

An easily recoverable and recyclable homogeneous polyester-based Pd catalytic system for the hydrogenation of α,β -unsaturated carbonyl compounds



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ARTICLE INFO

Article history:

Received 23 March 2015

Received in revised form 1 July 2015

Accepted 2 July 2015

Available online 6 July 2015

Keywords:

Hydrogenation

Homogeneous catalysis

Palladium

Macrocomplexes

Polyester

ABSTRACT

Homogeneous catalysis is an efficient tool to carry out hydrogenation processes but the major drawback is represented by the separation of the expensive catalyst from the product mixture. In this view we prepared a polyester-based Pd catalytic system that offers the advantages of both homogenous and heterogeneous catalyses: efficacy, selectivity and recyclability. Here its application in the hydrogenation of selected α,β -unsaturated carbonyl compounds is described.

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1. Introduction

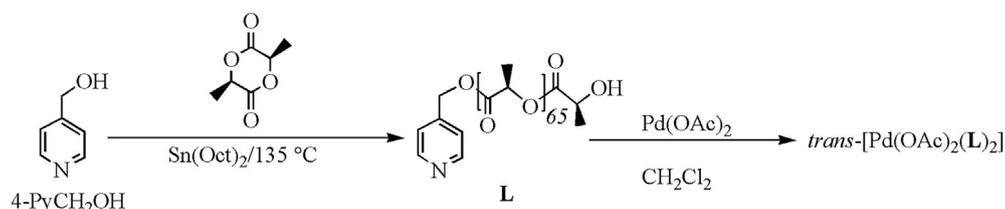
The selective hydrogenation of α,β -unsaturated carbonyl compounds is an important process in the manufacture of some useful fine chemicals as intermediates for the synthesis of pharmaceuticals, additives for food flavors and valuable building blocks for fragrances [1]. The selective reduction of α,β -unsaturated carbonyl compounds by means of molecular hydrogen in the presence of catalysts still remains an intriguing challenge in catalysis [2]. The selectivity, including enantioselectivity, strongly depends on the nature of the active metal catalyst [3] and on the reaction conditions [4]. Homogeneous catalysts often present good activity and selectivity, but the major drawback of homogeneous processes is the separation of the precious catalyst from the product mixture that requires an energy intensive process such as distillation. Hence several attempts have been accomplished in order to facilitate the separation of the catalyst from the reaction medium like the use of biphasic systems [5] or supported metal complexes [6]. In the realm of Pd-based catalysts the hydrogenation reaction has been carried out in the presence of numerous systems [7]. Interesting

results have been achieved with polymer-bound palladium complexes in heterogeneous reactions [8–10]. Recently, an innovative approach to recycle a catalytic system has been proposed. It is based on a homogeneous system consisting in a polyester-based Pd-macrocomplex that can be recovered by its precipitation and easy separation from the reaction mixture. The catalyst is prepared by reaction of $\text{Pd}(\text{OAc})_2$ with 4-pyridinemethylene-end-capped poly(L-lactide) (macroligand L), as shown in Scheme 1 [11].

The resulting Pd-pyridine poly(L-lactide) macrocomplex, *trans*- $[\text{Pd}(\text{OAc})_2(\text{L})_2]$, has been applied by some of us to catalyze the aerobic oxidation of selected primary and secondary alcohols [12]. Here we report on the capability of *trans*- $[\text{Pd}(\text{OAc})_2(\text{L})_2]$ to be used as catalyst in the hydrogenation reaction of selected α,β -unsaturated carbonyl compounds, precisely 2-cyclohexen-1-one (**I**), (3E)-4-phenylbut-3-en-2-one (**IV**), (3E)-4-(6-methoxy-2-naphthyl)but-3-en-2-one (**VII**), (2E)-3-phenylprop-2-enal (**X**) and (2E)-3-(1,3-benzodioxol-5-yl)-2-methyl-prop-2-enal (**XIII**). The macrocomplex is soluble under the applied catalytic conditions but, upon addition of a hydrocarbon solvent or preferably methanol, the polymer-anchored Pd catalyst precipitates allowing the separation from the reaction solution and, thus, it is easily recyclable. This system therefore combines the activity and selectivity of a homogeneous catalyst with the easy recovery and recyclability of a

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Scheme 1. Synthesis of *trans*-[Pd(OAc)₂(L)₂].

heterogeneous one without making any change to the catalyst structure.

