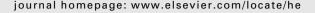
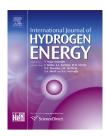


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Interplay between morphology and electrochemical performance of "core—shell" electrocatalysts for oxygen reduction reaction based on a PtNi_x carbon nitride "shell" and a pyrolyzed polyketone nanoball "core"

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ARTICLE INFO

Article history:
Received 27 April 2013
Received in revised form
25 July 2013
Accepted 12 August 2013
Available online 14 September 2013

Keywords:
PEM fuel cells
"Core—shell" carbon nitride (CN)
electrocatalysts
Oxygen reduction reaction
HR-TEM
CV-TF-RRDE method

ABSTRACT

The interplay between morphology and electrochemical performance of a new class of "core-shell" electrocatalysts for the oxygen reduction reaction (ORR) is studied. The electrocatalysts, labelled PtNi-CN1 T_f/ST_D, consist of a "core" of pyrolyzed polyketone nanoballs (indicated as STp "core" support) covered by a carbon nitride (CN) "shell" matrix embedding PtNix alloy NPs (indicated as PtNix-CN). The electrocatalysts are characterized by means of: (a) high-resolution transmission electron microscopy (HR-TEM); and (b) cyclic voltammetry with the thin-film rotating ring-disk electrode (CV-TF-RRDE) method. The structure of the STp "core" supports and the details of the preparation procedure, such as pyrolysis temperature, T_f, and treatment with H₂O₂, play a crucial role on modulating: (a) the morphology; and (b) the ORR performance of the electrocatalysts. In particular, the best results are achieved for PtNi-CN_l T_f/ST_p systems: (a) including a ST_p "core" support with a high porosity; and (b) obtained at $T_f = 600$ °C. It is demonstrated that in general, the treatment with H₂O₂ of electrocatalysts is detrimental for the ORR performance. Nevertheless, in particular conditions, the treatment in H₂O₂ improves the ORR performance of PtNi-CN₁ T_f/ST_p. The results presented in this work allow to elucidate the complex correlation existing between: (a) the composition; (b) the interactions in $PtNi_x$ -CN; (c) the morphology of ST_p and $PtNi-CN_l$ T_f/ST_p ; and (d) the ORR performance of the electrocatalysts.

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1. Introduction

The development of innovative electrocatalysts is one of the most important aspects of the modern research in the field of proton exchange membrane fuel cells (PEMFCs) [1]. This latter breakthrough technology shows great potential to play a major role in a variety of disparate applications, ranging from the electrification of surface transport to the efficient exploitation of the energy derived from renewable sources [2]. However, significant drawbacks are still to be addressed before PEMFCs can be applied commercially on a large scale. In particular, traditional PEMFCs operate at T < 120 °C; furthermore, they adopt electrolytes generating a highly acidic environment at the electrodes, e.g., perfluorinated ionomers and sulfonated aromatic polymers [3-5]. In these conditions, the redox process responsible of the operation of PEMFC electrodes require suitable electrocatalysts to achieve a performance level compatible with the applications [6]. In particular, the kinetics of the oxygen reduction reaction (ORR) is very sluggish, and is one of the most important bottlenecks in the overall performance of the PEMFC [6,7]. The best ORR activity in the operating conditions typical of PEMFCs is afforded by active sites based on platinum-group metals such as platinum or palladium [6,8]. The abundance of these elements in the Earth's crust is very limited, giving rise to high costs and a significant risk of supply bottlenecks [9,10]. Despite these shortcomings, as of today the only viable ORR electrocatalysts for application in PEMFCs include active sites based on platinum-group metals [6,8]. In state-of-the-art ORR electrocatalysts, the loading of platinum-group metals is reduced by dispersing the active sites on a conductive support characterized by a large surface area (e.g., XC-72R carbon black nanoparticles) [8,11]. However, there is still a significant need to improve the performance and durability of the electrocatalysts devised following this general approach [1,2,12]. On one hand, the intrinsic turnover frequency of the active sites may be improved above the level showed by systems including only pristine platinum-group metals [1,13]. This result is generally accomplished by alloying the platinumgroup metal with a first-row transition metal such as Fe, Co, Ni, Cu and others [13-17]. On the other hand, the durability of the electrocatalysts should be enhanced. In particular: (a) the aggregation of the platinum-group metal nanoparticles bearing the active sites should be prevented; and (b) the degradation of the interfaces between the support and the platinum-group metal nanoparticles should be inhibited [12]. In principle, there is the possibility to achieve the abovedescribed targets by synthesizing the ORR electrocatalysts according to a new procedure developed over the last years [18,19]. This procedure is unique, and is completely different with respect to the well-assessed synthetic procedures reported in the literature [8,11,20]. In summary, the electrocatalysts are obtained by the pyrolysis of a hybrid inorganicorganic precursor, followed by suitable activation processes [18,19]. The proposed procedure is extremely flexible, since it allows to obtain active sites characterized by a well-controlled chemical composition [21-23]. Furthermore, heteroatoms such as nitrogen can be introduced in the electrocatalysts where desired. Thus, graphitic-like carbon nitride-based

matrices (CN) are obtained, with N-based ligands coordinating the metal alloy nanoparticles [24]. It was demonstrated that a high concentration of nitrogen in the CN matrix improves the tolerance of the electrocatalyst to the decomposition in oxidizing conditions [25]. Furthermore, significant efforts are still needed to optimize this preparation procedure to yield ORR electrocatalysts improved with respect to the state of the art. Recently, most efforts were devoted to improve the morphology of the electrocatalysts [26,27]. It was proposed that "core-shell" morphologies of CN-based electrocatalysts are of crucial importance to improve the ORR performance and to obtain a high dispersion of the active sites. In the "core-shell" morphology, the carbon nitride (CN) "shell" matrix embedding the metal nanoparticles bearing the active sites is supported on a "core" of conducting nanoparticles [26,27].

In this work, innovative "core" supports based on pyrolyzed polyketone nanoballs are used in the preparation of a family of PtNix alloy "core-shell" carbon nitride-based electrocatalysts as described elsewhere [28]. These electrocatalysts present a formula PtNi-CN_l T_f/ST_p, where PtNi-CN_l T_f refers to the "shell", and ST_p denotes the electrolcatalysts' "core". The electrocatalysts' morphology and ORR electrochemistry are investigated extensively by means of HR-TEM and the CV-TF-RRDE method, respectively. The aim of the paper is to achieve a detailed insight of the complex interplay between the electrochemical mechanism and "ex situ" performance and the: (a) features of the conducting STp "core" supports; (b) parameters of the preparation procedure; (c) morphology of the final electrocatalysts; (d) chemical composition of both the PtNi_x-CN "shell" and the ST_p "core" supports; (e) interactions between the CN matrix and the PtNi_x alloy nanoparticles (NPs).

