  
between  
vulnerable  
exposure  
factors  
associated  
disease  
evidence  
including  
risks  
among  
diseases  
increased

**Topic 13**

resilience  
social  
environmental  
systems  
human  
sustainability  
political  
global  
social-ecological  
climate-change  
dimensions  
between  
concept  
science  
ecological  
perspective  
processes  
complex

data  
modeling  
projected

**Topic 08**

coastal  
level  
rise  
sea  
areas  
sea-level  
land  
island  
vulnerable  
storm  
erosion  
zone  
flooding  
coast  
pacific  
islands  
including  
inundation  
small  
along

**Topic 14**

community  
communities  
knowledge  
local  
research  
perceptions  
through  
findings  
perception  
canada  
understanding  
case  
participatory  
environmental  
arctic  
indigenous  
conducted  
interviews

tropical  
increasing  
exposure

**Topic 09**

policy  
research  
challenges  
governance  
sustainable  
institutional  
key  
review  
literature  
between  
action  
issues  
need  
studies  
institutions  
barriers  
processes  
practice  
focus  
opportunities

**Topic 15**

temperature  
increase  
crop  
precipitation  
conditions  
production  
changes  
climatic  
soil  
higher  
regions  
growth  
yield  
degrees  
increased  
rainfall  
crops  
trends

regional  
direct  
supply

**Topic 10**

species  
forest  
conservation  
ecosystems  
forests  
biodiversity  
ecological  
marine  
warming  
vegetation  
ecosystem  
growth  
climatic  
range  
tree  
distribution  
habitat  
populations  
diversity  
fire

**Topic 16**

planning  
urban  
local  
information  
risks  
infrastructure  
areas  
can  
cities  
city  
government  
strategies  
vulnerabilities  
design  
plans  
environment  
process  
through

different  
mapping  
applied

**Topic 11**

framework  
approach  
assessments  
can  
integrated  
system  
decision  
analysis  
different  
approaches  
identify  
process  
making  
provide  
methods  
systems  
application  
decision-making  
provides  
tool

**Topic 17**

flood  
measures  
floods  
services  
analysis  
risks  
potential  
should  
flooding  
case  
costs  
impact  
ecosystem  
european  
important  
protection  
economic  
part

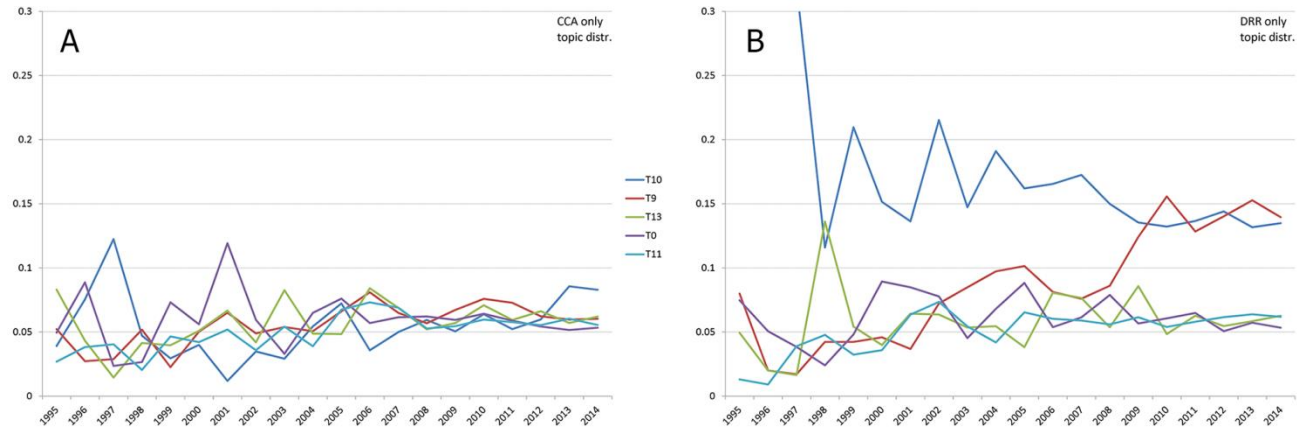
**Topic 18** adaptation responses

**Topic 19** hazards & disasters

need	within	changes	increases	effective	benefits
address	through	northern	carbon	existing	reduce
<b>Topic 18</b>	<b>Topic 19</b>				
response	natural				
responses	hazards				
our	disasters				
adapt	hazard				
can	reduction				
however	damage				
important	building				
australia	earthquake				
there	mitigation				
ability	preparedness				
across	emergency				
factors	loss				
those	seismic				
where	response				
place	buildings				
individual	prevention				
nature	recovery				
while	physical				
limited	structural				
likely	earthquakes				

## Section II. Evolution of top-5 topics in the CCA and DRR streams.

The dynamics of the average topic-proportion of articles for each community (CCA and DRR literatures in graph A and B, respectively) for the five topics with highest absolute topic-proportion per each community, with the exclusion of the information coming from those articles that appear in both dataset.



