

# Hydrophobic Treatment of Woven Cotton Fabrics with Polyurethane Modified Aminosilicone Emulsions

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## ABSTRACT

Woven cotton fabrics were treated with a polyurethane modified aminosilicone fluid commonly used as a fabric softener. The aminoethylaminopropyl polydimethylsiloxane was modified with an aqueous dispersion of polycarbonate diol polyurethane using isopropyl alcohol as co-solvent resulting in stable micro-emulsions with an average droplet size of 1.5  $\mu\text{m}$ . Polycarbonate polyurethane was chosen due to its good hydrolytic stability and low water absorption levels. Fabrics were treated either by immersion in emulsions or by spray coating. Treated fabrics had static water contact angles exceeding  $143^\circ$  with droplet shedding angles of less than  $50^\circ$  depending on the droplet volume. Treated textiles were breathable with vapor permeability levels of  $5.6 \text{ mg (m day Pa)}^{-1}$  that was similar to untreated fabrics. Good droplet shedding action was achieved based on the inherent fiber roughness. Accelerated ageing tests corresponding to 5 years using CIEL\*a\*b standards ( $7.5 \times 10^6 \text{ lux}\cdot\text{hours}$  exposure) indicated that no ageing occurred, with the overall color parameter of  $\Delta E < 2.0$  indicating invisible color changes to the naked eye. This sustainable approach can be easily scaled and may be a valuable treatment alternative for delicate fabrics commonly encountered in Heritage preservation; but also for many other cotton-based textiles.

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**Key words:** cotton fabric, modified aminosilicone, application method, self-cleaning, hydrophobic performance

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6 **1. INTRODUCTION**  
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10 Hydrophobic and liquid-repellent textiles have been extensively studied in the last  
11 decades to render them functional in applications such as oil-water separation [1], [2], [3]  
12 self-cleaning fabrics [4], [5], [6], breathable and water repellent clothes [7], [8], [9].  
13 Related treatments can protect textiles, and enhance their lifetime by preventing water  
14 absorption-related degradation of fibres [10], [11], [12] and by improving the fibres'  
15 strength [13]. Many efficient surface treatments have appeared on textiles in the last  
16 decade and more and more sustainable and eco-friendly approaches have also been  
17 reported latterly [13], [14], [15]. Although highly effective towards repelling low surface  
18 tension liquids [16], [17], [18], [19], [20], one of the issues related to fluorinated  
19 treatments is the use of C-8 fluorinated compounds like C-8 co-polymers, fluorinated-  
20 silanes or monomers that are known to break down into persistent perfluorooctanoic acid  
21 (PFOA) and perfluorooctanesulfonate (PFOS) [21]. Hence, the Environmental Protection  
22 Agency (EPA) prohibited C-8 fluoropolymer and related chemicals production starting  
23 from 2015 [22]. Due to this, short-chain fluorinated homologues such as C6  
24 fluorochemicals/polymers have been implemented instead. Although it is demonstrated  
25 that the toxicity of the perfluoroalkyl compounds decreases with decreasing carbon chain  
26 length [23], their hydrophobic performance and durability are also compromised [24].  
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41 Polydimethylsiloxane (PDMS) polymers, also known as silicones, are highly popular  
42 compounds that are biocompatible [25], [26] with good hydrophobic properties. They are  
43 generally dissolved in organic solvents [27], [28], [29], [30]. However, solvent-based  
44 treatments are generally challenging for scale up in industry. Therefore, some silicones  
45 have been commercialized as water dispersions or as low viscosity functional  
46 polysiloxanes [31] for textile use but particularly as softeners rather than for  
47 waterproofing. Silicone emulsions with or without other polymers in water can be highly  
48 promising vehicles to produce water repellent textiles [11], [32]. In order to disperse  
49 polysiloxane polymers in water, the silicone chains are modified by hydrophilic polar and  
50 reactive moieties (end-groups) such as amino-silicones [33] and phenyl-carboxyl-  
51 polydimethylsiloxanes [34] that are developed for water emulsions. Although much less  
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4 hydrophobic compared to silicone [35], waterborne polyurethanes are commonly applied  
5 on textiles because of their breathability [36] toughness, abrasion resistance [37],  
6 excellent adhesion to surface [38] and flexibility [39].  
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10 In this work, we emulsified an aminoethylaminopropyl polydimethylsiloxane fluid  
11 [40], [41], [42] with a waterborne polyurethane dispersion to produce a permanent  
12 hydrophobic cotton fabric formulation. Simple dip or spray coating can be used to  
13 transform the fabric. Coatings were applied so that formation of laminating layers over  
14 the fabric was avoided. Intrinsic roughness of the fibres helped attaining high water  
15 contact angles and water droplet mobility. The wetting properties of the treated cotton  
16 fabrics were tested as well as their mechanical properties vapour permeability, colour  
17 variation, and resistance to ageing. The results indicated that the treated cotton textiles  
18 remain hydrophobic with water droplet shedding ability, even though they did not comply  
19 with the generally accepted superhydrophobicity criteria, specifically, contact angles  
20 above 150° and roll-off angles below 5°. The developed formulation may be used in the  
21 treatment of historical textiles conserved in museums or indoor environments in addition  
22 to highly hydrophobic textiles for garments or sportswear.  
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## 33 34 35 **2. MATERIALS AND METHODS** 36 37

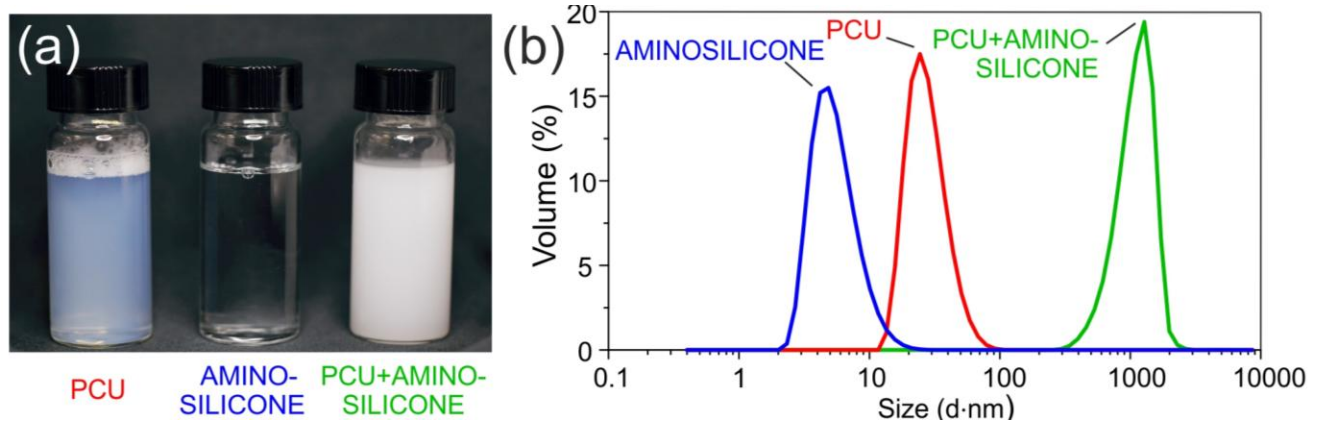
### 38 39 **2.1 Materials** 40 41

42 The textile used was a plain woven and bleached 100% cotton fabric, with  $180 \pm 5$  g/m<sup>2</sup> mass  
43 density and having 24 threads/cm density in each direction. A solvent-free, waterborne  
44 dispersion of an aliphatic polyurethane based on polycarbonate diols [43], [44] named  
45 ESACOTE PU 77 (hereafter, PCU) was supplied by Lamberti S.p.A. (Italy). An aminosilicone  
46 fluid (aminoethylaminopropyl silicone) named FINISH WR 1300 was purchased from  
47 WACKER® (Germany). The silicone fluid contains more than 85 wt.% 3-((2-  
48 aminoethyl)amino)propyl)methyl(dimethyl) siloxane, methoxy-terminated (as IUPAC name),  
49 and the rest 15 wt.% is made up of octamethyl cyclotetrasiloxane and trace amounts of ethanol.  
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## 2.2 Preparation and characterization of polymer dispersions

The solids content of the as-received waterborne PCU was measured to be 36 wt. % after drying a film cast on a glass slide under laboratory conditions ( $\sim 20^{\circ}\text{C}$ ). The commercial PCU solution was diluted by adding water so that a 2 wt.% final solution is obtained. Similarly, the commercial silicone fluid was dispersed in isopropyl alcohol (IPA) to obtain a 2 wt. % solution. Afterwards, 40 mL of each diluted solution were combined in a different vial and upon gentle mechanical mixing a homogeneous polyurethane/silicone dispersion was obtained. The obtained dispersion was stable for long periods (weeks) with no phase separation. **Figure 1(a)** shows photographs of 2 wt.% PCU (in water) and aminosilicone (in IPA) dispersions along with their blend that assumes a milky emulsion state.