2. Experimental

2.1. Preparation of the PtNi- $CN_l T_f/ST_p$ electrocatalysts

The electrocatalysts investigated in this work are obtained as described elsewhere [28] using a procedure which involves two main steps: (a) preparation of the STp "core" supports; and (b) synthesis of the electrocatalysts. The ST_p "core" supports are obtained by growing polyketone (PK) fibers on XC-72R carbon nanoparticles; subsequently, the samples are treated with conc. H₂SO₄ and undergo a series of pyrolysis steps under vacuum. Two STp "core" supports are obtained, labelled "S500" and "S700", which are prepared with a pyrolysis step carried out at $T_p = 500$ and 700 °C, respectively. The electrocatalysts are synthesized by impregnating each STp "core" support with a PtNi-based Z-IOPE precursor [18,19,26]. These systems undergo a series of pyrolysis steps and suitable treatments to obtain the desired electrocatalysts. The "core-shell" electrocatalysts are labelled as proposed elsewhere [19]: "PtNi-CN_l T_f/ST_p", where "PtNi-CN_l" indicates the "shell" consisting of PtNi_x alloy NPs embedded in a CN "shell" matrix, and the "core" is made of conducting STp nanoparticles obtained as described above. T_f is the temperature of the pyrolysis process to obtain the electrocatalyst. Four electrocatalysts

are obtained: "PtNi– CN_1 600/S500"; "PtNi– CN_1 900/S500"; "PtNi– CN_1 600/S700"; and "PtNi– CN_1 900/S700". An aliquot of each of these materials is further treated with H_2O_2 , 5% vol., yielding four additional systems, which are indexed with the suffix "(w)" and labelled as follows: "PtNi– CN_1 600/S500(w)"; "PtNi– CN_1 900/S500(w)"; "PtNi– CN_1 600/S700(w)"; and "PtNi– CN_1 900/S700(w)".

2.2. Instruments and methods

HR-TEM analysis is carried using the experimental setup and the sample preparation techniques described elsewhere [21]. The analysis is executed at 300 kW with a Jeol 3010 apparatus mounting a Gatan slowscan 794 CCD camera. The samples are suspended in isopropyl alcohol; a 5 μL drop of the suspension is deposited on a holey carbon film supported on 3 mm copper grid. The chemical composition of the electrocatalysts is determined by ICP-AES and microanalysis as described in our earlier papers [26,28]. The assay of Pt, Ni and K is determined using the method of standard additions using the following emission lines: $\lambda(Pt)=214.423$ nm; $\lambda(Ni)=231.604$ nm; $\lambda(K)=766.490$ nm. Sample mineralization is carried out by placing a weighed aliquot (~10 mg) of each material in a closed crucible at 600 °C for 3 h in a ventilated oven. The product is dissolved with hot aqua regia and brought to

volume in a volumetric flask. The assay of C, H, and N is evaluated using a FISONS EA-1108 instrument. The results are included in Supplementary Data for the sake of completeness. The details of the procedure adopted in the preparation of the electrodes to carry out the electrochemical measurements with the CV-TF-RRDE method are reported elsewhere [21,26]. Briefly, each electrocatalyst is mixed with XC-72R in a 1:1 weight ratio and ground in a mortar, yielding a homogeneous powder. Catalyst inks are prepared by suspending these mixtures in suitable amounts of milli-Q water and a commercial Nafion 1100 solution (Alfa Aesar, 5% weight). The electrode bearing the EC-20 reference electrocatalyst (ElectroChem, 20 wt% Pt on XC-72R), labeled "Pt/C reference", is prepared with the same procedure; the only difference is that no further XC-72R is added. The loading of platinum on the tip of each electrode is equal to 15 $\mu g \ cm^{-2}$. The electrochemical measurements are carried out using a model 636 rotating ringdisk electrode system manufactured by Princeton Applied Research connected to a multi-channel VSP potentiostat/galvanostat produced by BioLogic. The complete descriptions of the experimental setup and of the measurement procedure used to execute the electrochemical investigations reported in this paper are found in the literature [26]. The exact determination of the RHE potential is carried out immediately before each measurement as described elsewhere [6].

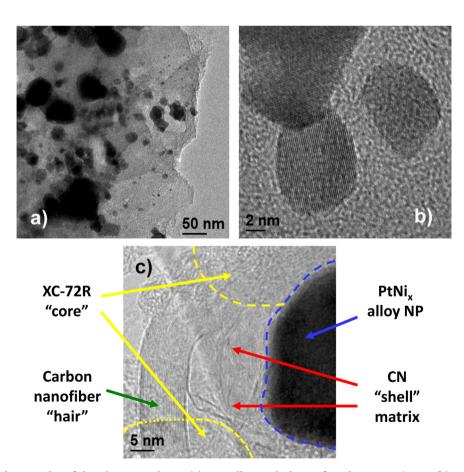


Fig. 1 – HR-TEM micrographs of the electrocatalysts. (a) Overall morphology of $PtNi_{-}CN_{1}$ 900/S700; (b) $PtNi_{x}$ alloy NPs embedded in the CN "shell" matrix of $PtNi_{-}CN_{1}$ 600/S700; and (c) detail of the morphology of the S700 "core" support of $PtNi_{-}CN_{1}$ 900/S700.

3. Results and discussion

3.1. HR-TEM investigations

The main features of the morphology of the PtNi-CN₁ T_f/ST_p electrocatalysts are shown in Fig. 1. Highly polydisperse dark spots associated with PtNix alloy NPs are scattered throughout a light matrix (see Fig. 1(a)). The very small PtNi_x alloy NPs present a particle size d < 10 nm (see Fig. 1(a and b)), while the large submicrometric NPs show d > 50 nm. Fig. 1(c) discloses the morphology of: (a) XC-72R NPs introduced in the first phase of the preparation of the ST_p "core" supports; (b) the pyrolyzed nanofibers of polyketone nanoballs embedding STps; and (c) the CN "shell" matrix supported on the conducting STps. The morphology of the CN matrix is very rough and highly porous (see Fig. 1(c)). Thus, it is expected that the PtNi-CN₁ T_f/ST_p electrocatalysts are characterized by a large surface area. The carbon nanofiber "hairs" present on the surface of the STps improve the dispersion of the active electrocatalytic sites present in the PtNix-CN "shell", as witnessed Fig. 1(c). Fig. 2 shows HR-TEM images of the PtNi_x alloy NPs embedded in the CN "shell" matrix of the electrocatalysts. Well-defined atomic planes are identified in both the PtNi_x alloy NPs (see Fig. 2(a)) and in the PtNi_x alloy submicrometric NPs (see Fig. 2(b)). An interplanar distance of ca. 1.9 Å is determined for both the PtNi_x alloy NPs and the PtNi_x alloy submicrometric NPs (see Fig. 2(a') and (b')), which is consistent with the (002) planes of a PtNix alloy nanocrystal characterized by a cubic fcc structure with a cell constant

