

Optimization of sustainable, NaCl-resistant and water-repellent renders through evolutionary experimental design

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Abstract

The paper deals with the formulation of salt-resistant renders, suitable for the protection of brick masonries subjected to rising damp of salt solution. Natural hydraulic lime, recycled mortars as aggregates, air entraining and/or water-repellent admixtures were selected to produce a double layer render resistant to the action of NaCl solution . The render formulations were optimized by an evolutionary experimental design approach based on Bayesian networks, namely EBN-design, in order to minimize physical damages such as detachments, scaling, efflorescences. Results show that this innovative approach is promising for discovering NaCl-resistant renders by experimentally testing just a limited number out of the possible compositions.

Keywords: Render; Salt Resistance; Recycled Wastes; Evolutionary Design of Experiment; Bayesian Networks; Natural Hydraulic Lime; Water-Repellent admixtures; Air-entraining agents

1. Introduction

1.1 Repairing renders for historical masonries affected by rising damp of marine water

Severe decay of render systems occurs in marine environments due to salty water penetration, salt deposition, sub-efflorescences formation, salt efflorescences, and physical shrinkage occurring at each

35 crystallization/dissolution cycle [1-8]. Render systems, appropriately calibrated, have demonstrated to tackle
36 the problem by regulating the water flux and salt transport [6-10]. The technical literature describes several
37 renders types developed, studied and applied for the protection and conservation of architectural and
38 historical masonries, among them: traditional renders with slight hydraulic properties or cement composites
39 with high hydraulic properties; slow transporting and water-proof layers or macroporous, highly permeable
40 and sacrificial plasters [6-8].

41 However, the development of render mixtures durable in marine environments and suitable for historical
42 masonries restoration remains a complex challenge. Several issues have to be taken into account: i) the
43 system effectiveness, in terms of resistance to the disaggregating action of salts, internal cohesion, adhesion
44 to the substrate, external appearance, etc. [9]; ii) the compatibility of the render systems with the historical
45 masonry in chemical-physical terms, as stated in [10-11], iii) the increased awareness regarding eco-
46 sustainability that leads to prefer environmental compatible systems [12-13]. Compatibility and eco-
47 sustainability can be addressed by choosing natural hydraulic lime NHL instead of cement as binder (NHL in
48 fact repeatedly demonstrated a general compatibility with ancient masonries and lower carbon footprint [[12;
49 14]) and recycled materials as aggregates [15-19]. Effectiveness can be addressed by opportunely testing
50 laboratory specimens, subsequently individuating the most promising systems, optimizing the starting
51 composition to on-field apply and test.

52 In this study, an evolutionary experimental design approach is presented for the i) evaluation of renders
53 durability when exposed to 3% NaCl solution and the ii) in-lab optimization of render system formulation.

54

55 *1.2 Optimization of the mixture formulation by experiment design methods*

56 In the traditional approach, owing to his/her expertise, the researcher individuates the suitable starting
57 materials, mix proportions, application and curing conditions, then he/she tests some promising
58 compositions. Since testing all the possible compositions is not economically sustainable, only few
59 compositions are selected: the choice is strictly related to the researcher's experience and previous well-
60 known literature. However, the expert's subjective knowledge on mixture compositions can prevent to select
61 and test promising compositions *a priori* excluded from the experimentation

62 In the last few years, studies based on statistical designs of the experiments (DoE) and on mixture design
 63 modeling are becoming more widespread and offer an alternative approach to the optimization of render
 64 formulation.

65 Through factorial experimental designs, the effects and possible interactions of the different material factors
 66 can be assessed (chemical admixtures, mineral additions and water/binder ratio). In k^d factorial designs, a set
 67 of possible compositions is defined, where d represent the number of factors (e.g. binders, aggregates,
 68 admixtures) and k represents the number of possible levels for each factor (e.g. tot. binder types, tot.
 69 different aggregates, tot. different water-repellent percentages) . Analysis of the variance (ANOVA) is then
 70 developed on the results of suitable physical properties (e.g. the maximum compressive strength or the
 71 capillary water absorption coefficient) to test if any factor produces a statistically different effect on the
 72 responses [20-22]. For example, Ribeora et al (2013) [23] evaluated the effect of selected factors such as
 73 expanded polystyrene addition content and type and a superplasticizer-water-retaining mix with ANOVA
 74 built on a $2^1 \times 4^1$ mixed-level full factorial design on capillary absorption and compressive strength, whereas
 75 Ferrandiz et al (2016) [24] proposed a $3^3 \times 2^1$ d-optimal experimental design to evaluate the effect of
 76 incorporation of recycled glass fibre reinforced plastics on the mechanical behaviour of polyester polymer
 77 mortars. These DoE approaches generally requires to experimentally test almost all or even all the possible
 78 combinations; therefore they are suitable when a very limited number of factors and/or levels of factors are
 79 investigated. Moreover, to develop analysis on the effect of the factors or interactions amongst factors,
 80 simple models with a small set of interactions are usually assumed.