Droplet distribution in each 2 wt. % dispersion including the blend was analyzed by Dynamic Light Scattering (DLS) and the results are shown in **Fig. 1(b)**. Aminosilicone fluid has the smallest average droplet size of 5 nm, whereas the PCU dispersion average droplet size is about 30 nm, indicating that both diluted dispersions are polymeric nano-suspensions. Upon blending, however, the average dispersion droplet size increases to 1.5  $\mu\text{m}$  but nonetheless the dispersion remains highly stable.



**Figure 1.** (a) Photograph of commercial PCU (hazy white) and the aminosilicone (transparent) dispersions and the emulsion (white), (b) DLS analysis indicate droplet size distribution of the commercial dispersions and the emulsion.

### 2.3 Details of fabric treatment and sample preparation

The cotton fabric was washed and dried before use to ensure a contamination free fabric. The fabric was cut into pieces of  $(10 \times 15) \text{ cm}^2$  size before applying the treatments. Single polymer treatments, namely, only PCU and only silicone (softener) were also made as control samples. Following this, sequential polymer treatments (i.e., double layer) were also made. Specifically, first the PCU treatment solution was applied and after drying and curing at  $60 \text{ }^\circ\text{C}$  for 24 hours, the aminosilicone layer solution was applied followed by the same drying and curing process. Before characterization of the treated textiles, the samples were stored at room temperature ( $20^\circ\text{C}$  and  $\sim 40\% \text{ RH}$ ) for another 24 hours. Fabrics were treated either with spray (Paasche Airbrush VL with 73 mm head and 1.06 mm tip) or dip coating in order to find the optimum application method.

Spray application was performed by spraying a total of 40 mL of the dispersions on both sides of the textile's pieces. It was important to spray both sides to obtain a symmetric hydrophobic behavior [4]. Spraying distance was maintained approximately at 20 cm for the aminosilicone dispersion in IPA, at 40 cm for PCU dispersion in water and at approximately 30 cm for the PCU/Aminosilicone polymer blend dispersion. Dip-coating was performed by immersing each sample in the specific solution for 15 s in order to guarantee efficient absorption of the product in the textile. Table 1 lists the type of samples prepared for further characterization and analysis. In short, S1 is the untreated woven cotton textile, double layer refers to the sequential application of PCU and aminosilicone solutions, whereas micro-emulsion refers to single step application of the emulsions.

**Table 1.** Description of samples produced, treatments and application methods.

| Sample name | Treatment |              |                | Application method |       |
|-------------|-----------|--------------|----------------|--------------------|-------|
|             | untreated | double-layer | micro-emulsion | dip                | spray |
| S1          | ✓         |              |                |                    |       |
| S2          |           | ✓            |                | ✓                  |       |
| S3          |           |              | ✓              | ✓                  |       |
| S4          |           | ✓            |                |                    | ✓     |
| S5          |           |              | ✓              |                    | ✓     |

### 2.4 Characterization

#### 2.4.1 Morphological characterization

Scanning electron microscopy (SEM) and Atomic force microscopy (AFM) were used to analyze the micro-morphology of the samples and their fiber surfaces. SEM images were acquired using a JEOL JSM-6490LA (Japan), operating at 10 kV acceleration voltage. Prior to imaging, the samples' surfaces were sputtered with a 10 nm thick film of gold (Cressington 208HR sputter coater, UK). SEM images were collected at different magnifications such as 25X, 50X, 1000X. In addition, elemental analysis and mapping by energy-dispersive x-ray spectroscopy (EDX) were performed to confirm coating homogeneity.

AFM measurements were carried out with XE-100 instrument (Park Systems, Korea) maintained on an anti-vibration platform TS-150 (Table Stable, Switzerland) and used in non-contact mode. Non-Contact Cantilever probes (Park, Korea) were used, with nominal resonance frequency of 330 kHz. The scan rate was 0.1 Hz and the scan area was  $2 \times 2 \mu\text{m}^2$ . The acquired images were processed with WSxM 5.0 software and the roughness characteristics of the surfaces were determined by using Gwyddion software, which gave root mean square roughness (Sq) and average roughness (Sa). Final results are the averages obtained by 4 to 6 different samples measurements, with the standard deviation reported as the uncertainty [45], [46].

#### 2.4.2 Attenuated Total Reflection-Fourier Transform Infrared (ATR-FTIR) spectroscopy

Infrared spectra were acquired by a single-reflection attenuated total reflection (ATR) accessory (MIRacle ATR, PIKE Technologies), with a diamond crystal coupled to a Fourier Transform Infrared (FTIR) spectrometer (Equinox 70 FT-IR, Bruker). All spectra were recorded in the range from  $3800$  to  $600 \text{ cm}^{-1}$  with a resolution of  $4 \text{ cm}^{-1}$ , accumulating 128 scans. Three analyses were performed for each sample to ensure the reproducibility of obtained spectra.

#### 2.4.3 Wettability measurements and surface energy estimation

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6 In order to study the surface wettability of the fabrics, static water contact angles (WCA)  
7 and water shedding angle (WSA) or droplet roll-off angle were measured with a contact angle  
8 instrument (OCAH-200 DataPhysics, Germany) at room temperature ( $\sim 23$  °C). As for WCA, a  
9 gas-tight 500 mL Hamilton precision syringe with blunt needle of 0.52 mm internal diameter was  
10 used to deposit milli-Q water droplets of 5  $\mu\text{L}$ . WCAs were automatically calculated by the  
11 software based on the droplet shape. Ten droplets were deposited on each sample in different  
12 positions and then the averages were calculated [47]. With the same instrument, the roll-off angle  
13 analyses were carried out. Droplets of 17.5  $\mu\text{L}$ , 20  $\mu\text{L}$ , 25  $\mu\text{L}$ , 30  $\mu\text{L}$  and 35  $\mu\text{L}$  were deposited  
14 on the surface, which was then inclined at an angular speed of 1.42°/s. Side view images of the  
15 drops were captured and the roll-off angle was recorded. Measurements were repeated 5 times.  
16 [27].

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26 Water droplet wetting and penetration into the fabrics were separately tested by using  
27 larger water colored droplets in order to assess long term wetting stability. 0.1 wt.% of food  
28 colorant (Color Dolci F.lli Rebecchi Valtrebbia S.p.A) was added to water and then 1 mL droplet  
29 was deposited on the surface of the tested fabric. The droplet was monitored until it was  
30 completely absorbed or evaporated depending on the hydrophobicity of the fabric. Residual color  
31 stains allowed assessment of fabric performance against wetting by heavier drops over longer  
32 periods. Furthermore, the surface energy of the hydrophobic PCU/silicone composite treatment  
33 on the fabrics was estimated using the critical surface tension ( $\gamma$ ) concept of Zisman plot [48]. A  
34 series of contact angles were measured on the hydrophobic fabrics using the following liquids:  
35 water, glycerol, dimethylformamide, toluene, isopropyl alcohol and n-hexane. Their surface  
36 tensions were then plotted against the cosine value of the corresponding static contact angles.  
37 The best fit of the points was extrapolated. From this fit, at the intersection with the value of  
38  $\cos\theta = 1$ , on horizontal axis the value of  $\gamma$  was obtained.

#### 39 40 41 42 43 44 45 46 47 48 49 50 51 52 **2.4.4 Water vapor permeability**

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56 Water vapor permeability (WVP) of the untreated and treated fabrics was determined at  
57 25°C and under 100% relative humidity gradient  $\Delta\text{RH}$  (%) according to the ASTM E96 standard  
58 method. Humidity gradient ( $\Delta\text{RH}$ ) was accomplished by placing 400  $\mu\text{L}$  of deionized water in  
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4 the permeation chambers of 7 mm inner diameter and 10 mm height. Properly sized samples  
5 were cut and mounted on the top of the permeation chamber and sealed. The chambers were  
6 placed in a desiccator, maintained at 0% RH by anhydrous silica gel. The weight changes of the  
7 chambers were registered every hour for 8 consecutive hours, in order to monitor the transfer of  
8 water from the chamber, through the sample, to the desiccant. An electronic balance (with  
9 0.0001 g accuracy) was used to record mass loss over the time. The water mass loss of  
10 permeation chambers was plotted as a function time. The slope of each line was calculated by  
11 linear regression. Then, the water vapor transmission rate (WVTR) was determined as below:  
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$$19 \quad WVTR(g(m^2d)^{-1}) = \frac{\text{slope}}{\text{area of the sample}}$$

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24 The water vapor permeability (WVP) of the samples was calculated as follows:

$$25 \quad WVP(g(mdPa)^{-1}) = \frac{WVTR \cdot L \cdot 100}{p_s \cdot \Delta RH}$$