equal to ca. 3.8 Å. With respect to pure Pt crystals, the contraction of the cell constant is consistent with PtNi_x alloy NPs where ca. 40-50% of the lattice sites are occupied by Ni atoms [29-31]. In summary, regardless of their size, the PtNi_x alloy NPs show an x equal to ca. 1. This stoichiometry is corresponding to the metal ratio value determined in the electrocatalysts by ICP-AES (see Supplementary Data). The typical morphology of the ST_p "core" support and the CN "shell" matrix of the PtNi-CN_l T_f/ST_p is revealed in Fig. 3.Fig. 3(a) shows the very rough and highly porous morphology of the CN matrix, while Fig. 3(b) highlights the morphology of carbon nanofibers grown on the S500 "core" support. The HR-TEM images shown in Fig. 3(a and b) disclose that both the CN "shell" matrix and the nanofibers present interplanar distances equal to ca. 3.3 Å, which correspond to the spacing between the (002) planes of graphite-like nanocrystals [32]. The typical modulation of the morphology of the PtNi-CN₁ T_f/ST_p electrocatalysts as T_f is raised from 600 to 900 °C is shown in Fig. 4. Fig. 4(a1) and (a2) show that the average size of the PtNix alloy submicrometric NPs increases from 70 \pm 17 nm for PtNi–CN₁ 600/S700 to 136 \pm 32 nm for PtNi-CN₁ 900/S700. In addition, Fig. 4(b1) and (b2) demonstrate that the average size of the PtNix alloy NPs is equal to 8.2 \pm 2.1 nm and 10.7 \pm 3.5 nm for PtNi–CN1 600/S500 and PtNi-CN₁ 900/S500, respectively. The morphology of S500 and S700 "core" supports used for the preparation of the PtNi-CN₁ T_f/ST_p electrocatalysts is consistent with that shown in details elsewhere [28], as follows: (a) both S500 and S700 ST_p "core" supports are characterized by a relatively small

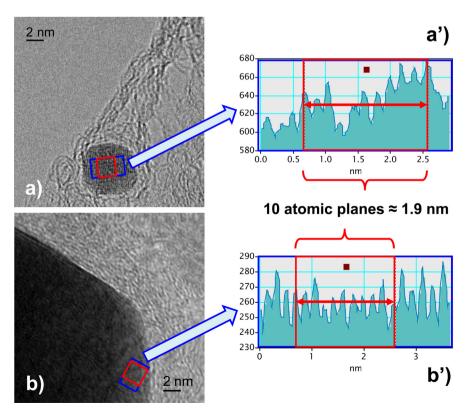


Fig. 2 – Micrographs of the PtNi_x alloy NPs of PtNi– CN_1 T_f/ST_p electrocatalysts. (a) PtNi_x alloy NP in PtNi– CN_1 900/S500; and (b) PtNi_x alloy submicrometric NP in PtNi– CN_1 900/S700. (a') and (b') are plots of the interplanar distance in PtNi_x alloy NPs.

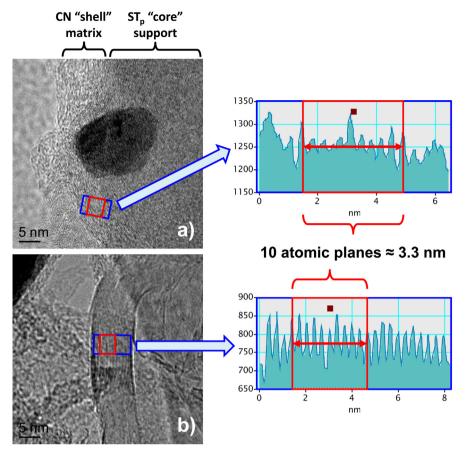


Fig. 3 – Morphology of CN "shell" matrix and ST_p "core" support of the $PtNi-CN_1$ T_f/ST_p electrocatalysts. (a) Nanoporous CN "shell" matrix covering the surface of the S500 "core" support in $PtNi-CN_1$ 600/S500; and (b) carbon nanofiber grown on the surface of carbon NPs in the S700 "core" support of $PtNi-CN_1$ 900/S700.

external surface area, on the order of ca. $60-70 \text{ m}^2 \text{ g}^{-1}$; (b) most of the surface area of the STp "core" supports is originated from the internal micropores (area of the micropores); values of ca. 380 and 215 m^2 g^{-1} are determined for S500 and S700, respectively; and (c) XC-72R carbon nanoparticles are characterized by the largest external surface area (ca. 105 m^2 g^{-1}) and by the lowest area of the micropores (ca. 80 m² g⁻¹). Very small PtNi_x alloy NPs are obtained in the electrocatalyst if the Z-IOPE precursor is spread as a thin layer over a conducting "core" support [27]. If the Z-IOPE precursor does not cover uniformly the "core" support, the metal alloy particles grow to a much larger size since each alloy nucleation site is able to aggregate together metal atoms from a much larger volume of the Z-IOPE precursor during the pyrolysis process [27]. Therefore, the observed morphology of PtNi-CN_l T_f/ST_p electrocatalysts is explained admitting that the Z-IOPE precursor: (a) is covering as a thin layer the large area of the micropores of the ST_p "core" supports; and (b) does not envelop efficiently the external surface of the STp "core" supports, owing to their relatively low external surface area. Thus: (a) the PtNi_x alloy NPs are mostly obtained within the internal micropores; and (b) the PtNix alloy submicrometric NPs are grown in the "bulk-like" domains of Z-IOPE precursor located on the external surface of the ST_p "core" supports.

This model for the interpretation of the morphology of the PtNi-CN₁ T_f/ST_p electrocatalysts is depicted in Fig. 5. For the sake of comparison, the PtNi-CN1 600/G electrocatalyst obtained by impregnating XC-72R carbon NPs with the same Z-IOPE precursor adopted in this work does not embed very large PtNix alloy submicrometric particles [27]. This evidence is interpreted admitting that, with respect to S500 and S700 NPs, the surface of XC-72R carbon NPs is covered by the Z-IOPE precursor much more homogeneously. Indeed, the external surface area of XC-72R carbon NPs is significantly larger in comparison with S500 and S700. This scenario accounts of the effect of T_f increasing from 600 to 900 °C (see Fig. 4(a1) and (a2)) on the morphology of the PtNi_x alloy NPs and of the PtNix alloy submicrometric NPs. Indeed, the size of PtNi_x alloy NPs is quite independent of T_f, thus confirming that the formation of PtNix alloy NPs is promoted by the thermally-activated diffusion of metal atoms originating from the amount of Z-IOPE precursor surrounding the aggregation seed during the pyrolysis process [27]. It is expected that the diffusion and segregation process of the metal atoms are facilitated as T_f is raised. However, the flux of incoming metal atoms towards the aggregation seed depends critically on the "dimensionality" of the migration pathways, i.e. on the amount and the porosity of pyrolyzed Z-IOPE precursor.

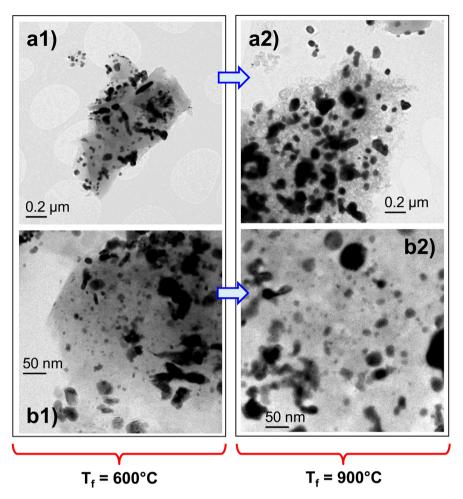


Fig. 4 – Effect of T_f on the morphology of the PtNi_x alloy NPs. Morphology of: PtNi–CN₁ 600/S700 (a1); PtNi–CN₁ 900/S700 (a2); PtNi–CN₁ 600/S500 (b1); and PtNi–CN₁ 900/S500 (b2).