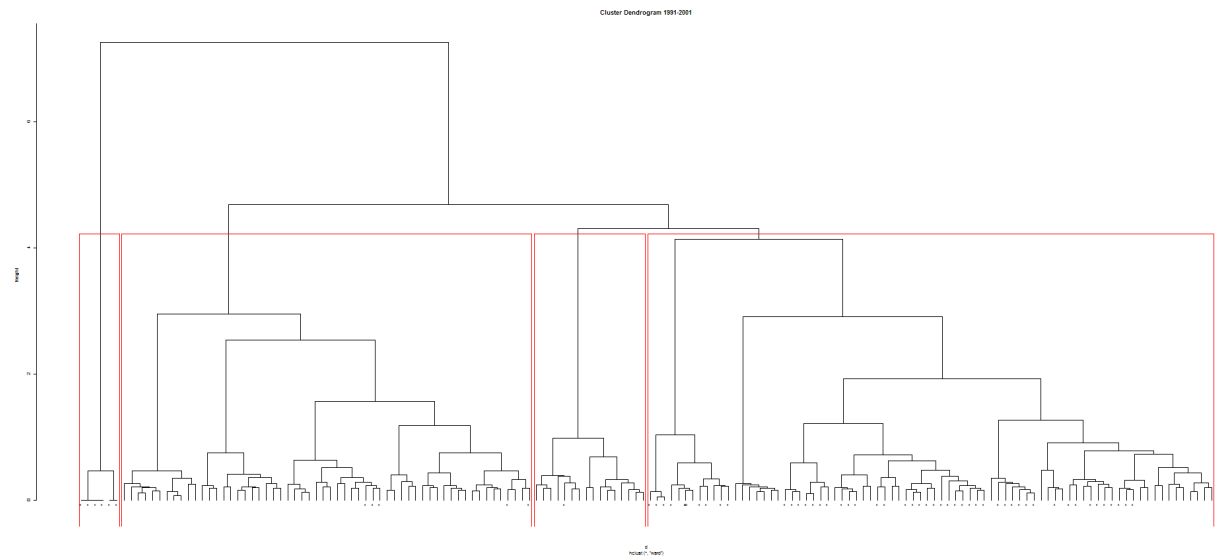
### Section III. Clusters of articles based on their content: dendrograms and centroids

Having transformed each article in a vector of document topic distribution with values between 0 and 1, it is possible to generate a distance matrix and compute a classic clustering analysis. We first calculated the Euclidean distance for the articles in each time slice and used the aggregating Ward method (Murtagh and Contreras, 2012). Then we plot and compute centroids to characterize the different clusters. In the following figures and tables we show and analyze the evolution of the literature. Dendrograms were plotted to show a synthetic representation of the results.

Identification of clusters through topic weighs of cluster centroids in four time slices (clusters are in the same visual order)