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31 where  $L(m)$  is the thickness of the sample, which was measured with a micrometer with  
32 0.001mm accuracy,  $\Delta RH$  (%) is the percentage relative humidity gradient, and  $p_s$  (Pa) is the  
33 saturation water vapor pressure at 25°C [6], [49], [50]. Every measurement was replicated three  
34 times.  
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#### 40 **2.4.5 Stress-strain measurements**

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44 Mechanical characterization of the fabrics was obtained with 3365 Instron (USA) tensile  
45 tester. Prior to testing, the samples were conditioned at room temperature. Specimens were cut  
46 with a dog shaped mechanical cutter, giving them the standard dimension of 25 mm length along  
47 the axis of warp threads and 3.98 mm width. Samples were placed in between pneumatic clamps  
48 and a constant rate of extension of 5 mm/min was applied. The elastic modulus (MPa) was  
49 calculated using the initial slope of the curve, between 0 and 0.1 mm/mm. Elongation at  
50 maximum load values was also calculated. The average elastic moduli and elongation values of 5  
51 measurements with standard deviations are reported [51], [52].  
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#### 60 **2.4.6 Flexural rigidity**



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6 Changes in tactile properties of textile fabrics are strongly dependent on various  
7 structural, mechanical, and surface parameters [51], [53], [54]. The bending properties and the  
8 drapability (degree to which a fabric can be draped) cannot be determined from in-plane  
9 properties and a separate test is required. Hence, the flexural rigidity was determined with the  
10 cantilever test [55]. The samples were first tested in a standard cantilever test device equipped  
11 with a level and an etched line inclined at 41.5° on one face of the set-up. Fabrics were cut in  
12 dimensions of 200mm × 25mm, placed on the smooth horizontal platform with a weighed slide  
13 on it and gradually slid over the edge at constant speed, until the leading edge of the fabric made  
14 contact with the banding angle (41.5°) indicator of the device. The flexural rigidity  $G_{Pierce}$  (Nm)  
15 was calculated according to the formula [55]:  
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$$G_{Pierce} = 9.81 \cdot 10^{-12} \cdot w \cdot c^3$$

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29 where  $w$  (g/m<sup>2</sup>) is the weight of each coated sample and  $c$  (mm) is the bending length measured  
30 during the cantilever test. Stiffness is given here in sense of bending length of the fabric. A  
31 higher value of the bending length indicates higher fabric stiffness [46]. For each sample, the  
32 face and back of both extremities were tested, leading to a total of four measurements per sample  
33 [55].  
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#### 40 41 **2.4.7 Determination of color variations**

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44 Many applications require that the hydrophobic fabric treatment do not alter the color or  
45 other aesthetic properties of the fabrics [53]. Colorimetric tests were performed according to the  
46 CIEL\*a\*b system, using the portable Konica Minolta CM 2600d spectrophotometer with the  
47 small area view (SAV) of 3 mm, the 10° detector and the D65 primary source [56]. Color  
48 coordinates were measured according to the CIE 1976 parameters to quantify the colorimetric  
49 variations produced by the polymeric coatings on the cotton textiles. The overall color  
50 parameter,  $\Delta E$ , represents the deviation from the original value due to the coating, as a  
51 cumulative effect of  $L^*$ ,  $a^*$  and  $b^*$  parameters and was calculated according to the formula:  
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$$\Delta E^*_{ab} = \sqrt{(\Delta L^*)^2 + (\Delta a^*)^2 + (\Delta b^*)^2}$$

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4 where  $L^*$  is the brightness vector on a gray scale from 0 (black) to 100 (white),  $a^*$  is the  
5 red/green color component with values around zero (green being negative and red being  
6 positive),  $b^*$  stands for the blue/yellow component (blue being negative and yellow positive).  
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#### 10 11 **2.4.8 Ageing in climatic chamber** 12 13 14

15 The long term durability of the treated hydrophobic fabrics was further evaluated through  
16 ageing tests [57]. The treated and untreated fabrics were placed in a Memmert Climate chamber  
17 with three cold light fluorescent lamps (D65, 6500K ) and two UV lamps ( 320-400 nm), at 20°C  
18 and 40% R.H. Samples underwent an accelerated ageing of 7.5 Mlxh (million lux hours), which  
19 is comparable to five times the average annual exposure found in museum illuminated  
20 environment [58]. After the ageing, colorimetric analysis was repeated, to assess the influence of  
21 the coatings on the aesthetical properties of the fabrics [59].  
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#### 30 **2.4.9 Washing/Laundry Resistance** 31 32

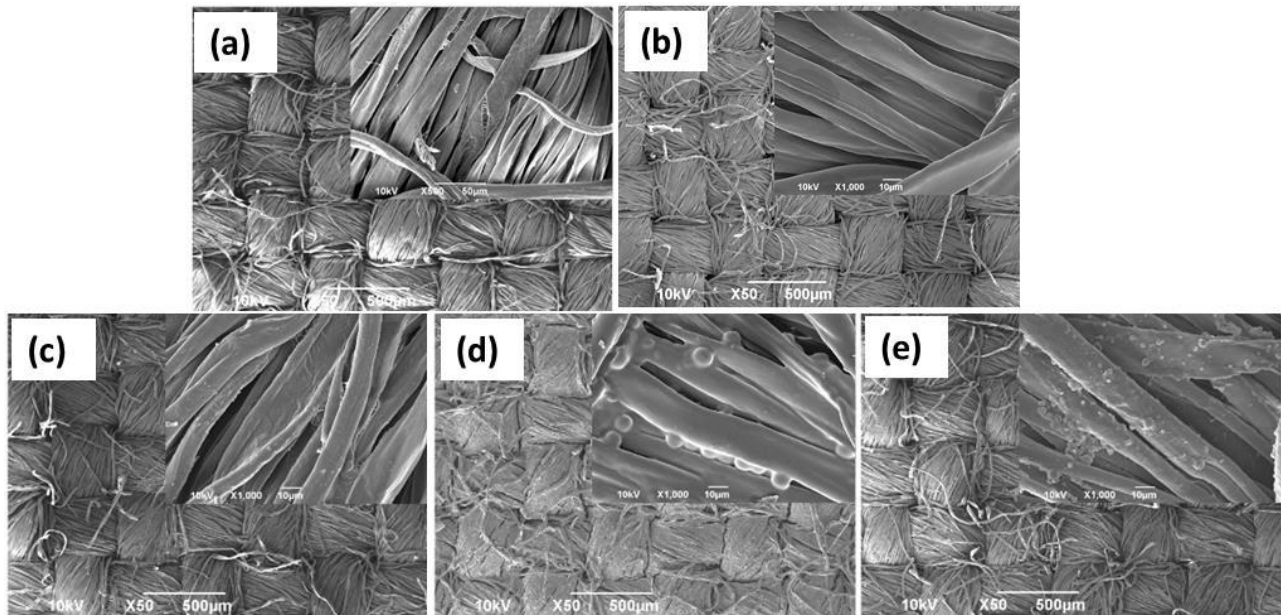
33 To investigate the effect of laundry cycles on the hydrophobicity of the fabrics, we  
34 conducted experiments to simulate several washing cycles. Details of the procedure followed  
35 could be found in [60]. Fabric samples were laundered in water containing a commercial  
36 detergent (22 g/kg load) under stirring at 40 °C for 1 h. For each new wash cycle, a fresh amount  
37 of detergent was added which is not commonly reported in literature in order to ensure each  
38 cycle is done in the presence of surfactants. At the end of each cycle, the fabrics were rinsed with  
39 fresh deionized water and dried under ambient conditions for a period of 48 hours.  
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### 49 **3. RESULTS AND DISCUSSION** 50