Indeed, the pyrolysis of Z-IOPE precursor domains of Type I (see Fig. 5) is able to aggregate a larger amount of metals in a 3D geometry, while in Type II the Z-IOPE precursor thin-layer domains covering the internal surface of the micropores inhibit the growth of PtNix alloy NPs. Thus, Type I Z-IOPE precursor domains generate $PtNi_x$ alloy submicrometric NPs, while Type II yields PtNix alloy NPs. This hypothesis is schematically described in details in Fig. 6. The effect on the morphology of PtNi–CN $_{\rm l}$ $T_{\rm f}$ /ST $_{\rm p}$ electrocatalysts of the treatment with H₂O₂ is shown in Fig. 7. In general, the treatment with H₂O₂ leads to a significant decrease in the concentration of the PtNix alloy NPs (see Fig. 7(a1) and (a2)). Similar results are obtained for PtNi-CN1 600/S700 and PtNi-CN1 900/S700 (see Supplementary Data). Nevertheless, as shown in Fig. 7(b1) and (b2), PtNi-CN₁ 600/S500 is an exception. These results are interpreted considering that H₂O₂ oxidizes the functional groups present at the interface between the CN matrix and the PtNix alloy NPs, facilitating the removal of the metal NPs. With respect to PtNix alloy submicrometric NPs, this phenomenon probably affects more significantly PtNix alloy NPs which, owing to their small size, are characterized a much higher surface/volume ratio. In the case of PtNi-CN1 600/S500: (a) the S500 "core" support is very porous, as

witnessed by its large area of the micropores; and (b) a relatively high concentration of nitrogen is evidenced in the chemical composition of the material, since $T_f = 600$ °C (see Supplementary Data). In summary, results suggest that in PtNi-CN₁ 600/S500: (a) the morphology of the pristine S500 "core" support is not affected significantly by the subsequent pyrolysis processes; S500 maintains the original high porosity; (b) a CN "shell" matrix embedding the PtNix alloy NPs is obtained, which exhibits a good adhesion with the S500 "core" support owing to the large area of the micropores of the latter; and (c) the N-based functional groups of the CN "shell" matrix are able to stabilize efficiently the PtNix alloy NPs owing to the formation of "coordination nests" [24]. On the other hand, the H₂O₂ treatment of PtNi-CN₁ 900/ST_p electrocatalysts reduces significantly the density of PtNix alloy NPs owing to the relatively small concentration of Nbased functional groups in the CN "shell" matrices. In the case of PtNi-CN1 600/S700 the porosity of the S700 "core" support is inferior in comparison with S500, as witnessed by the lower area of the micropores. Consequently, the adhesion between the S700 "core" support and the CN "shell" matrix is likely weaker in comparison with PtNi-CN₁ 600/S500. The overall outcome is that, with respect to PtNi-CN₁ 600/S500,

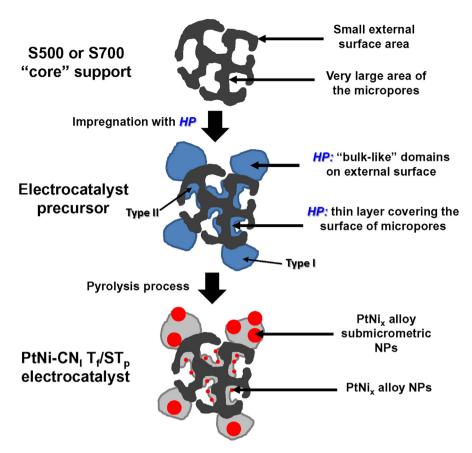


Fig. 5 – Mechanism of pyrolysis and its influence on the morphology of $PtNi-CN_1$ T_f/ST_p electrocatalysts. HP = hybrid inorganic-organic PtNi-based Z-IOPE precursor. S500 and S700 are the conducting "core" supports.

the $PtNi_x$ alloy NPs of $PtNi-CN_1$ 600/S700 are removed more easily during the treatment with H_2O_2 .

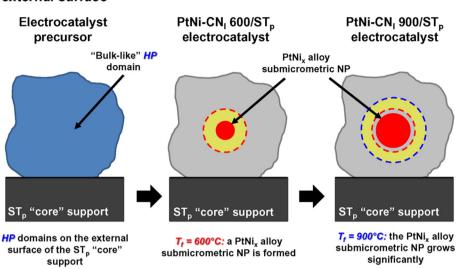
3.2. . "Ex situ" investigations of ORR mechanisms and performance

The CV-TF-RRDE profiles in a pure oxygen atmosphere of the PtNi-CN₁ T_f/ST_p pristine electrocatalysts are shown in Fig. 8. Fig. 9 reports the CV-TF-RRDE profiles after treatment with H₂O₂. The results shown in Figs. 8 and 9 for PtNi-CN₁ T_f/ST_p and PtNi-CN₁ T_f/ST_p(w), respectively, indicate that: (a) the best performance in terms of activation potential and current density is obtained for PtNi-CN1 600/S500; and (b) in general, the treatment of PtNi-CN₁ T_f/ST_p with H₂O₂ increases the ORR overpotential of the electrocatalysts. PtNi-CN₁ 600/S500(w) is an exception. Furthermore, to study carefully the ORR mechanism of the proposed electrocatalysts: (a) the current densities and ORR overpotentials determined on the disk electrode are used to evaluate the overall performance of the PtNi-CN_l T_f/ST_p electrocatalysts; while (b) the current measured on the ring electrode is used to measure the ORR selectivity of the electrocatalysts. It is widely accepted that the electrocatalysts are: (a) very selective when the ORR involves a direct 4-electron mechanism yielding water as the final product; and (b) not selective when a large amount of H₂O₂ is formed through a 2-electron mechanism [26,33]. The fraction

of H_2O_2 produced by the electrocatalysts in the ORR as a function of the potential is evaluated by means of [34]:

$$X_{\rm H_2O_2} = \frac{\frac{2 l_{\rm R}}{N}}{I_{\rm D} + \frac{l_{\rm R}}{N}} \tag{1}$$

where I_D and I_R are the currents detected on the disk and ring electrodes, respectively. N = 0.38 is the collection efficiency of the platinum ring. The dependence of $X_{H_2O_2}$ vs. E (see Fig. 10) shows that: (a) both the Pt/C reference and the PtNi–CN $_{\rm l}$ T $_{\rm f}$ /ST $_{\rm p}$ electrocatalysts carry out the ORR towards water almost exclusively with the 4-electron mechanism; and (b) the selectivity of the PtNi–CN $_{l}$ T_{f} /ST $_{p}$ electrocatalysts at E > 0.25 V vs. RHE is improved in comparison with the Pt/C reference. This evidence is interpreted considering that the ORR active sites of the PtNi-CN₁ T_f/ST_p electrocatalysts include both Pt and Ni atoms [26]. The presence of the Ni atoms promotes the protonation of ORR intermediates, reducing the surface concentration of oxygen-based adsorbates, which are blocking the ORR active sites. A higher surface concentration of free neighbouring Pt coordination sites suitable for O2 adsorption is thus achieved, which boost the selectivity of the ORR in the 4-electron mechanism [26,27,33]. The behaviour of $X_{H_2O_2}$ profiles is significantly dependent on (a) the morphology of ST_p "core" support (S500 vs. S700); (b) T_f; and (c) the treatment with H_2O_2 . In details, the ORR selectivity of the pristine PtNi–CN₁ T_f / ST_p electrocatalysts: (a) increases as T_f is raised; and (b) it is