2. Experimental

2.1. Materials

2-Cyclohexen-1-one, (3E)-4-phenylbut-3-en-2-one, (2E)-3-phenylprop-2-enal, 4-pyridinemethanol, dry CH₂Cl₂, pyridine and Pd(OAc)₂ were Aldrich products. (3E)-4-(6-methoxy-2-naphthyl)but-3-en-2-one was a generous gift from Chemi SpA. (2E)-3-(1,3-benzodioxol-5-yl)-2-methyl-prop-2-enal was synthesized as described in the literature [13]. Starting materials for the synthesis of the macroligand **L** and *trans*-[Pd(OAc)₂(L)₂] were Purac products. Pd(II) pyridine poly(L-lactide) macrocyclic complex, *trans*-[Pd(OAc)₂(L)₂], was synthesized and characterized as described by Giachi et al. [11] (see Supplementary content). GC analyses were carried out on an Agilent 6850A gas chromatograph (HP1 column 30 m × 0.32 mm × 0.25 μm) and GC–MS analyses were performed by using an Agilent MS Network

5937 (HP-5MS column 30 m × 0.25 mm × 0.25 μm). ¹HNMR analyses were performed by using a GEMINI 400 MHz using CDCl₃ (Aldrich). GPC analyses were carried out with Waters mod. binary HPLC 1525 equipped with three columns (Shodex KF802.5, KF-803, KF-804) and a refractive index detector Wyatt T-REX optilab. Analyses were performed with THF (Chromasolv, HPLC-grade ≥99.8%). ICP analysis was performed by “Laboratorio di Microanalisi” of Chemistry Department of University of Florence. XPS analysis was run on a Perkin-Elmer Φ 5600-ci spectrometer using non-monochromatized Al K_α radiation (1486.6 eV). The sample analysis area was 800 μm in diameter and the working pressure was lower than 10^{−9} mbar. The spectrometer was calibrated by assuming the binding energy (BE) of the Au 4f_{7/2} line at 83.9 eV with respect to the Fermi level. The standard deviation for the BEs values was ±0.2 eV. Survey scans were obtained in the 0–1300 eV range (187.8 eV pass energy, 0.4 eV step^{−1}, 0.05 s step^{−1}). Detailed scans were recorded for the C1s, O1s, N1s and Pd3d regions (23.5 eV pass energy, 0.1 eV step^{−1}, 0.1 s step^{−1}). No further element was detected. The BE shifts were corrected by assigning to the C1s peak associated with adventitious hydrocarbons a value of 284.8 eV [14]. Samples were mounted on steel holders and introduced directly in the fast-entry lock system of the XPS analytical chamber. The analysis involved Shirley-type background subtraction, non-linear least-squares curve fitting adopting Gaussian–Lorentzian peak shapes, and peak area determination by integration [15]. The atomic compositions were evaluated from peak areas using sensitivity factors supplied by Perkin-Elmer, taking into account the geometric configuration of the apparatus [16]. Analysis was performed on different sample portions in order to check for chemical homogeneity.

Table 1
Hydrogenation of 2-cyclohexen-1-one (**I**) catalyzed by Pd-catalysts.