#### 51 **3.1 Morphological characterization** 52 53 54

55 The dense woven structure of the untreated fabric is clearly seen in the SEM image of  
56 **Fig. 2a.** Untreated fabric fibers showed a typical convoluted and wrinkle like structure [61] due  
57 to the longitudinal fibril structure [17]. The double layer formed by dip coating produces a very  
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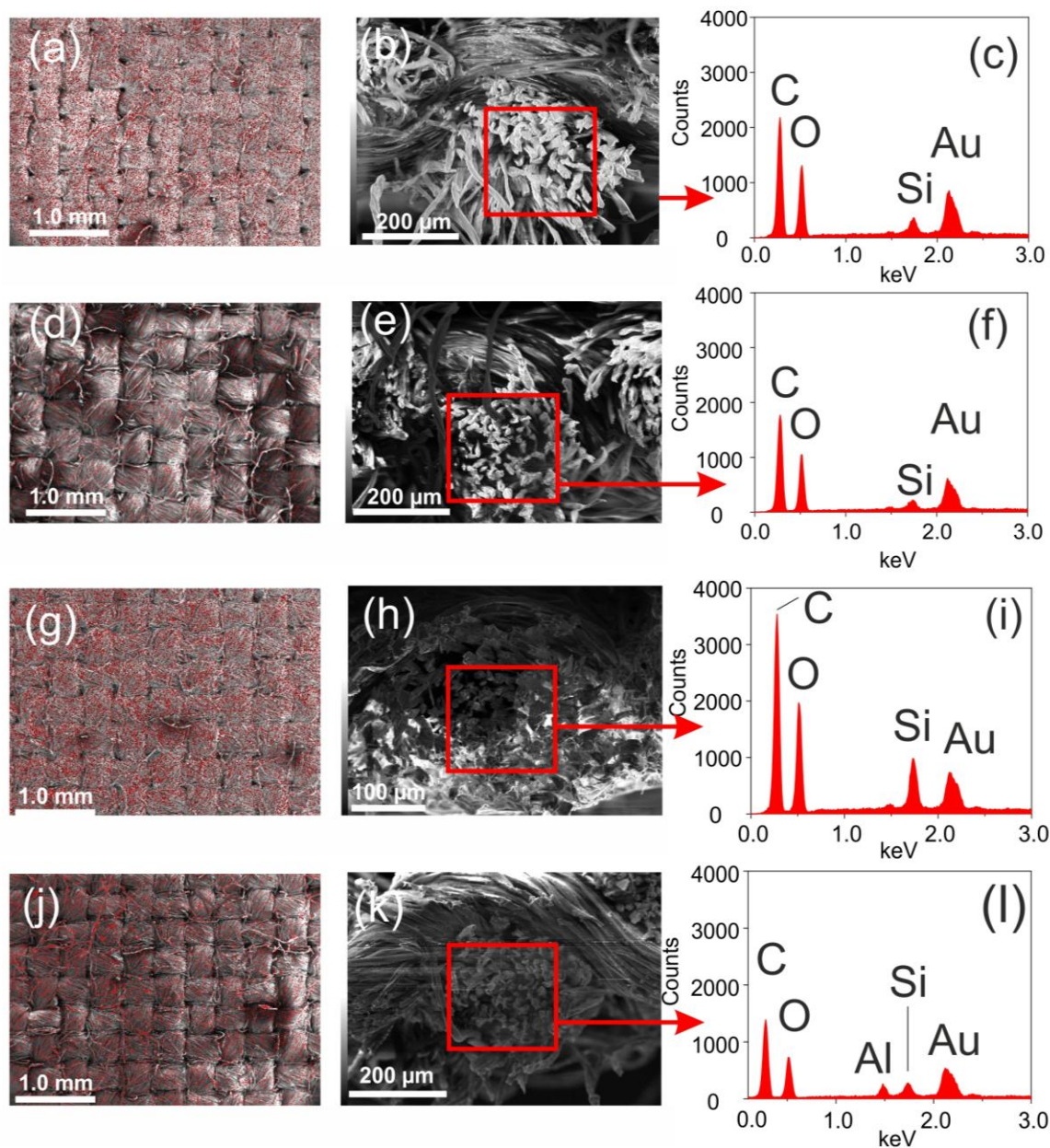
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4 smooth fiber surface morphology (**Fig. 2b**) and all the fibers are clearly visible with no coatings  
5 covering fiber bundles. Morphology of the cotton fabric dip coated with the PCU/aminosilicone  
6 emulsion (S3) appears very similar to the untreated texture indicating that the treatment does not  
7 form any coating but rather impregnates the fabric texture as seen in **Fig. 2c**. The inset SEM  
8 image of **Fig. 2c** at higher magnification demonstrates convoluted fiber structure similar to the  
9 untreated fibers with open pores. In contrast, a double layer coating approach (PCU and  
10 aminosilicone) using spray application (S4 sample in **Fig.2d**) produced a type of coating on the  
11 fabric as many individual fibers were not easily distinguishable from each other. The inter-fiber  
12 spaces were also partially filled with the polymers as seen in **Fig. 2d**. The cellulosic fiber  
13 morphology was smoothed out by the polymer coating. Interestingly, S5, the cotton fabric spray  
14 coated with PCU/aminosilicone emulsion (**Fig. 2e**) did not create a coating over the fabric and no  
15 closure of inter-fiber spaces due to polymers was observed. However, as the inset in **Fig. 2e**  
16 shows, spraying related micro-bumps on the fiber surfaces formed after application.



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51 **Figure 2.** SEM images of (a) S1 untreated sample, (b) S2, (c) S3, (d) S4 and (d) S5. Inset SEM  
52 images show the surface morphology of corresponding fabrics at higher magnifications.

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56 In general, spray coated fabrics showed rougher microscale features on the fibers' surface with  
57 respect to the fabrics treated with dip coating. This spray induced micro-roughness can be  
58 ascribed to the solvent evaporation during the flight of the atomized droplets toward the substrate  
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[62] and freezing of polymer solution droplets over the surfaces into microscale spherical structures [4].



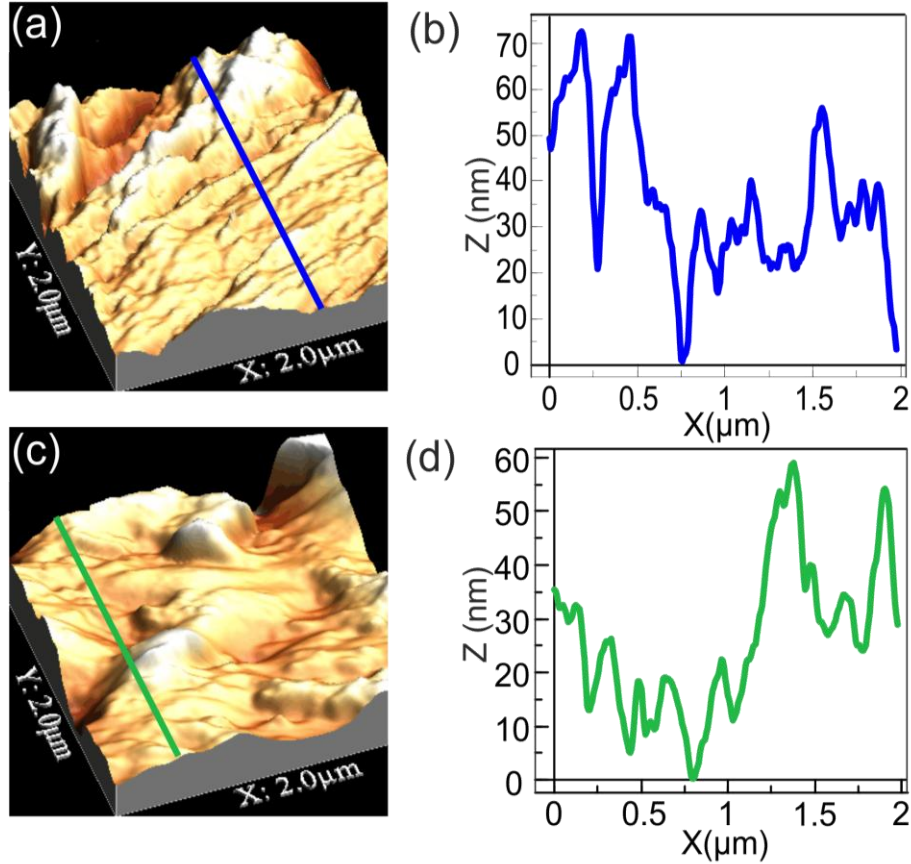
**Figure 3.** EDX analysis of treated cotton fabrics. Silicon mapping (in red color) of top surface, cross section of fabrics and relative EDX cross section spectra of (a-c) S2, (d-f) S3, (g-i) S4 and (j-l) S5. Silicon mapping has been indicated in red color.