Type I: Pyrolysis of 3D "bulk-like" HP domains on external surface

Type II: Pyrolysis of the HP layer covering the internal surface of micropores

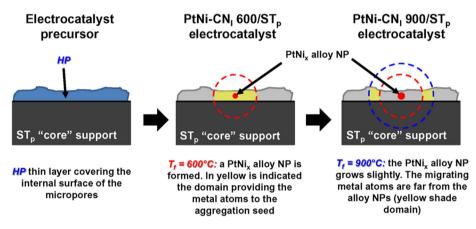


Fig. 6 - Growth mechanisms of PtNi_x alloy submicrometric NPs and PtNi_x alloy NPs.

higher in PtNi-CN₁ T_f/S700 in comparison with PtNi-CN₁ T_f/ S500. These results are rationalized admitting that the coordination of the surface Pt sites by the N-based functional groups present on the CN matrix influences the performance of both the active Pt and the Ni co-catalyst metals. Indeed, it is expected that the ORR selectivity is promoted as the surface concentration of coordinated metal atoms of PtNix alloy NPs exposed to reagents is decreased. It should be observed that the Pt and Ni metal atoms in the starting Z-IOPE precursor are in their (II) oxidation state [19,22,35]. During the pyrolysis process, the metal atoms are progressively reduced and coalesced in the PtNix alloy NPs bearing on the surface the ORR active sites (see Fig. 1). At the same time, the CN matrix embedding the PtNix alloy NPs undergoes oxidation, with a release of volatile species, thus gradually reducing the concentration of coordination ligand functionalities clogging the active sites of PtNi_x alloy NPs. This process is promoted as T_f is increased form 600 to 900 °C, thus explaining the improved

ORR selectivity of pristine PtNi-CN_l 900/ST_p electrocatalysts in the 4-electron mechanism in comparison with pristine PtNi– CN_l 600/ ST_p . In general, with respect to PtNi– CN_l T_f /S700 samples, the PtNi_x alloy NPs embedded in PtNi-CN₁ T_f/S500 are smaller (see Fig. 4) and are characterized by a higher concentration of surface defects such as edge and corner sites, where the coordination of metal atoms by the N-based ligand functionalities provided by the CN "shell" matrix is easier. Correspondingly, a high density of clogged surface metal sites is left behind on the PtNix alloy NPs of PtNi-CN1 Tf/S500, leading to a lower selectivity in the 4-electron ORR mechanism. The treatment with H_2O_2 of pristine PtNi-CN₁ T_f /S500 increases the ORR selectivity of the resulting PtNi-CN1 Td S500(w) (see Fig. 10). Indeed, the H₂O₂ eliminates the N-based ligand functionalities by oxidation, "cleaning" the surface of the PtNix alloy NPs, increasing the density of "free" metal active sites. As a result, the surface concentration of couples of free neighbouring Pt sites increases. As expected, the

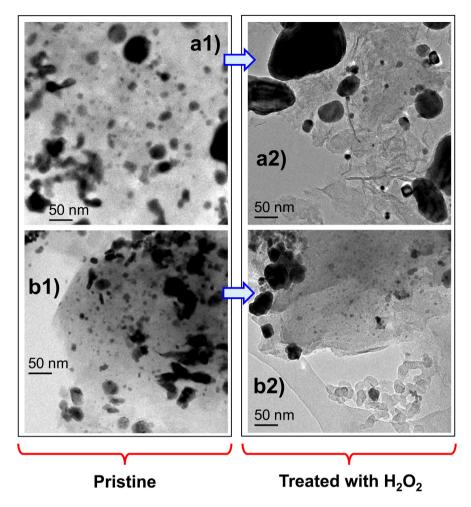


Fig. 7 – Effect of the treatment with H_2O_2 on the morphology of the PtNi– CN_1 T_f/ST_p electrocatalysts: (a1) PtNi– CN_1 900/S500; (a2) PtNi– CN_1 900/S500(w); (b1) PtNi– CN_1 600/S500; and (b2) PtNi– CN_1 600/S500(w).

treatment in H₂O₂: a) in the case of PtNi-CN₁ 600/S700(w) is not influencing significantly the ORR selectivity; while b) for PtNi-CN₁ 900/S700(w) reduces this performance figure (see Fig. 10). This result is easily interpreted considering that the treatment with H₂O₂ reduces the concentration of PtNi_x alloy NPs in PtNi-CN₁ T_f/S700 electrocatalysts (see Section 3.2. and Supplementary Data). Indeed, the PtNix alloy NPs must be strongly coordinated to the CN matrix to survive to the treatment in H₂O₂. This interpretation accounts of the experimental results obtained from PtNi-CN₁ 600/S700, where the CN "shell" matrix includes a significantly higher concentration of nitrogen atoms (see Supplementary Data). Nitrogenbased functionalities provided by the CN matrix are strong coordination ligands for PtNix alloy NPs, giving so rise to "coordination nests" which stabilize and activate the active metal NPs [24]. Thus, fewer nitrogen-based ligand functionalities are needed in order to keep fixed in the CN matrix the PtNix alloy NPs during the treatment in H₂O₂. In summary, in PtNi-CN₁ 600/S700 two phenomena are occurring simultaneously upon the treatment in H₂O₂: a) the N-based ligands are oxidized, improving the ORR selectivity of the PtNi_x alloy NPs; and b) the N-based ligands are required to hinder the elimination of the

PtNi $_{\rm x}$ alloy NPs bearing the PtNi $_{\rm x}$ ORR active sites. Thus, a compromise between these two phenomena is necessary in order to obtain electrocatalysts with a high performance and selectivity. Indeed, PtNi $_{\rm x}$ alloy NPs coordinated by a larger concentration of N-based ligands present a lower ORR selectivity and are not eliminated from the CN matrix after the treatment in $\rm H_2O_2$. In PtNi $\rm -CN_1$ 600/S700 it seems that these two opposite phenomena tend to cancel one another. Indeed, the overall surface concentration of N-based ligand functionalities coordinating PtNi $_{\rm x}$ alloy NPs does not change significantly during the treatment in $\rm H_2O_2$, hardly affecting the ORR selectivity of PtNi $\rm -CN_1$ 600/S700(w).