Entry	Pre-catalyst or catalyst	t (h)	Conversion (%) ^a	II yield (%)	III yield (%)
1 ^b	Pd(OAc) ₂	1	95	95	nd
2 ^b	Pd(OAc) ₂ /Py (1/2)	3	65	65	nd
3	Pd(OAc) ₂ /TBAB	3	20	20	nd
4	<i>trans</i> -[Pd(OAc) ₂ (L) ₂]	1	30	30	nd
5 ^c	[Pd](L) ₂	1	37	37	nd
6 ^c	[Pd](L) ₂	1	35	35	nd
7	<i>trans</i> -[Pd(OAc) ₂ (L) ₂]	2	70	70	nd
8	<i>trans</i> -[Pd(OAc) ₂ (L) ₂]	3	>99	>99	nd
9 ^c	[Pd](L) ₂	3	>99	>99	nd
10 ^c	[Pd](L) ₂	3	>99	>99	nd
11 ^c	[Pd](L) ₂	3	>99	>99	nd

Reaction conditions: substrate = 10.5 mmol; substrate/Pd (molar ratio) = 1000/1; toluene = 10 mL; p(H₂) = 0.1 MPa; T = 30 °C; TBAB = tetrabutylammonium bromide; Py = pyridine. nd = not detected in the reaction mixture.

^a Determined by GC (n-dodecane as internal standard).

^b Pd black was formed.

^c Reaction carried out by using the catalytic palladium species *trans*-[Pd(OAc)₂(L)₂], indicated as [Pd](L)₂, recovered from the previous run.

Table 2
Hydrogenation of (3E)-4-phenylbut-3-en-2-one (**IV**) catalyzed by *trans*-[Pd(OAc)₂(L)₂].

Entry	t (h)	Conversion (%) ^a	V yield (%)	VI yield (%)
1	1	20	20	nd
2	3	>99	>99	nd
3 ^b	3	>99	>99	nd
4 ^b	3	>99	>99	nd
5 ^b	3	>99	>99	nd
6 ^c	3	39	39	nd

Reaction conditions: substrate = 5.6 mmol; substrate/Pd (molar ratio) = 1000/1; toluene = 10 mL; p(H₂) = 0.1 MPa; T = 30 °C. nd = not detected in the reaction mixture.

^a Determined by GC (n-dodecane as internal standard).

^b Reaction carried out by using the catalyst recovered from the previous run.

^c Experiment carried out by using 25 ml of toluene instead of 10 ml.

2.2. General procedure for hydrogenation experiments

All the reactions were carried out following a procedure similar to that below described for the polyester-based Pd catalyzed hydrogenation of (2E)-3-phenylprop-2-enal (**X**). Experimental details, different for every substrate, are reported in Tables 1–5.

A 150-mL stainless steel reaction vessel was charged, under a nitrogen purge, with 396 mg (3 mmol) of **X**, 26.7 mg of *trans*-[Pd(OAc)₂(L)₂] (corresponding to 0.003 mmol of Pd) and 10 mL of toluene. The reactor was then pressurized with 0.2 MPa of hydrogen and heated at 30 °C for 6 h (Table 4). The reactor was then cooled to room temperature, the

Table 3
Hydrogenation of (3E)-4-(6-methoxy-2-naphthyl)but-3-en-2-one (**VII**) catalyzed by *trans*-[Pd(OAc)₂(L)₂].

Entry	P(H ₂) (MPa)	t (h)	Conversion (%) ^a	VIII yield (%)	IX yield (%)
1	0.1	3	2	2	nd
2	0.1	24	57	57	nd
3	1	3	15	15	nd
4	2	3	44	44	nd
5	2	5	56	54	2
6 ^b	2	5	52	51	1
7 ^b	2	5	55	54	1
8 ^b	2	5	52	52	nd

Reaction conditions: substrate = 5.5 mmol; substrate/Pd (molar ratio) = 1000/1; T = 30 °C; toluene = 25 mL. nd = not detected in the reaction mixture.

^a Determined by GC (n-dodecane as internal standard).

^b Reaction carried out by using the catalyst recovered from the previous run.

Table 4
Hydrogenation of (2E)-3-phenylprop-2-enal (**X**) catalyzed by *trans*-[Pd(OAc)₂(L)₂].