Energy-dispersive X-ray spectroscopy (EDX) analyses of the treated fabrics were also performed (both surface and bulk cross section) in order to investigate the chemical homogeneity

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4 of the treatments. Particularly, elemental Si dispersion was investigated (red points in **Fig. 3**).  
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6 Indeed, the elemental silicon maps on the top surface demonstrates the degree of homogeneity of  
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8 the formulations both for emulsion dip-coating (see **Fig. 3a** for S2 and **Fig. 3d** for S3), and  
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10 emulsion spray coating (see **Fig. 3g** for S4 and **Fig. 3j** for S5). As mentioned, elemental spectra  
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12 obtained from the cross sectional cuts were also performed in order to verify the penetration of  
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14 the aminosilicone polymer. Spectra of all fabrics including the untreated ones demonstrate two  
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16 distinct peaks at 0.277 keV and 0.525 keV for atomic carbon and oxygen, respectively [63].  
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18 Whereas, PCU/aminosilicone emulsion-treated cotton fabrics display an additional peak at 1.740  
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20 keV corresponding to atomic silicon [64], as shown in **Figs. 3 (c), (f), (i) and (j)**. Note that the  
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22 presence of gold atoms in EDX spectra at 2.120 keV is due to the sputtering performed to  
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24 enhance the conductivity of the substrate, while the presence of aluminum atoms at 1.486 keV is  
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26 due to the aluminum stub on which samples were placed. Penetration of the PCU/aminosilicone  
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28 emulsion into the fabric was achieved both by dip (**Figs. 3c and 3f**) and spray coating (**Figs. 3i**  
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30 and **3l**). This method of analysis cannot indicate which process (spray or dip) ensures better  
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32 fabric penetration but it is useful as a qualitative indicator of treatment efficiency. Impregnation  
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34 of aminosilicone into the fabric was also possible even if sequential treatment was performed as  
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36 seen in **Fig. 3c** for S2, indicating that the PCU treatment does not block impregnation of the  
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38 softener into the fabric bulk. The EDX spectra (obtained from the cross section) can be used to  
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40 estimate the elemental distribution within each cross section and calculations yield 57% C,  
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42 36%O and 7%Si distribution in S2; 62%C, 34%O and 4% Si in S3; 54%C, 31%O and 16%Si in  
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44 S4 and; 59%C, 32%O and 9%Si in S5 fabric. It appears that fabric S4 has the highest elemental  
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46 silicone within the bulk textile but it must be noted that EDX spectra are sample depth dependent  
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48 and this could create some errors in estimating real and reliable elemental compositions.

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47 The sub-micron surface morphologies of the treated cotton fabric fibers were further  
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49 characterized with AFM. The AFM analyses were performed on samples S1 and S5. 3D surface  
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51 roughness profiles of the analyzed fabric fibers are shown in **Fig.4**. Both root mean square  
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53 roughness (Sq) and average roughness (Sa) were calculated. The untreated cotton fiber has an  
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55 intrinsic roughness at the nanoscale, which was not significantly modified by the spray  
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57 treatment. Despite the process of atomization of the micro-emulsions during spray application  
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59 increases the micro-roughness (**Fig. 2**), the overall nano-roughness has not been affected  
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4 significantly because of the self-leveling and skin forming properties of silicone [33], [65], [66],  
5 and smoothening property of PCU [67] polymers.  
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41 **Figure 4.** AFM topology analyses. (a) 3D topography reconstruction and (b) roughness profile of  
42 untreated cotton S1; (c) 3D topography reconstruction (d) and roughness profile of S5 cotton  
43 treated with micro-emulsion of PCU and aminosilicone by spray.

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45 The average ( $S_q$ ) roughness of the untreated cotton fiber was calculated to be  $56.3 \pm 19.4$  nm  
46 [68].  $S_q$  after treatment was approximately  $64.3 \pm 9.7$  nm. Their corresponding average  
47 roughness ( $S_a$ ) values were found to be  $45.7 \pm 14.4$  nm and  $47.2 \pm 11.5$  nm, respectively. This  
48 indicates that the submicro-scale roughness of the original untreated fibers as well as spraying  
49 induced microscale roughness (such as sample S5 in **Fig. 2d**) contributed to high hydrophobicity  
50 (WCA~  $145^\circ$ ) [17] and droplet mobility as will be demonstrated in the following sections.  
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### 57 **3.2 Chemical characterization through attenuated total reflection-Fourier transform** 58 **infrared (ATR-FTIR) spectroscopy.** 59 60 61

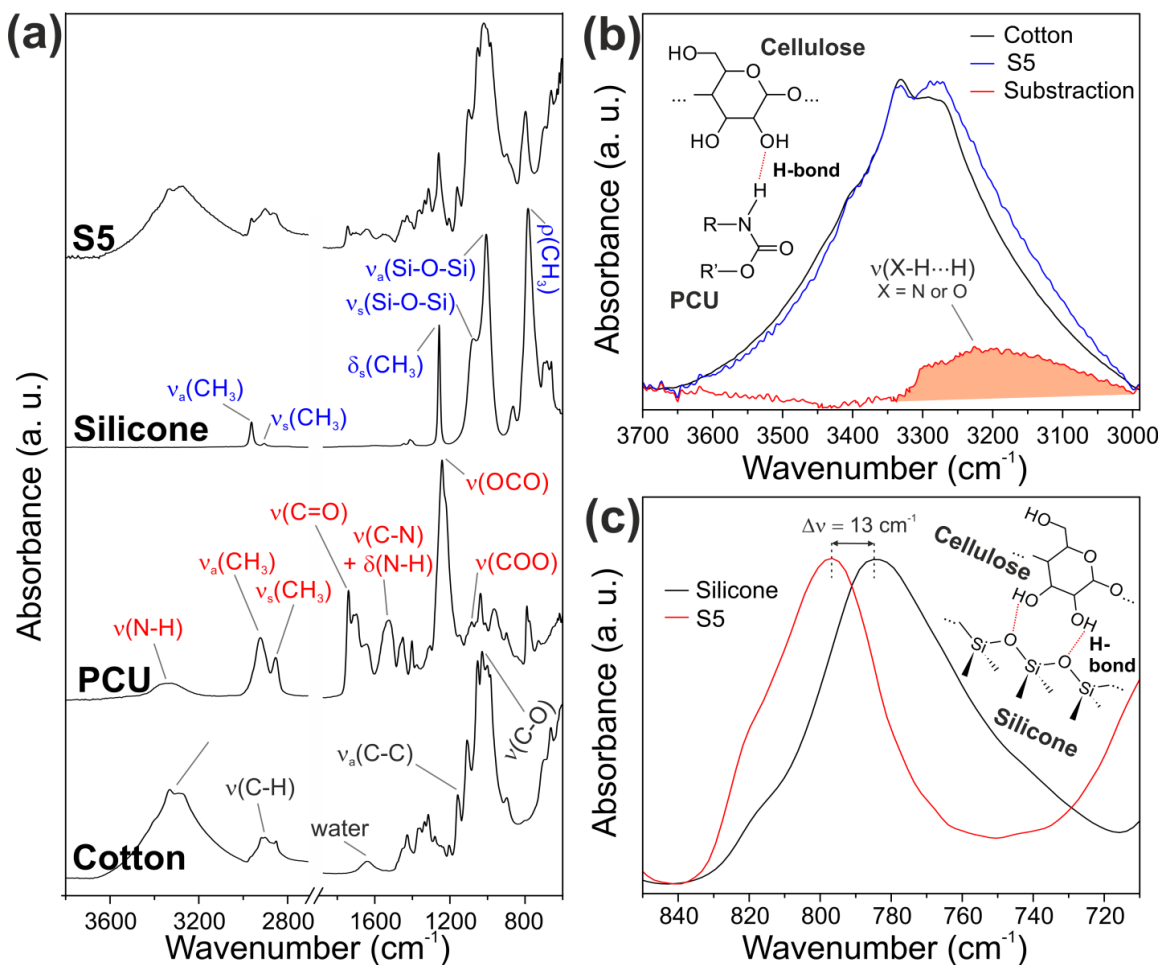


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6 The chemical characterization of the samples was carried out by ATR-FTIR  
7 spectroscopy, **Fig. 5. Figure 5a** shows the infrared spectra of pristine cotton, pure PCU, pure  
8 aminosilicone, and the S5 sample. Cotton showed the characteristic peaks of cellulose: OH  
9 stretching mode at  $3297\text{ cm}^{-1}$ , CH stretching mode at  $2883\text{ cm}^{-1}$ , adsorbed water at  $1642\text{ cm}^{-1}$ ,  
10 asymmetric C-C stretching mode at  $1159\text{ cm}^{-1}$ , and C-O stretching mode at  $1028\text{ cm}^{-1}$  [69]. On  
11 the other hand, PCU was characterized by urethane (N-H stretching mode at  $3335\text{ cm}^{-1}$ , free and  
12 H-bonded C=O stretching modes at  $1740$  and  $1707\text{ cm}^{-1}$ , N-H bending and C-N stretching modes  
13 at  $1526\text{ cm}^{-1}$ , and C-O-C stretching mode at  $1088\text{ cm}^{-1}$ ), carbonate (the same free and H-bonded  
14 C=O stretching modes that for the urethanes groups, and the O-C-O stretching mode at  $1242\text{ cm}^{-1}$ ),  
15 and aliphatic (asymmetric and symmetric  $\text{CH}_2$  stretching modes at  $2922$  and  $2855\text{ cm}^{-1}$ ,  
16 respectively) groups [70].