The electrochemical surface area (ECSA) of the $PtNi_x$ ORR active sites of the $PtNi_-CN_l$ T_f/ST_p electrocatalysts is determined as described elsewhere [36–38], normalizing the CO stripping charge to the value of 484 μ C cm $_t^{-2}$ [36,39]. The results are reported in Table 1. The values of ESCA reported in Table 1 are consistent with the results obtained from the morphological investigation of $PtNi_-CN_l$ T_f/ST_p and discussed in Section 3.2. In particular, the ESCA values of the pristine $PtNi_-CN_l$ T_f/ST_p electrocatalysts are significantly lower in comparison with the Pt/C reference. This evidence is

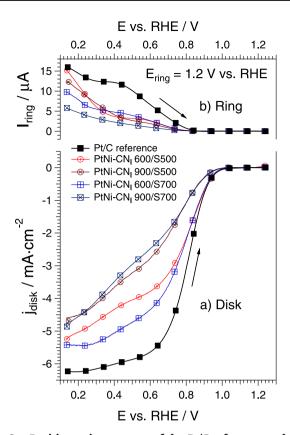


Fig. 8 – Positive-going sweeps of the Pt/C reference and of PtNi–CN₁ T_f/ST_p electrocatalysts in a pure O_2 atmosphere. (a) Current densities measured on the glassy-carbon disk; (b) oxidation currents determined on the platinum ring. Cell filled with a 0.1 M HClO₄ solution, $T=60\,^{\circ}\text{C}$, sweep rate = 5 mV s⁻¹, electrode rotation rate 1600 rpm and $P_{O_2}=1$ atm.

interpreted as follows: (a) the wt% of Pt is roughly comparable (within a factor of ca. 2) in the Pt/C reference and in the pristine PtNi-CN₁ T_f/ST_p electrocatalysts; (b) PtNi-CN₁ T_f/ST_p electrocatalysts include both PtNix alloy NPs and PtNix alloy submicrometric NPs (see Figs. 1, 2, 4 and 7). The latter, which are characterized by a significantly lower specific surface area, likely aggregate most of the Pt content of the PtNi-CN_l T_f/ST_p electrocatalysts. In general, the treatment of the PtNi–CN_l T_f/ ST_p electrocatalysts with H_2O_2 lowers drastically the ESCA. PtNi-CN₁ 600/S500 is an exception, since upon treatment in H_2O_2 its ESCA increases from 11.0 to 14.5 m² g_{Pt}^{-1} (see Table 1), thus achieving the best performance. These evidences are in accordance with the observed removal of PtNix alloy NPs embedded in the CN "shell" matrix of pristine materials upon treatment with H₂O₂. Indeed, this phenomenon is observed for all the PtNi-CN_l T_f/ST_p electrocatalysts except PtNi-CN_l 600/S500 (see Fig. 7 and Supplementary Data). The increase in the ESCA of the latter is interpreted admitting that the treatment in H₂O₂ does not affect significantly the concentration of PtNi_x alloy NPs and that the oxidation of the excess of N-based ligands opens new mass transport pathways in its CN matrix. Consequently, additional PtNix ORR active sites, buried deep within the micropores of the support of PtNi-CN1 600/S500,

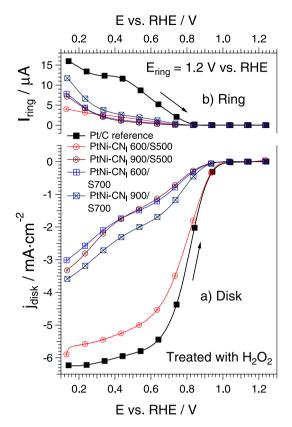


Fig. 9 – Positive-going sweeps of the Pt/C reference and of the PtNi– CN_1 $T_f/ST_p(w)$ electrocatalysts in a pure O_2 atmosphere. The experimental parameters are reported in the caption of Fig. 8.

become available for the ORR in PtNi–CN₁ 600/S500(w). To evaluate quantitatively the ORR performance, the contributions arising from the mass transport are removed from the disk current densities j shown in Figs. 8 and 9. Equation 2 is used to determine the faradic ORR current density j_k [6,40]:

$$\frac{1}{i} = \frac{1}{i_b} + \frac{1}{i_d} \tag{2}$$

In this paper, for each electrocatalyst j_d was set equal to the value of the corresponding ORR trace shown in Fig. 8 or Fig. 9 at a potential equal to 0.140 V vs. RHE. The faradic current density j_k obtained from Equation 2 is multiplied by the geometric area of the glassy carbon disk ($A = 0.196 \text{ cm}^2$) to obtain the faradic ORR current i_k . i_k is normalized either: (a) on the total mass of Pt of the electrocatalyst deposited on each electrode (ca. 2.95 μ g); or (b) on the total Pt surface area on the electrode; this figure is equal to the ESCA values reported on Table 1 multiplied by the total mass of Pt of the catalyst present on the electrode. The resulting two figures of merit are the mass activity i_m and the surface activity i_s [6]. Results of $PtNi-CN_l T_f/ST_p$ and $PtNi-CN_l T_f/ST_p(w)$ electrocatalysts are plotted in a semilogarithmic scale ("Tafel plots") on Fig. 11 and Fig. 12, respectively. The performance of the PtNi-CN_l T_f/ST_p electrocatalysts in the ORR is evaluated measuring the values of im and is at 0.9 V vs. RHE (see Table 1). The main parameter affecting the $i_{m(0.9 \text{ V})}$ values of the pristine PtNi-CN₁ T_f/ST_p

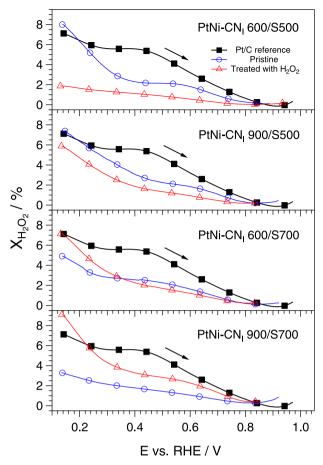


Fig. 10 — Fraction of hydrogen peroxide $(X_{H_2O_2})$ developed during the ORR on the PtNi— $CN_1 T_f/ST_p$ electrocatalysts as a function of the potential. $(X_{H_2O_2})$ is determined with Equation (1) from the profiles shown in Figs. 8 and 9.

electrocatalysts is T_f . The values of $i_{m(0.9\ V)}$ determined on PtNi–CN₁ 600/S500 and PtNi–CN₁ 600/S700 are very close to that of the Pt/C reference. As T_f is raised from 600 to 900 °C, the values of $i_{m(0.9\ V)}$ are decreased by a factor of ca. 2. This behaviour is interpreted taking into consideration the tendency of the PtNi_x alloy NPs to grow as T_f is raised, thus decreasing the surface area of the ORR active sites. The i_m

Table 1 - Figures of merit of the ORR performance of the proposed electrocatalysts.