81 While the number of variables and their different nature, as well as the limited number of points that can be
 82 tested due to technical and economical constraints, can make it very difficult to use classical DoE
 83 methodologies, planning efficient and effective experiments is essential to achieve good results in such areas
 84 of research where experimentation is complex, extremely expensive and time consuming.

85 In this study, an innovative approach based on evolutionary experimental designs is proposed: the Design of
 86 Evolutionary Experiments based on Models approach (DEEM approach) [25-28]. DEEM approach has been
 87 recently developed to derive small sets of informative experimental points (i.e. to experimentally test a
 88 limited number of compositions), when classical hypotheses of statistical designs and multidimensional
 89 modelling are missing.

90 The design, consisting of a collection of experimental points, is regarded as a population that evolves across
91 generations according to the evolutionary paradigm. Each population of experiments is very small with
92 respect to the size of the possible designs (i.e. all the possible combinations according to the variables and
93 their levels), but it changes throughout generations, “learning” from one generation to the next and
94 exploring, in an intelligent way, the search space.

95 Statistical models are used to identify the relevant information at each generation and this information is
96 incorporated in the evolutionary procedure, making the search more efficient and effective. In particular, our
97 approach is based on the evolution of Probabilistic Graphical Models, PGMs [29-31], i.e. models where a
98 graph-based representation is combined with the rules of probability theory to provide a flexible framework
99 for modeling large collections of variables with complex interactions. We focus on a particular class of
100 PGMs, that is the class of Bayesian network models (BNs), where the graph representation is based on nodes
101 corresponding to random variables and on arcs between nodes, describing the probabilistic dependence
102 structure that may characterize the set of variables on which we then develop statistical inference [32-33].

103 When a limited number of experimental points, i.e. promising compositions, can be tested due to technical
104 and economical constraints, the information achieved by statistical models is adopted to guide the
105 optimization towards the objective of the experiment. The “optimal composition” can be defined in relation
106 to specific environmental situations and to desirable properties, allowing a good durability and compatibility.
107 DEEM approach is very flexible, since it can be developed using different classes of models and with
108 different evolutionary procedures. Moreover it has the great advantage of significantly limiting the
109 experimental tests (in our case just 30% of the possible combinations were experimentally tested) and it has
110 been proved to produce very successful results in a large set of experimental studies [26-28; 34-35].

111

112 *1.3 Aims and structure of the work*

113 In this study, the specific aims were:

- 114 • to individuate render multilayer systems able to resist to rising damp of 3% NaCl solution and to
115 minimize the degradation patterns such as detachments, disaggregation, sub- and efflorescence
116 formation;

- the individuation of the promising systems within a large and complex set of possible compositions by applying DEEM approach based on Evolutionary Bayesian Network models, in order to avoid *a priori* and subjective decision of few testable mixtures;
- to highlights strengths and weaknesses of this approach when applied to the development of complex render systems.

Double layer render systems on bricks specimens, based on natural hydraulic lime NHLas binder and recycled crushed mortars were considered. Moreover, air-entraining agents, water repellent admixtures, different water binder ratios, were taken into account in order to act on porosity and wettability which are usually related to the salt- resistance, as highlighted in previous researches [2-7, 36-40]

The systems, produced according to the indication of the experimental design, underwent cycles of capillary absorption of 3% NaCl solution and drying cycles to simulate the effect of marine water.

Subsequently, visual observation, weight variations, the capability to remain adherent to the brick under mechanical solicitation and salt distribution were assessed. Based on the results and on an expert elicitation [41-42] four detrimental effects, i.e. the presence of detachments, internal de-cohesion and crumbling, external erosion/exfoliation and external efflorescences, were identified as response variables Y_i and evaluated with a mark. This mark, chosen in a 10-point scale ranging from 1 to 10, was assigned to each detrimental effect and considered as observed value of the associated response variable.. Then a statistical model built for both response variable and design variables were estimated from the available data. The successive generation of experiments was therefore determined by predicting the estimated behaviour of the system variables by means of the information achieved from the statistical model. The process was repeated three times in order to minimize the degradation effects and optimize the system behaviour.

Following the evolutionary characteristic of the proposed approach, in this paper we present the results obtained for each tested generation, and finally the model achieved at the end of the process is presented and discussed.

2. Materials and Methods

2.1 Starting Materials and design variables

Table 1 summarizes the brick substrate, the double layer render system and its general composition.

145 In order to resemble a traditional masonry, full, red-fired bricks (by San Marco Laterizi S.p.A., dimensions
146 16cm X 12cm X 2cm) were chosen as substrate for the render application.