Entry	T (°C)	P(H ₂) (MPa)	t (h)	Conversion (%) ^a	XI yield (%)	XII yield (%)
1	30	0.1	6	9	9	nd
2	30	0.1	24	96	90	6
3 ^b	60	0.1	6	70	35	35
4	30	2	6	96	91	5
5 ^c	30	2	6	>99	95	5
6 ^c	30	2	6	>99	95	5
7 ^c	30	2	6	>99	95	5

Reaction conditions: substrate = 3 mmol; substrate/Pd (molar ratio) = 1000/1; toluene = 10 mL. nd = not detected in the reaction mixture.

^a Determined by GC (n-dodecane as internal standard).

^b Pd black was formed.

^c Reaction carried out by using the catalyst recovered from the previous run.

Table 5
Hydrogenation of (2E)-3-(1,3-benzodioxol-5-yl)-2-methyl-prop-2-enal (**XIII**) catalyzed by *trans*-[Pd(OAc)₂(L)₂].

Entry	p(H ₂) (MPa)	t (h)	Conversion (%) ^a	XIV yield (%)	XV yield (%)
1 ^b	0.2	3	5	5	nd
2	0.2	2	28	13	15
3	0.5	7	>99	33	67
4 ^c	0.5	7	>99	27	73
5 ^c	0.5	7	96	36	60
6 ^c	0.5	7	91	41	50

Reaction conditions: substrate = 1.05 mmol; substrate/Pd (molar ratio) = 100/1; toluene = 10 mL; T = 30 °C. nd = not detected in the reaction mixture.

^a Determined by GC (n-dodecane as internal standard).

^b Reaction carried out by using a substrate/Pd (molar ratio) = 1000/1.

^c Reaction carried out by using the catalyst recovered from the previous run.

residual gases released and the reaction mixture analyzed by GC and GC–MS. Methanol was then added to the reaction mixture until complete precipitation of the polyester-based Pd-catalyst that was recovered by filtration, dried under vacuum and reused in a recycling experiment.

Compound **II**, GC–MS *m/z*: 98, 69, 55, 42.

Compound **V**, GC–MS *m/z*: 148, 133, 105, 91, 77, 43.

Compound **VIII**, GC–MS *m/z*: 228, 171, 141, 115.

Compound **IX**, GC–MS *m/z*: 230, 212, 197, 172, 141, 115.

Compound **XI**, GC–MS *m/z*: 134, 105, 91, 78.

Compound **XII**, GC–MS *m/z*: 136, 118, 105, 91, 77.

Compound **XIV**, GC–MS *m/z*: 192, 135, 122, 105, 77, 51.

Compound **XV**, GC–MS *m/z*: 194, 176, 135, 77, 51.

3. Results and discussion

3.1. Catalyst stability

The behavior of the complex *trans*-[Pd(OAc)₂(L)₂] has been studied by ¹HNMR spectroscopy, ICP and XRD analysis. ¹HNMR was a useful technique to study this system (Fig. 1). The formation of *trans*-[Pd(OAc)₂(L)₂] was confirmed by changing in chemical shift (δ) of aromatic hydrogen signals of **L** (8.68 *ortho*-H and 7.46 *meta*-H ppm, trace **A**) to different δ (8.79 *ortho*-H, 8.67 *meta*-H ppm, trace **B**). After three catalytic runs no evidence of palladium nanoparticles (signals at 8.82 *ortho*-H, 8.62 *meta*-H ppm, trace **D**) were detected. The pattern of signals of *trans*-[Pd(OAc)₂(L)₂] was changed (8.82 *ortho*-H, 7.58 *meta*-H ppm, trace **C**). Probably this was due to the formation, after work up, of palladium(II) species, like palladium oxides, still bound