Electrocatalyst	$ECSA_{Pt}/$ $m^2 g_{Pt}^{-1}$	i _{m(0.9 V)} / A mg _{Pt}	i _{s(0.9 V)} / μΑ cm _{Pt} ⁻²
PtNi-CN ₁ 600/S500	11.0	0.057	520
PtNi-CN ₁ 600/S500(w)	14.5	0.056	385
PtNi-CN ₁ 900/S500	5.0	0.0208	420
PtNi-CN ₁ 900/S500(w)	1.2	0.0068	570
PtNi-CN ₁ 600/S700	7.2	0.052	717
PtNi-CN ₁ 600/S700(w)	1.9	0.0082	450
PtNi-CN ₁ 900/S700	7.3	0.0225	306
PtNi-CN ₁ 900/S700(w)	1.4	0.0105	750
Pt/C reference	36.6	0.0563	153

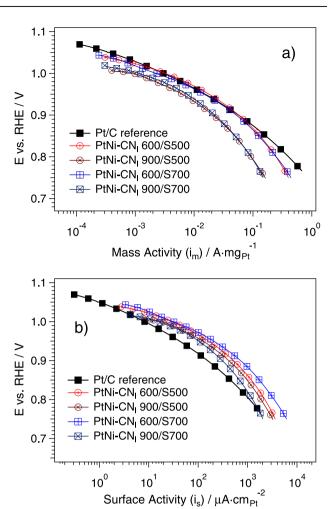
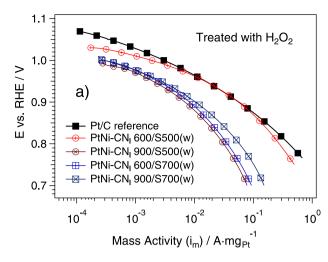


Fig. 11 - Tafel plots of the pristine PtNi-CN₁ T_f/ST_p electrocatalysts and of the Pt/C reference. Profiles obtained starting from the traces reported in Fig. 8 after removing the contributions arising from mass transport phenomena. Currents normalized: (a) on the mass of Pt on the electrode; (b) on the ECSA of the active sites evaluated from CO stripping measurements.

values of the $PtNi-CN_l$ T_f/ST_p electrocatalysts decrease significantly upon treatment with H2O2. This result is ascribed to the dramatic drop in the active surface area of the PtNix alloy NPs triggered by the elimination of a significant fraction of the alloy NPs (see Fig. 7 and Supplementary Data). PtNi-CN1 600/S500 is an exception: its $i_{m(0.9 \text{ V})}$ values are hardly affected by the treatment in H₂O₂, consistently with the survival of the starting concentration of PtNi_x alloy NPs (see Fig. 7). $i_{m(0.9 \text{ V})}$ is a very important figure of merit to gauge the performance of ORR electrocatalysts for practical applications. Indeed, it provides information on the mass of platinum to include in the cathode electrode of a fuel cell running in operative conditions. On the other hand, $i_{s(0.9\ V)}$ is more relevant for the fundamental study of the ORR kinetics on electrocatalysts. The normalization on the Pt surface area allows to estimate the turnover frequency of the active sites of the materials in the ORR [6]. The inspection of Table 1 highlights that the PtNi-CN_l T_f/ST_p electrocatalysts, both pristine and after



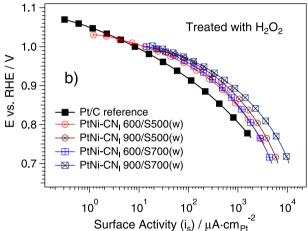


Fig. 12 - Tafel plots of the PtNi-CN₁ T_f /ST $_p$ (w) electrocatalysts and of the Pt/C reference. Profiles obtained starting from the traces reported in Fig. 9 after removing the contributions arising from mass transport phenomena. Currents normalized: (a) on the mass of Pt on the electrode; (b) on the ECSA of the active sites evaluated from CO stripping measurements.

treatment in H₂O₂, are characterized by a much higher i_{s(0.9 V)} in comparison with the Pt/C reference. This evidence confirms the results obtained previously by our group for electrocatalysts based on other CN matrices including bimetallic active sites based on platinum and nickel [22,26,35]. The higher turnover frequency is interpreted admitting that the presence of nickel and nitrogen-based ligands of the CN matrix improves the performance of platinum active sites by promoting the rate and density of protonation processes of the ORR intermediates; thus, H2O is obtained directly, which is immediately desorbed from the Pt active sites [19,26,27]. In summary, Pt active sites are regenerated faster after the reduction of O2 and are allowed to perform a higher number of $O_2 \rightarrow H_2O$ direct processes in the same span of time. In addition to this "bifunctional" mechanism, the alloying of Pt with Ni is expected to promote the ORR kinetics by means of an "electronic" mechanism. This latter phenomenon is originated by the contraction of the crystallographic cell constant in PtNix alloy NPs in comparison with pure Pt nanocrystals (see Fig. 2), which lowers the center of the d-band of the PtNi_x alloy NPs. Thus, the interactions between each ORR active site and the ORR intermediates are weakened [41,42]. Table 1 shows that $i_{s(0.9 \text{ V})}$ of the PtNi–CN₁ T_f/ST_p electrocatalysts: (a) for pristine samples, is decreased as T_f is raised; and (b) upon treatment in H₂O₂, it decreases for PtNi-CN₁ 600/ST_p(w) and increases for PtNi-CN₁ 900/ST_p(w). This behaviour is interpreted on the basis of the effect of T_f and of the treatment with $\mathrm{H}_2\mathrm{O}_2$ on the chemical composition of the ORR active sites. For pristine PtNi-CN₁ T_f/ST_p electrocatalysts, i_{s(0.9 V)} is decreasing as T_f is raised from 600 to 900 °C since the nitrogen-based ligands are coordinated strongly to Pt sites on the surface of the PtNi_x alloy NPs, thus partially blocking the ORR active metals. However, as PtNi-CN₁ 900/ST_p are treated with H₂O₂, two phenomena are probably occurring: (a) a significant fraction of the nitrogen-based functional groups are removed (see Supplementary Data); and (b) the alloying of Pt with Ni is more pronounced in comparison with PtNi-CN₁ 600/ST_p [22]. Consequently, the nitrogen-based functional groups blocking the active sites are mostly removed, while the improved alloying of Pt with Ni gives rise to a higher contraction of the crystallographic cell of PtNix alloy NPs [31]. Thus, the ORR kinetics is promoted by means of an "electronic" mechanism [41,42]. In the case of PtNi-CN_l 600/ST_p, the nitrogen-based functionalities of CN matrix are coordinating weakly the Pt active sites, promoting the ORR kinetics by means of a "bifunctional" mechanism described above [19]. However, as the PtNi–CN₁ 600/ST_p electrocatalysts are treated in H_2O_2 , a fraction of these nitrogen-based coordination ligands of the CN matrix is probably removed, thus inhibiting the "bifunctional" mechanism and reducing $i_{s(0.9 \text{ V})}$. With respect to PtNi-CN₁ 600/S500, this latter effect is more evident for PtNi-CN1 600/S700, in accordance with the more marked decrease in the concentration of nitrogen upon treatment in H₂O₂ (see Supplementary Data). The data reported in Figs. 11 and 12 witness that in the investigated potential range i.e., at E larger than ca. 0.75 V vs. RHE, all the PtNi-CN₁ T_f/ST_p electrocatalysts and the Pt/C reference show a very similar trend. Thus, it is expected that these materials carry out the ORR with a very similar mechanism. At E lower than ca. 0.9 V vs. RHE, the Tafel slopes are equal to ca. 120 mV dec⁻¹, which are typical values of the ORR carried out with the 4-electron mechanism on a Pt-based active site where the incoming O2 molecules can be adsorbed easily [7,33]. As the potential is raised, the Tafel slopes become smaller. This phenomenon suggests that in these conditions the ORR is carried out with the 4-electron mechanism in active sites becoming progressively more clogged with oxygen-based ligand adsorbates. Thus, in this condition the adsorption of the incoming O₂ molecules is hindered [7,19,26,33]. At E higher than ca. 1 V vs. RHE the PtNi-CN_l T_f/ST_p electrocatalysts show Tafel slopes which are significantly lower in comparison with the Pt/C reference, ca. 40 mV dec⁻¹ vs. ca. 65 mV dec⁻¹ of the Pt/C reference, confirming that in comparison with the Pt/C reference: a) as the ORR kinetics of the PtNi-CN_l T_f/ST_p electrocatalysts is improved (see Table 1), the desorption of the ORR intermediates is easier; and b) the adsorption of additional incoming O2 molecules on the active sites is facilitated and can take place at a higher coverage of oxygen-based