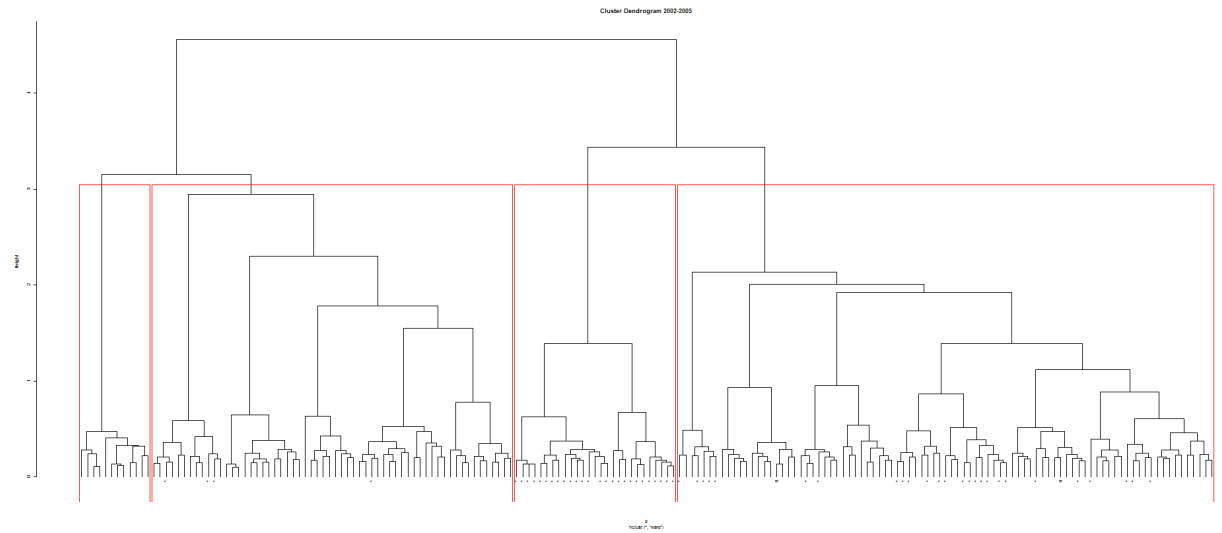
In dendrograms (\*) display DRR articles, and (!!) highlight CCA&DRR articles.

TS1 (1991- 2001)	topic weight				topic titles
	cl. 1 (far left)	cl. 2	cl. 3	cl. 4 (far right)	
T.0	0.00	0.08	0.05	0.02	food security
T.1	0.00	0.09	0.04	0.02	drought & water resources
T.2	0.00	0.11	0.04	0.04	models & scenarios
T.3	0.00	0.05	0.07	0.06	extreme events
T.4	0.00	0.04	0.03	0.04	social (&economic) issues
T.5	0.00	0.03	0.02	0.03	data analysis (indexes, indicators, maps)
T.6	0.04	0.02	0.03	0.04	society & people (rural)
T.7	0.00	0.02	0.02	0.08	health & people
T.8	0.00	0.02	0.37	0.03	coastal vuln & sea level rise
T.9	0.00	0.02	0.03	0.06	policy & govenrnace
T.10	0.00	0.09	0.02	0.02	ecology (& forestry)
T.11	0.00	0.03	0.03	0.05	methods & assessment
T.12	0.00	0.04	0.04	0.10	countries and the world
T.13	0.00	0.03	0.02	0.07	resilience
T.14	0.08	0.02	0.01	0.03	(local) communities
T.15	0.00	0.15	0.02	0.02	climate science
T.16	0.00	0.03	0.05	0.05	planning (urban&local)
T.17	0.00	0.04	0.07	0.07	floods
T.18	0.00	0.05	0.02	0.05	adaptation responses
T.19	0.88	0.02	0.03	0.12	hazards & disasters
papers	6	58	16	80	



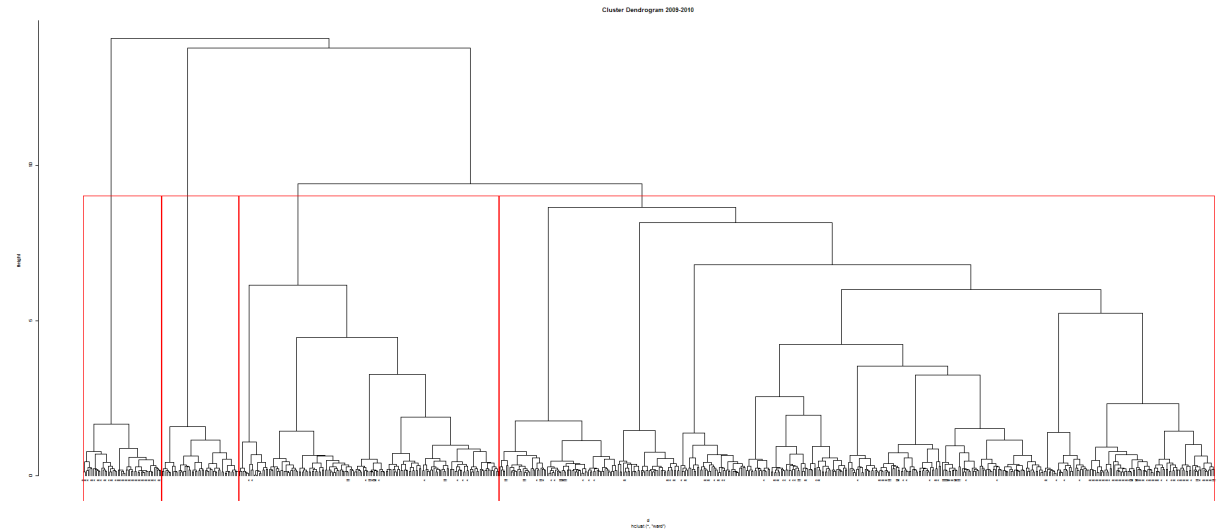
## TS2 (2002-05)