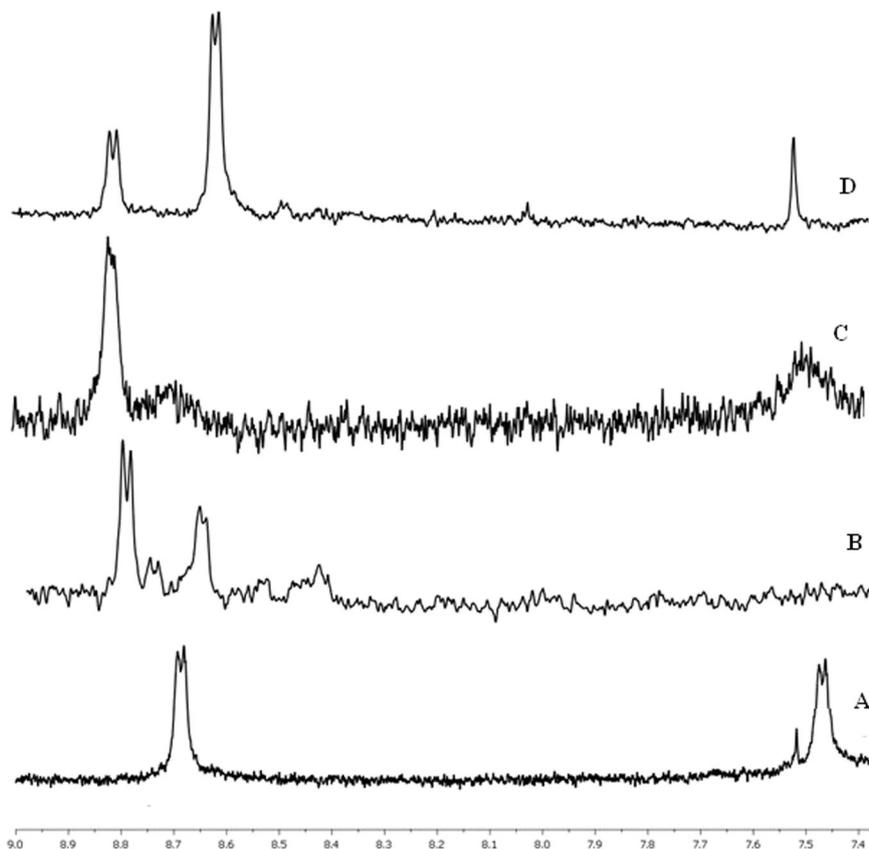


Fig. 1. Signals of aromatic hydrogens of: A) **L**, B) *trans*-[Pd(OAc)₂(L)₂], C) *trans*-[Pd(OAc)₂(L)₂] after three catalytic runs, D) [Pd(0)-L] obtained by reduction of *trans*-[Pd(OAc)₂(L)₂] at 0.1 Mpa of H₂ and 60 °C for 6 h.

to **L**. Moreover, ICP analysis showed only a 0.36% loss of palladium (mol/mol) after the first catalytic run (2-cyclohexen-1-one (**I**) hydrogenation, 3 h, 30 °C and $P(\text{H}_2) = 0.1$ MPa).

XPS investigation was performed on the fresh catalyst and after the first catalytic run (2-cyclohexen-1-one hydrogenation, 3 h, 30 °C and $P(\text{H}_2) = 0.1$ MPa) in order to evaluate its chemical composition before and after the hydrogenation reaction. In both cases, the expected elements, i.e. palladium, nitrogen, carbon, and oxygen were found. Fig. 2A shows the XPS spectra related to the Pd3d regions before (continuous line) and after (dotted line) the hydrogenation process and work-up. Similar features for the peaks were observed, suggesting an alike electronic environment around palladium in the fresh catalyst and after the reaction and recovery. In particular, both spectra are composed of a doublet corresponding to the emission from the spin-orbit split $3d_{5/2}$ and $3d_{3/2}$ core levels. The corresponding binding energy peaks positions at 337.1 and 342.2 eV are assigned to divalent Pd^{2+} ions in palladium compounds [17]. Therefore, the interpretation of the Pd3d spectra indicates that palladium, which is reduced under the catalytic conditions, is however reoxidized, during the work-up and recovery, probably for the action of air [18,19]. Regarding the C1s line, a complex shape profile is found with the presence of at least three different carbon-related contributions, as shown in Fig. 2B. Peak fitting revealed three components centered at binding energies (BE) 284.8 eV (C_{I}), 286.8 eV (C_{II}) and 288.7 eV (C_{III}). According to the chemical structure of the palladium macrocycle, the dominant lower energy component (C_{I}) can be ascribed to aliphatic carbon; a second component (C_{II}) at higher BE can be associated to oxygen-bound carbon; a third component (C_{III}) at even higher BE that can be coherently assigned to the electron-depleted carboxylic carbon.