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26 In the case of the aminosilicone, main peaks were  $\text{CH}_3$  asymmetric and symmetric  
27 stretching modes at  $2963$  and  $2905\text{ cm}^{-1}$ , respectively,  $\text{Si}(\text{CH}_3)$  symmetric bending at  $1258\text{ cm}^{-1}$ ,  
28 Si-O-Si symmetric and asymmetric at  $1074$  and  $1007\text{ cm}^{-1}$ , respectively, and  $\text{CH}_3$  rocking at  $783$   
29  $\text{cm}^{-1}$ . It is expected that one would also detect peaks at  $1731$  and  $1673\text{ cm}^{-1}$ , corresponding to -  
30 NH and  $-\text{NH}_2$  vibration bands. The inability to detect these peaks is attributed to the fact that the  
31 molar content of the amino/amine functional groups is quite minute ( $\sim$ nano-molar) compared to  
32 the silicone polymer chain [71], [72] or even if they are detected in the pure silicone compound  
33 they disappear upon reacting with hydroxyl groups on fiber surfaces forming trace amounts of  
34 ammonia gas [73].

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43 The infrared spectrum of the cotton spray coated with the blend of PCU and  
44 aminosilicone showed the bands of all three components. Two shifts in the O-H/N-H stretching  
45 and methylene rocking spectral regions were observed. **Figure 5b** compares the O-H/N-H  
46 stretching modes of cotton and coated cotton. The difference between the two spectra (coated  
47 cotton spectrum minus the cotton one) highlighted the presence of a band at  $\sim 3225\text{ cm}^{-1}$ . This is  
48 a typical value for N-H and O-H that strongly interact by H-bonds [74] indicating that hydroxyl  
49 groups of cotton and urethane and carbonate groups of PCU can interact through this kind of  
50 secondary bonds. In addition, a shift of  $\sim 13\text{ cm}^{-1}$  between the methyl rocking mode of silicone in  
51 pure silicone and the silicone together cotton and PCU was observed, **Figure 5c**. This  
52 phenomenon has been previously reported for cured PDMS in presence of different  
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polysaccharides or polysaccharide-rich materials, such as starch, cellulose, ethyl cellulose, red beetroot and cocoa shells wastes and explained by the formation of H-bonds between the O-H groups of the polysaccharide and the oxygen of the siloxane groups of the cross-linked PDMS [61], [75], [76], [77], [78].

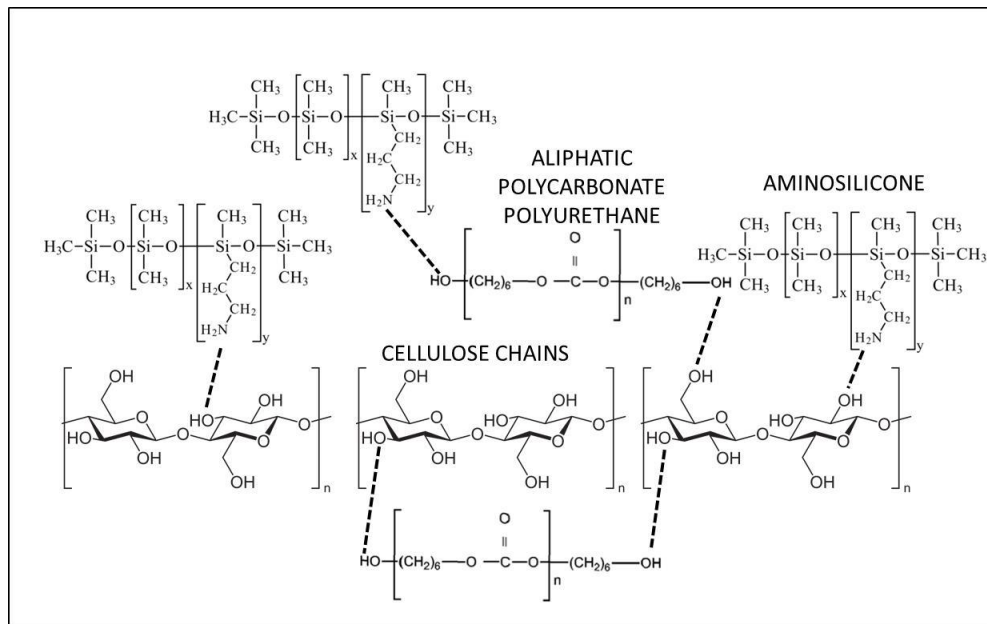


**Figure 5.** (a) ATR-FTIR spectra of cotton, PCU, aminosilicone, and S5 sample in the 3800-600  $\text{cm}^{-1}$  region. The main assignments for cotton (black), PCU (red), and aminosilicone (blue) are included. (b) OH stretching band of cotton and cotton coated with the PCU-aminosilicone blend. The differences are highlighted with the subtraction of the two spectra. The possible interaction between cellulose and PCU by H-bonds is included. (c)  $\text{CH}_3$  rocking band of aminosilicone and cotton coated with the PCU-aminosilicone micro-emulsion. The difference between the maxima of both peaks and the interaction between cellulose and aminosilicone by H-bonds are included.

Based on this analysis, it is highly probable that hydrogen bonding interactions exist between cellulose OH groups and amine groups of the silicone fluid, cellulose OH groups and



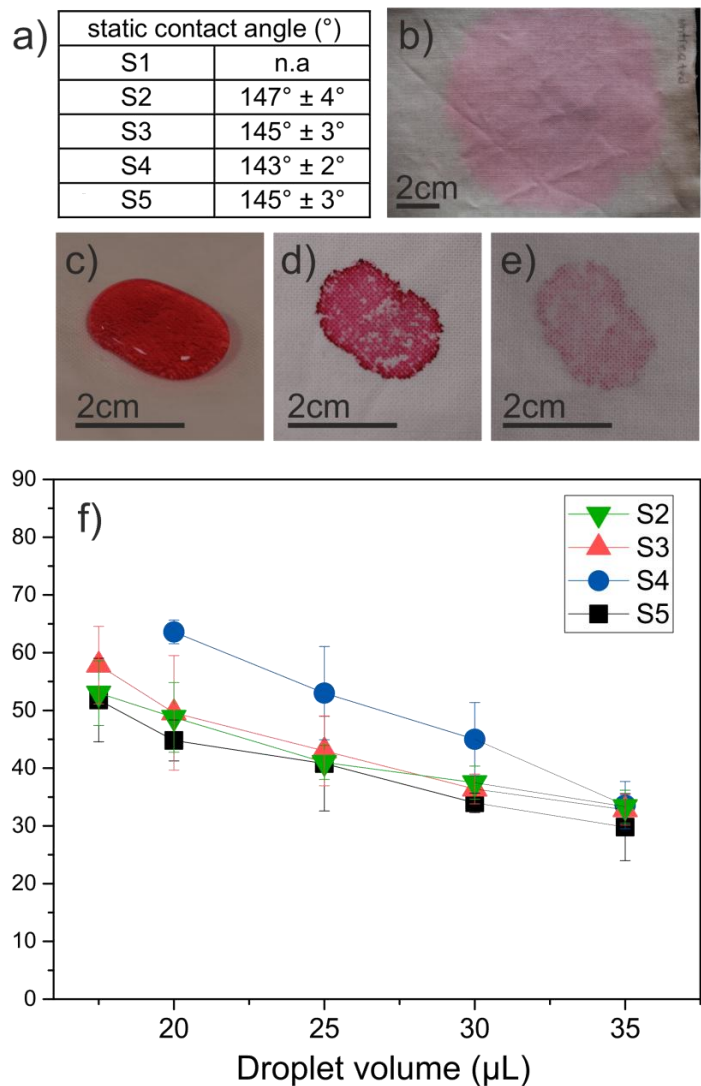
the OH groups of the aliphatic polycarbonate polyurethane chains and between amine groups of the silicone fluid and the OH groups of the polyurethane. These chemical interactions are shown in **Scheme 1** below.



**Scheme 1.** Schematic representation of potential hydrogen bonding interaction among cotton surface, aliphatic polycarbonate polyurethane and aminosilicone fluid.

### 3.3 Wettability and surface energy

Surface wettability of the prepared cotton fabrics was analyzed by static and dynamic water contact angle measurements. For this, 5  $\mu\text{L}$  water droplets were deposited on the surface of the fabrics and 10 different WCAs were measured on different locations of each fabric. Pristine cotton fibers are highly hygroscopic in nature and can absorb a significant amount of water on contact [12], [79]. The current measurement setup was unable to measure the water contact angle on untreated cotton fabric as the deposited water droplet immediately sank into the fabric. However, the cotton fabrics treated with different polymer formulations maintained water droplets in spherical shapes on their surface. WCAs for corresponding treated cotton fabrics are tabulated in **Figure 6a**. Note that all treatments conferred a good degree of hydrophobicity to the fabric. Both double-layer and PCU/aminosilicone emulsion applications produced static water contact angles between  $143^\circ$  and  $147^\circ$ .