T.0	0.04	0.09	0.01	0.03	food security
T.1	0.03	0.07	0.03	0.04	drought & water resources
T.2	0.05	0.12	0.04	0.03	models & scenarios
T.3	0.05	0.04	0.05	0.06	extreme events
T.4	0.04	0.04	0.03	0.05	social (&economic) issues
T.5	0.03	0.04	0.11	0.04	data analysis (indexes, indicators, maps)
T.6	0.02	0.03	0.03	0.06	society & people (rural)
T.7	0.02	0.03	0.04	0.05	health & people
T.8	0.02	0.10	0.03	0.03	coastal vuln & sea level rise
T.9	0.02	0.03	0.03	0.07	policy & govenrnace
T.10	0.34	0.03	0.01	0.02	ecology (& forestry)
T.11	0.03	0.04	0.06	0.07	methods & assessment
T.12	0.03	0.04	0.06	0.08	countries and the world
T.13	0.01	0.02	0.04	0.08	resilience
T.14	0.04	0.02	0.03	0.05	(local) communities
T.15	0.08	0.10	0.01	0.02	climate science
T.16	0.02	0.03	0.04	0.05	planning (urban&local)
T.17	0.02	0.05	0.06	0.06	floods
T.18	0.07	0.05	0.04	0.07	adaptation responses
T.19	0.02	0.02	0.26	0.05	hazards & disasters
papers	12	60	27	89	



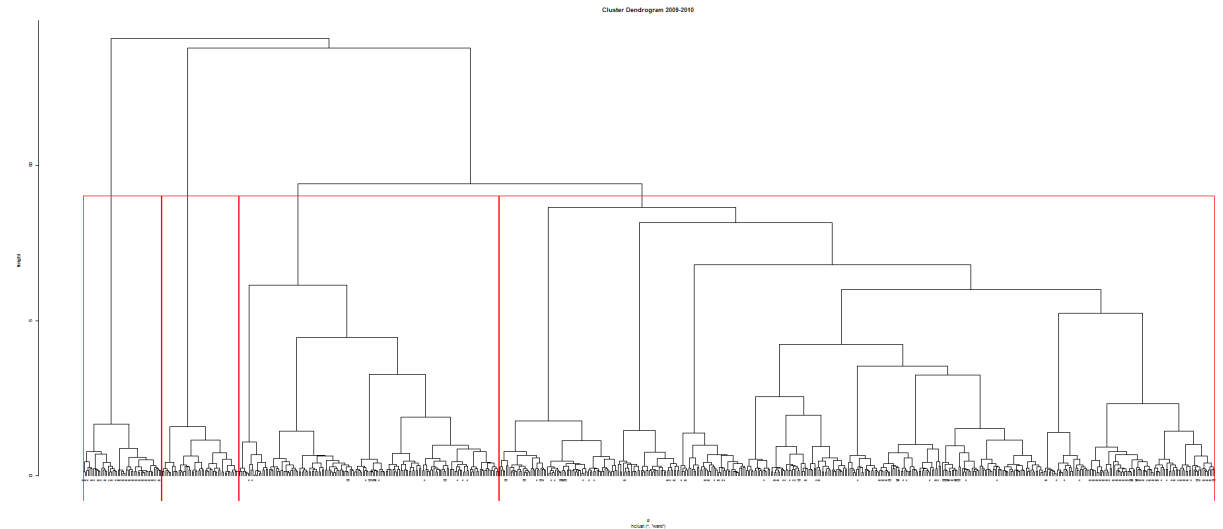
#### TS4 (2009-10)