adsorbates. As a result, the Tafel slope is lowered. This effect is particularly pronounced in electrocatalysts such as $PtNi-CN_1\ 600/S500,\ PtNi-CN_1\ 600/S500(w)$ and $PtNi-CN_1\ 600/S700$, where the effect of the bifunctional mechanism in ORR kinetics results more relevant. This evidence prompts us to admit that the nitrogen-based functional groups of CN matrix coordinating weakly the bimetallic Pt-based ORR active sites play a crucial role in the modulation of the ORR kinetics, especially at the higher potentials.

4. Conclusions

In this paper, a family of innovative "core-shell" PtNi-CN1 Tf ST_p electrocatalysts prepared as described elsewhere [28] is extensively investigated to elucidate the interplay between the morphology, the preparation parameters, and the electrochemical performance as determined by the CV-TF-RRDE "ex situ" method. The PtNi–CN $_{\rm l}$ $T_{\it f}$ /ST $_{\rm p}$ electrocatalysts include a very rough and porous CN matrix "shell", derived by the pyrolysis of both the STp "core" supports and the supported fraction of the PtNi-based Z-IOPE precursor added during the preparation procedure [22,27]. The PtNi-CN_l T_f/ST_p electrocatalysts embed PtNix alloy NPs in the CN "shell" matrix. HR-TEM investigations highlight that two main families of PtNix alloy NPs are embedded in the CN "shell" matrix of PtNi-CN₁ T_f/ST_p electrocatalysts: (a) PtNi_x alloy NPs, with $d \sim 10$ nm, which likely provide the largest contribution to the ORR activity owing to their large specific area; and (b) PtNi_x alloy submicrometric NPs, with d > 50 nm, which probably act to decrease the density of the active sites. These two types of NPs are originated owing to a replica process form the morphology of the ST_p "core" supports as follows. The pyrolysis process of: (a) Z-IOPE precursor domains of Type I on the external surface of ST_p "core" supports yields $PtNi_x$ alloy submicrometric NPs; (b) Z-IOPE domains of Type II covering as a thin layer the internal micropores of the ST_p "core" supports originates PtNi_x alloy NPs. The treatment of PtNi-CN_l T_f/ST_p in H₂O₂ removes most of the PtNi_x alloy NPs; the only exception is PtNi-CN1 600/S500, owing to: (a) the large surface of the micropores of the S500 "core" support; and (b) the relatively high concentration of nitrogen-based functional ligands of the CN "shell" matrix, which form "coordination nests" [24] able to stabilize effectively the PtNix alloy NPs. The ORR performance and selectivity in the 4-electron mechanism of the PtNi-CN1 T_f/ST_p electrocatalysts is heavily influenced by the morphology of the PtNix alloy NPs and their chemical interactions with the CN matrix. The ORR selectivity in the 4electron mechanism is improved: (I) for larger PtNi_x alloy NPs (e.g., in pristine PtNi-CN₁ 900/S700); or (II) where the treatment in H₂O₂ removes most of the loosely-bound N-based ligands of CN matrix (e.g., in PtNi-CN1 600/S500(w)). However, in the former case relatively low ORR currents are registered due to the low total number of available active sites (see Figs. 8 and 9). A high concentration of small PtNi_x alloy NPs results in CV-TF-RRDE curves similar to that of the Pt/C reference. Typical examples are the PtNi-CN₁ 600/ST_p and PtNi-CN₁ 600/ S500(w). The ORR performance is inferior in the cases where: (a) the PtNi_x alloy NPs are characterized by a larger size, e.g., in pristine PtNi–CN₁ 900/ST_p; and (b) most of the PtNi_x alloy NPs

are removed by treatment in H2O2, e.g., in PtNi-CN1 900/ S500(w), PtNi-CN₁ 600/S700(w) and PtNi-CN₁ 900/S700(w). Taking all together, the intrinsic ORR activity of the PtNi-CN₁ T_f/ST_p electrocatalysts is significantly improved, by a factor of ca. 2–5, in comparison with the Pt/C reference as a result of: (a) the alloying of Pt with the Ni atoms in PtNix alloy NPs ("electronic mechanism" [41,42]) and (b) the weak coordination of Pt by nitrogen-based ligand functionalities of the CN matrix, ("bifunctional mechanism" [19,26]). In the highpotential regime, at E > 0.75 V vs. RHE, the PtNi-CN_l T_f/ST_p electrocatalysts and the Pt/C reference carry out the ORR according to a very similar mechanism. At the highest potentials, i.e., at E higher than ca. 1 V vs. RHE, the adsorption of incoming O₂ molecules in active sites is facilitated. Indeed, in this condition the nitrogen-based ligands of CN matrix weakly coordinating the bimetallic Pt-based active ORR sites lead to lower values of Tafel slopes (ca. 40 mV dec^{-1} vs. ca. 65 mV dec⁻¹ of the Pt/C reference). Results above summarized give strong evidence that the morphology of the STp "core" supports plays a crucial role in the modulation of the morphology of the final electrocatalysts in a very efficient replica phenomenon ("Qualis pater, talis filius"). Indeed, the chemical composition of the CN "shell" matrix and its interactions with the PtNix alloy NPs affect significantly a number of fundamental features of the PtNi-CN_l T_f/ST_p electrocatalysts, including: (a) the outcome of the treatment in H₂O₂ on the morphology; and (b) the ORR mechanism, selectivity and intrinsic activity. In conclusion, this work provides crucial insight to better exploit the superior flexibility of the proposed preparation route of STp "core" supports in the design of new and improved ORR electrocatalysts for application in PEMFCs.

Acknowledgements

This research is funded by project "PRAT 2011" financed by the University of Padova, and by the Italian MURST project PRIN2008 Prot. 2008SXASBC_002. E. Negro thanks Regione del Veneto (SMUPR n. 4148, Polo di ricerca del settore fotovoltaico) for financial support.

Appendix A. Supplementary data

Supplementary data related to this article can be found at http://dx.doi.org/10.1016/j.ijhydene.2013.08.053.

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