3.2. Catalytic experiments

A first set of hydrogenation experiments was carried out on 2-cyclohexen-1-one (**I**) as the substrate (Scheme 2), by using a 1000/1 substrate to catalyst molar ratio and under 0.1 MPa H_2 ; after 1 h at 30 °C cyclohexanone (**II**) was obtained with 30% yield (entry 4, Table 1). Upon prolonging the reaction time up to 3 h, 100% of both conversion and selectivity to **II** was achieved (Entry 8, Table 1). After each experiment the catalytic system was precipitated by simply adding methanol to the solution. The catalyst was then filtered, dried under vacuum and reused in a consecutive experiment: noteworthy, the catalytic activity and selectivity to cyclohexanone (**II**) remained unchanged even after three recycling experiments (entries 9–11, Table 1). As $\text{trans}[\text{Pd}(\text{OAc})_2(\text{L})_2]$ was synthesized from $\text{Pd}(\text{OAc})_2$ and the polylactide ligand (**L**), a reaction was carried out in the presence of $\text{Pd}(\text{OAc})_2$ alone without any external ligand and in the same experimental conditions, in order to make a comparison of the two systems: cyclohexanone (**II**) was obtained with a 95% yield already after 1 h, but the catalyst decomposed with formation of an insoluble and catalytically inactive Pd black, not suitable for any recycle (entry 1, Table 1). Also carrying out the reaction at the same reaction conditions but in the presence of pyridine (Py) as external ligand ($\text{Pd}/\text{Py} = 1/2$ molar ratio), 65% of cyclohexanone (**II**) was formed but again Pd black was observed in the mixture (entry 2, Table 1). Furthermore, in an experiment carried out in the presence of $\text{Pd}(\text{OAc})_2$ and tetrabutylammonium bromide as the ligand [20], the conversion was only 20% (run 3, Table 1) after 3 h, so evidencing the good performance of the polyester-based Pd catalyst.

The polyester-based Pd catalyst showed good activity also in the hydrogenation of (3E)-4-phenylbut-3-en-2-one (**IV**) (Scheme 3a, Table 2). In this case **V** was quantitatively obtained, as the sole reaction product, after 3 h at 30 °C, under 0.1 MPa of H_2 and by using a 1000/1 substrate/Pd molar ratio. The catalyst, recovered by adding methanol to the reaction mixture, was used in three successive experiments in which it showed unchanged activity and selectivity (entries 2–5, Table 2).