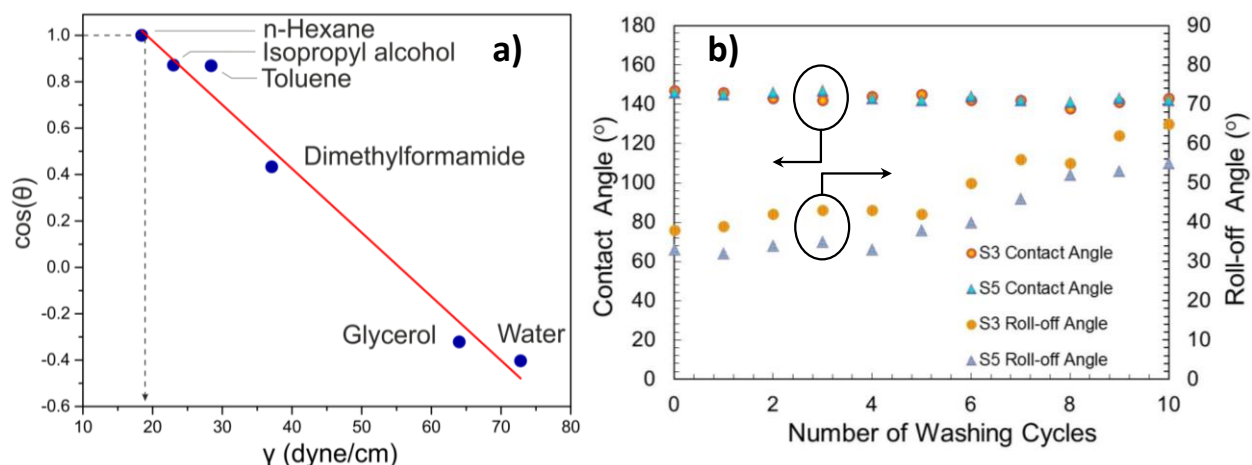
**Figure 6.** (a) Static water contact angles; (b) halo zone on S1 (untreated cotton); (c) colored water droplet on S5 (emulsion sprayed); (d) halo zone of S5; (e) halo zone back side of S5 when 1mL of colored water was deposited on samples; (f) water roll-off angle with increasing droplet volumes on samples S2 (double layer dip coating), S3 (emulsion dip coating), S4(double layer spray) and S5.

Larger volumes of colored water droplets (1 mL) were also deposited and their qualitative interaction with the fabric was monitored by observing color stain deposits after the droplets were removed or evaporated completely after some time. This also allowed potential monitoring of long-term droplet spreading during its evaporation. **Figure 6b** shows such a large stain on S1 due to the sudden sinking of a water droplet. On the other hand, all treated textiles (S2, S3, S4 and S5) showed stain marks which approximately corresponded to the initial contact

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4 area of the 1 mL colored water droplet deposited on the textile (see **Figs. 6c-6e** of sample S5).  
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6 The droplets evaporated in about 10 hours rather than spreading and sinking into the fabric under  
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8 ambient conditions.  
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11 Considering that static WCAs appear to be very close for all the treatments, dynamic  
12 contact angle measurements have also been done to distinguish the hydrophobicity of each  
13 treatment from the other by using the concept of droplet mobility that indicates better  
14 hydrophobicity even if static WCAs appear to be similar [80]. Moreover, highly rough surfaces  
15 such as textile fabrics with protruding fibers or fiber fractions make it difficult to locate real  
16 droplet baseline during measurements of static WCA and can cause large error bars [53], [81].  
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18 Therefore, the surface wettability was further studied by measuring roll-off or sliding angles of  
19 water droplets with varying droplet volume. For instance, given a droplet volume of 17.5 $\mu$ L, the  
20 water droplets started to roll-off the fabric surface at 52°, 53° and 58° tilt angles for S5, S2 and  
21 S3 fabrics, respectively (see **Figure 6f**). On the other hand, S4 sample was still sticky to 17.5  $\mu$ L  
22 droplets when tilted at 90°. Except for fabric S4, all treatments demonstrated droplet mobility  
23 with lower roll of angles for larger volume droplets due to competition between gravitational and  
24 surface tension forces [27]. This self-cleaning effect was also achieved for sample S4 once  
25 droplet volume exceeded  $\geq 30 \mu$ L that has been observed in textiles treated with various  
26 nanotechnology approaches as well [82]. The best performing treatment was determined to be  
27 S5, which demonstrated a water roll-off angle of 30° for 35  $\mu$ L droplet volume. This is rather  
28 remarkable considering no fluorine chemistry, as well as nanoparticle induced surface texture  
29 modification, were used in the formulation. Moreover, the effect was achieved by a single spray  
30 application of the PCU/aminosilicone emulsion.  
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34 Note that treated fabrics without undergoing accelerated thermal annealing at 60°C  
35 (drying and conditioning under ambient conditions for a month) performed identically to the  
36 samples shown in **Fig. 6**. Avoiding thermal annealing without sacrificing hydrophobic  
37 performance could be important if special fabrics, such as those used in Heritage preservation  
38 applications are to be targeted [79]. The surface free energy of the PCU/aminosilicone blend  
39 coatings applied on a glass slide was also estimated using different liquids of varying surface  
40 tensions.  
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**Figure 7.** (a) Zisman plot for the flat film obtained from the micro-emulsion of PCU and aminosilicone. (b) Water contact angle and droplet (30  $\mu$ L) roll-off angles for fabric samples S3 and S5 after as a function of number of laundry cycles.

The coating's critical surface energy was determined using a Zisman Plot. Zisman showed empirically that a plot of probing liquid cosine contact angle ( $\cos\theta$ ) versus liquid surface tension ( $\gamma$ ) is often linear [83]. Contact angles of six different liquids with well-known surface tensions were analyzed and plotted in (Figure 7a) over the PCU/aminosilicone polymeric surface. Linear regression of the experimental data gave the equation of the line:  $y=1.52784-0.02756x$ , with  $R^2=0.9782$ . At the point of the intersection with the value of  $\cos(\theta) = 1$  a line was drawn perpendicular to the x-axis and a value of  $\gamma$  was read [48]. The surface energy of the coatings was estimated to be 19.5 dyne/cm, which is somewhat lower than pure silicone polymer surface energies reported in literature such as 22.8mN/m [84], 24.7 mN/m [85], 20.4 dyne/cm [86], and 24 mN/m at 20°C [87]. Combination of this low surface energy polymer blend with the fabric surface roughness and spray-induced micro-morphology is considered to be responsible for the droplet mobility on the treated fabrics. The changes in wetting properties of fabrics S3 and S5 after 10 laundry cycles are reported in Figure 7b. The static contact angles did not fluctuate significantly, however, droplet roll-off angles of both fabrics increased significantly after the 6<sup>th</sup> washing cycle. Fabric S5 demonstrated a lower roll-off contact angle than S3 at the end of the 10<sup>th</sup> laundry cycle. At this point, the deterioration of the wetting properties were not highly unfavorable but can be attributed to potential surfactant adsorption (laundry detergent) effects. No visible coating loss was noticed in the washing medium at the end of each washing cycle. Further characterization of the laundry aged fabrics will be conducted in a future work.

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4 However, results in **Figure 7b** indicate that the treatment has a certain degree of durability  
5 against washing fastness.  
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### 9 **3.4 Water vapor permeability**

10 To evaluate the breathability of the treated cotton fabrics, water vapor permeability  
11 (WVP) was measured [9], [47], [76], [88]. The average WVP of the untreated cotton is  
12 calculated to be  $\sim 5.6 \cdot 10^{-3} \text{ g(m day Pa)}^{-1}$ . This value corresponds to the permeability of the  
13 starting material, which is considered to be highly breathable. After hydrophobic treatments, all  
14 analyzed fabrics indicated WVP values that are very close to the original fabric as shown in  
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21 **Table 2.**

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24 **Table 2.** Water vapor permeability (WVP) of untreated and treated cotton. The average  
25 measurement uncertainty was within  $0.2 \cdot 10^{-3} \text{ g(m day Pa)}^{-1}$ .  
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| Sample                          | S1                  | S2                  | S3                  | S4                  | S5                  |
|---------------------------------|---------------------|---------------------|---------------------|---------------------|---------------------|
| WVP / $\text{g(m day Pa)}^{-1}$ | $5.6 \cdot 10^{-3}$ | $5.7 \cdot 10^{-3}$ | $5.3 \cdot 10^{-3}$ | $5.7 \cdot 10^{-3}$ | $5.7 \cdot 10^{-3}$ |