T.0	0.01	0.02	0.13	0.03	food security
T.1	0.05	0.06	0.08	0.03	drought & water resources
T.2	0.04	0.05	0.04	0.04	models & scenarios
T.3	0.04	0.05	0.04	0.04	extreme events
T.4	0.02	0.03	0.04	0.05	social (&economic) issues
T.5	0.38	0.03	0.03	0.04	data analysis (indexes, indicators, maps)
T.6	0.02	0.01	0.10	0.04	society & people (rural)
T.7	0.01	0.03	0.03	0.05	health & people
T.8	0.04	0.03	0.02	0.05	coastal vuln & sea level rise
T.9	0.02	0.02	0.05	0.08	policy & govenrnace
T.10	0.02	0.35	0.03	0.02	ecology (& forestry)
T.11	0.06	0.02	0.03	0.07	methods & assessment
T.12	0.02	0.02	0.05	0.06	countries and the world
T.13	0.03	0.02	0.04	0.08	resilience
T.14	0.02	0.02	0.04	0.07	(local) communities
T.15	0.02	0.11	0.09	0.02	climate science
T.16	0.04	0.02	0.03	0.07	planning (urban&local)
T.17	0.05	0.02	0.04	0.05	floods
T.18	0.02	0.05	0.05	0.05	adaptation responses
T.19	0.09	0.01	0.02	0.07	hazards & disasters
papers	48	47	158	435	



### TS6 (2013-14)

T.0	0.02	0.01	0.12	0.03	food security
T.1	0.05	0.03	0.09	0.03	drought & water resources
T.2	0.05	0.05	0.05	0.05	models & scenarios
T.3	0.04	0.05	0.04	0.05	extreme events
T.4	0.03	0.03	0.03	0.06	social (&economic) issues
T.5	0.04	0.21	0.05	0.04	data analysis (indexes, indicators, maps)
T.6	0.02	0.03	0.12	0.04	society & people (rural)
T.7	0.03	0.02	0.03	0.05	health & people
T.8	0.02	0.17	0.03	0.03	coastal vuln & sea level rise
T.9	0.03	0.02	0.04	0.08	policy & governance
T.10	0.35	0.02	0.02	0.02	ecology (& forestry)
T.11	0.04	0.07	0.04	0.07	methods & assessment
T.12	0.03	0.02	0.03	0.05	countries and the world
T.13	0.03	0.04	0.03	0.08	resilience
T.14	0.03	0.03	0.04	0.07	(local) communities
T.15	0.08	0.03	0.10	0.03	climate science
T.16	0.02	0.04	0.03	0.06	planning (urban&local)
T.17	0.03	0.05	0.04	0.05	floods
T.18	0.05	0.03	0.05	0.06	adaptation responses
T.19	0.01	0.05	0.02	0.05	hazards & disasters
papers	169	120	230	620	



The cluster analysis segmented into six time slices aimed at understanding whether the topics could characterize the evolution of the literature and allow for the identification of research sub-streams characterised by peculiar topic distributions. In order to have comparable results, we focused at the hierarchical level of the classification tree at which the set of paper is subdivided into four branches (clusters). The analysis of the first time period (1991-2001) shows two well identified groups of papers: the first is characterised by the prevalence of T19 (hazards and disasters), with 6 papers only, belonging to the DRR set. The second cluster is also clearly identified by T2 and T15, identifying a subset of 58 papers (almost entirely belonging to CCA) focused on climatic science, scenarios and models. The third group of 16 papers (almost all CCA) is characterised by T8 and also T3 and T17, thus identifying papers dealing with coastal vulnerability, sea level rise, floods and other extreme events. The remaining 80 papers (mainly belonging to DRR) are rather varied in topics. The following time period (2002-05) shows again a cluster of 27 DRR papers characterised by T19, but with



T5 (indices, indicators and maps) as a second most important topic. Again, a second cluster of almost only CCA papers (60 in total) is identifiable, while a new small cluster of 12 CCA papers appears, focused on ecology (T10) and climate change adaptation (T15 and T18). Later on, the DRR cluster with focus on disaster continues its evolution, with T19 still relevant, but with T5 (indices, indicators and maps) as the most relevant. Similarly, an evolving cluster with almost only CCA with focus on ecology can be identified as one of the four in the following periods.

### ***References***

Murtagh, F., Contreras, P. (2012) Algorithms for hierarchical clustering: an overview. Wiley Interdisciplinary Reviews: Data Mining and Knowledge Discovery 2, 86-97.

Steyvers, M., Griffiths, T., (2007) Probabilistic topic models, in: Landauer, T.K., McNamara, D.S., Dennis, S., Kintsch, W. (Eds.), Handbook of latent semantic analysis. Routledge, New York, NY, pp. 427-448.