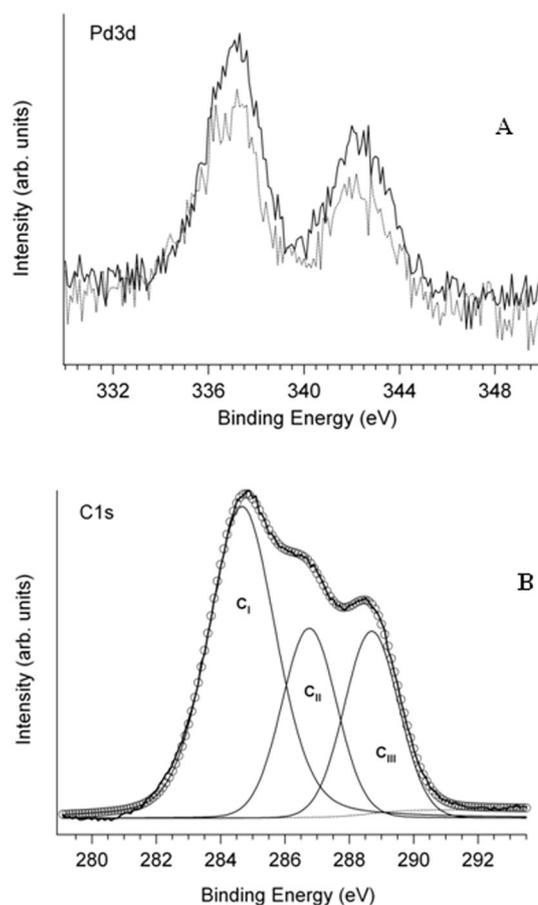
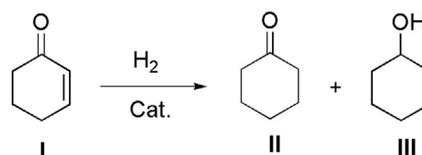


Fig. 2. A) High resolution XPS spectra of the Pd3d regions before (continuous lines) and after (dotted lines) the catalytic process. B) High resolution XPS spectrum of the C1s region before the hydrogenation process. The fitting components of the peak are also reported. The same profile is observed after reaction.

Once aware of the capability of the catalyst to hydrogenate selectively the C=C double bond, some hydrogenation experiments on the α,β -unsaturated ketone **VII**, a valuable precursor of Nabumetone (**VIII**), a nonsteroidal anti-inflammatory, analgesic and antipyretic drug (Scheme 3b, Table 3) were carried out. Many synthetic procedures to prepare Nabumetone are described in the literature and all of them involve the selective hydrogenation of the carbonyl conjugated C=C double bond [21]. Usually, heterogeneous catalysts as Pd/C, Ni Raney [22], Rh/ Al_2O_3 [23] and Cu/ SiO_2 [24] are employed, and in some cases, when the catalyst is Pd, its pre-activation or the use of a base is necessary to obtain good selectivity [21]. The hydrogenation of **VII** was studied at different H_2 pressures and reaction times, while keeping constant at 30 °C the temperature and with a substrate to catalyst molar ratio of 1000/1. A first experiment carried out at 0.1 MPa of H_2 for 3 h gave a very low conversion to **VIII**. However, on increasing both pressure and reaction time (2 MPa, 5 h) a 56% conversion was obtained; in this case also 2% of alcohol **IX** was formed (entry 5, Table 3). By comparing these results with those obtained with the structurally related **IV**, a sharp decrease of the reaction rate can be observed. The lower activity



Scheme 2. Hydrogenation of 2-cyclohexen-1-one (**I**).

than other palladium catalysts [35]; only by forcing the reaction conditions the saturated alcohols **IX** and **XII** are produced, even if, in most cases, in almost negligible amounts. On the contrary a mixture of saturated carbonyl compound **XIV** and saturated alcohol **XV** is obtained by hydrogenation of substrate **XIII**. This fact is justified, according to us, by steric reasons, the methyl group in α position in this unsaturated aldehyde being able to affect negatively the interaction between C=C bond with this hindered Pd species and positively its interaction with C=O. Noteworthy, in all the hydrogenation experiments, whatever the unsaturated carbonyl compound tested, the corresponding allylic alcohol has never been detected in the reaction mixture. It is to point out that this homogeneous catalyst is easily separated from the reaction mixture by simple addition of methanol to the reaction mixture and the recovered catalytic system maintains a good activity and selectivity also in recycling experiments. Considering both the data collected and the easy recyclability, this homogeneous catalytic species is a promising and peculiar catalyst for the hydrogenation of α,β -carbonyl compounds. Moreover, the catalyst was substantially unmodified after catalytic runs and recovery as shown by ICP, XRPD, XPS and ^1H NMR analysis.

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