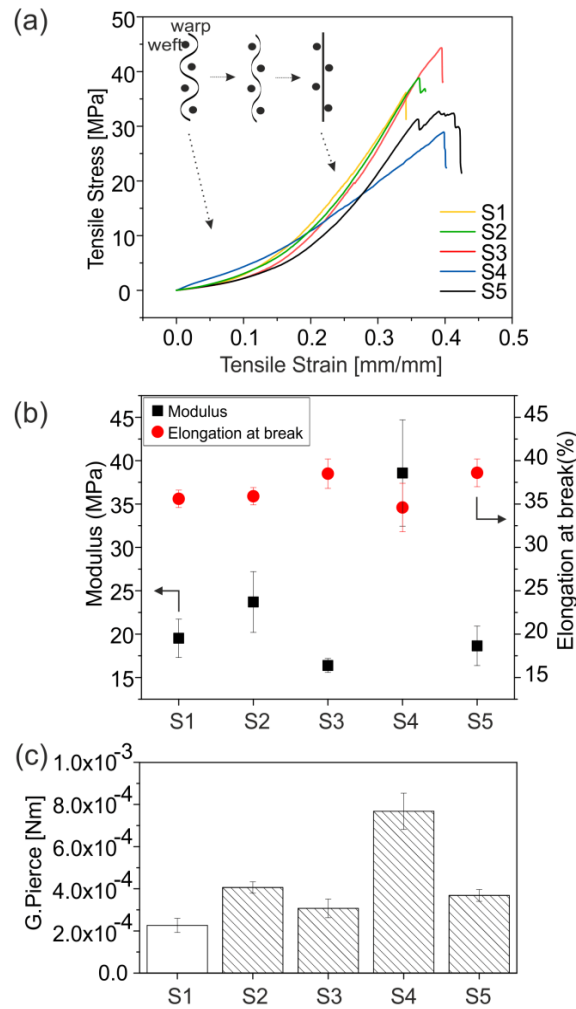
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34 WVP could occur in different modes such that pores allow direct through transfer but  
35 also water molecules can diffuse through a polymer layer as well [89]. For fabrics, if the  
36 treatment does not fill up the inter-fibrillary spaces or pores (see **Fig. 2**), then the first  
37 mechanism occurs readily [35]. However, the PCU component of the blend, if one considers a  
38 treatment like a full coating, is known to promote vapor transport by the molecular mechanism of  
39 sorption-diffusion-desorption, where water vapor molecules are absorbed by the polymer,  
40 diffused across the bulk and fabric fiber, transferred to the other side, and finally released to the  
41 environment due to the hydrophilic moieties in polyurethanes [35].  
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### 50 **3.5 Tensile strength and flexural rigidity**

51 For the mechanical characterization of the fabrics, stress-strain tests were performed [51],  
52 [52], [55]. The textile construction with its yarn undulation generally yields a bilinear-like stress-  
53 strain response [52], which is also shown in **Figure 8a**; see also inset. The first slope corresponds  
54 to the stress in the single yarn, which increases under small strains and lengthens and tries to  
55 resist further stain induced deformation. The second slope corresponds to the irreversible  
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stretching after overcoming the preceding strain levels and individual fibers start to elongate within the woven network. In general, Young's or elastic modulus is calculated from the first slope of the stress-strain curves and they were reported in **Figure 8b** together with elongation at break values. Elastic modulus of all samples, except for S4 and to a certain extend S2 (double layer by dip or spray coating), were similar to the untreated textile. As seen in SEM analyses (**Fig. 2c**), the treatment applied as a double-layer filled the inter-fiber gaps potentially causing stiffer threads preventing them to slide over each other under stress.



**Figure 8.** Mechanical tests. (a) stress-strain curve, (b) Modulus (MPa) and maximum percent elongation at break point of most promising treated samples, (c) stiffness calculated through G.Pierce cantilever test (see Table 1 for sample details).

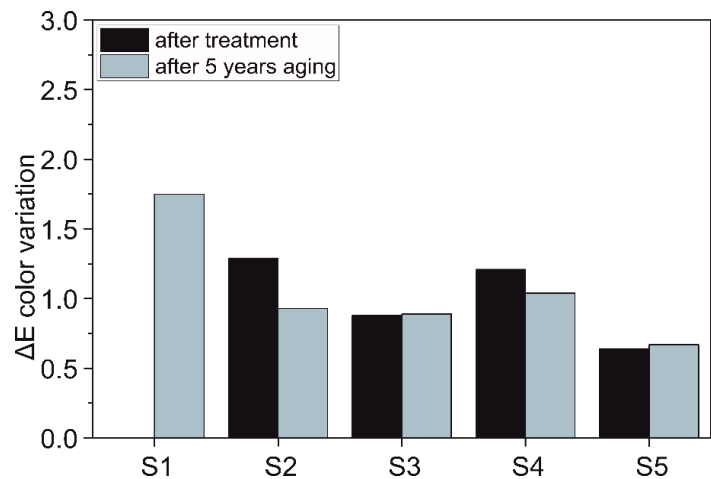
On the other hand, both samples treated with the PCU/aminosilicone emulsion had moduli comparable to the untreated textile that is good for the sensational aspects of the fabric quality. It

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4 is basically impossible to distinguish between these treated fabrics and untreated ones by  
5 touching them. Moreover, elongation at break remained unchanged for all the samples studied.  
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8 The bending stiffness of a textile is also an important mechanical feature that determines  
9 fabric drapability and handling. The Peirce cantilever test (ASTM D1388) was performed in  
10 order to determine multi-directional stiffness and to provide a more complete assessment of the  
11 anisotropic mechano-physical properties of the fabrics [54]. The length of a fabric bent under its  
12 own weight to a definite angle is related to its inherent stiffness. The longer the “bending  
13 length”, the stiffer the fabric is. Results obtained through these cantilever tests are shown in  
14 **Figure 8c**. Stiffness of samples S3 and S5 was closer to the untreated fabric and stiffer fabrics  
15 formed after the double-layer treatment, confirming the results of stress-strain mechanical tests.  
16 Peirce cantilever test also qualitatively indicates good fabric flexibility since the stiffness  
17 measurement is obtained by bending the fabric.  
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### 28 3.6 Color variation

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30 It is important that the hydrophobic textile treatments do not change or degrade the  
31 inherent color(s) of textiles. In fact, such treatments should maintain the original color  
32 unchanged or at least under the limiting value of  $3 \Delta E$ , so that the appearance of the fabric is not  
33 compromised. **Figure 9** shows the measured colorimetric variations due to different hydrophobic  
34 treatments. It is seen that color variations are much below 3 and even after simulated ageing  
35 corresponding to five years;  $\Delta E$  values do not even exceed 2.  
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58 **Figure 9.**  $\Delta E$  colorimetric values of samples after treatment (black) and after ageing (grey) with  
59 respect to untreated one.  
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6 The best performance was seen in S5 with a color variation of about 0.6 after application. Hence,  
7 all the treatments would be relevant and beneficial for the conservation of Heritage-related  
8 fabrics since the condition  $\Delta E < 3$  is not detectable by human eye. The untreated cotton textile had  
9 an overall  $\Delta E$  of 1.8 after 5 years of accelerated ageing, and all treated samples had an overall  $\Delta E$   
10 between 0.9 and 1.8, after undergoing 7500000 lux·hours of UV-Vis radiation, comparable to  
11 five years of exposure in the National Gallery (UK). Hence, the coatings had a protective role  
12 with respect to UV-Vis radiation, which is probably due to the silicone component as silicones  
13 are known to be more resilient against UV-Vis than polyurethanes [56], [91].  
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#### 24 4. CONCLUSIONS

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26 Woven cotton fabrics were hydrophobized by transforming a functional silicone fluid  
27 commonly used as a fabric softener into an emulsion with an aqueous dispersion of  
28 polycarbonate diol polyurethane using isopropyl alcohol as co-solvent. The emulsions were  
29 stable with droplet diameters of approximately 1.5  $\mu\text{m}$ . Polycarbonate polyurethane was used for  
30 its hydrolytic stability and low water absorption levels. Hydrophobic cotton fabrics were  
31 produced by simple immersion or spray coating approaches. Measured static water contact  
32 angles exceeded  $143^\circ$  with droplet shedding angles of less than  $50^\circ$  depending on the droplet  
33 volume. The estimated surface energy of the polymer blend was found to be 19.5 dyne/cm,  
34 slightly more hydrophobic compared to polydimethylsiloxane. After treatment, vapor  
35 permeability levels of  $5.6 \text{ mg (m day Pa)}^{-1}$  was measured, similar to untreated fabrics. No  
36 nanoparticles were used in the formulations that are generally needed for creating texture on  
37 textiles. Accelerated ageing tests corresponding to 5 years with  $7.5 \times 10^6$  lux·hours exposure  
38 yielded no yellowing or ageing of the fabrics with the overall color parameter  $\Delta E < 2.0$ . This  
39 simple textile hydrophobization method can be easily scaled out of the laboratory and can be  
40 potentially used for the treatment of Heritage valuable fabrics; but also for commercial cotton-  
41 based textiles.  
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