147 The preparation of the first layer of render involved the following starting materials: natural hydraulic lime
148 NHL 3.5 supplied by MGN as binder; limestone- siliceous sand with a size fraction of 0/5 mm as aggregate;
149 recycled aggregates (size fraction 0/5, Boloomey distribution, obtained by crushing old water-repellent
150 mortars made of limestone cement, natural hydraulic lime and pozzolana-lime [38; 40]); sodium alkyl
151 sulfonate admixture as air entraining agent; tap water used in two different water–binder proportions.

152 For the preparation of the second layer NHL 3.5, a limestone- siliceous sand (size fraction 0/2 mm) and
153 water repellent admixtures (calcium stearate by SIGMA Aldrich or the powdery siloxane product Sitren
154 P750 by Evonik) were employed.

155 .In order to design optimal renders with respect to specific properties, we defined the set of design variables
156 $\mathbf{X}=(X_1,X_2,X_3,X_4)$, where each X_i represents a particular component of the specimen (Table 1):

- 157 • X_1 : Recycled aggregate, assuming the set of possible values $x_1=\{\text{CM, CM750,CMcast, PM, PM750,}$
158 $\text{PMcast, NM, NM750, NMcast}\}$
- 159 • X_2 : water/binder ration, assuming the set of possible values $x_2=\{1.0, 1.2\}$. These levels were chosen
160 in order to obtain either a regular mixture workability, either a fluid mixture with a final
161 higher porosity [43-44]
- 162 • X_3 : % of air entraining, assuming the set of possible values $x_3=\{0.03, 0.09\}$. The three possible ratios
163 were chosen for the air entraining agents in order to obtain different microstructures and porosity, in
164 particular the second ratio is a percentage commonly used in dry-mix products, whilst the third is
165 able to obtain a macroporous structure [45-46]
- 166 • X_4 : % of water repellent, assuming the set of possible values $x_4=\{0, 0.03, 0.05\}$. These ratios are
167 commonly used in commercial products and in previous researches [36, 38, 47].

168 Under this setting the whole combinatorial search space Ω consists of $9*2*2*3=108$ possible specimens
169 types (experimental points). Applying DEEM approach based on Evolutionary Bayesian Network, EBN-
170 design, we limit the number of candidate experimental points to 28 representing just the 26% of the tests to
171 be conducted. Since each experimental point corresponds to three replicated specimens, 84 specimens were
172 physically produced out of 324 theoretical specimens.

173

174 ***2.2 Specimens preparation and exposure***

175 The composition of each specimen was indicated by EBN-design in each generation of the procedure (see
176 also paragraph 2.4), whilst their preparation and exposure is based on the Protocol proposed within the
177 COMPASS project [8]. The choice of the testing protocol is particularly difficult when the resistance to salt
178 degradation of multicomposite systems is considered, since no precise normative is available [48], the
179 COMPASS protocol was chosen since it demonstrated to be particularly suitable in highlighting salt-induced
180 degradation. Mock-ups made of full, fired clay bricks, covered by a double layer of render were prepared
181 (Figure 1). The preparation of the first layer involved mixing of the binder with half the water and with the
182 air entraining agent at a low speed (100 rpm) for 5 minutes, then the remaining water and the aggregates
183 were incorporated and mixed for a further 5 minutes. The mixture was then applied on moist bricks to form a
184 layer 1 cm thick and patting the surface with a trowel in order to enhance the adhesion of the second layer.
185 The specimens were cured for 12 hours at 95% HR and 20°C before the application of the second layer. The
186 second layer, 0.5 cm thick, was prepared by applying the mixture of binder, water, aggregates and water
187 repellent admixtures (when present) on the first layer, then smoothing the surface with a trowel. The
188 specimens were cured in environmental atmosphere at 95% HR and 20°C for 28 days, then dried at room
189 temperature till constant weight (HR% 55%, T 23 °C). Three independent replicates were prepared for each
190 experimental point. The major differences with the COMPASS protocol are the larger dimension of the
191 specimens (16cm x12cm x2cm) and the absence of lateral sealing, in order to enhance mocks-up
192 representativeness.

193 Once hardened, each system was subjected to drying-wetting cycles by capillary rise of 3% by weight NaCl
194 solution, in order to simulate the salinity of the sea water. The bottoms of the specimens were immersed in
195 the salt solution for 24 hours to ensure complete wetness also in the presence of water repellent admixtures.
196 The specimens were then removed from the container and placed at HR 65%, T23°C for a 7 day drying, thus
197 avoiding excessive stress on the material and allowing the evaporation of 80% of the solution before the
198 following cycle. Four cycles were performed in one month of exposure without removing efflorescence or
199 debris from the surface of the specimens at each cycle.

200

201 *2.3 Evaluation methods*

202 In order to highlight the resistance to salt crystallization cycles, the specimens were weighted at each cycle,
203 while photographic documentation and 1200dpi scans of the surfaces by a Epson perfection 3170 Photo was
204 taken after the cycles to record the presence of decay forms such as efflorescences, cracks, erosions linked
205 to the extension of stains, crusts and eroded areas. The visual damage was revealed also by optical
206 microscopy observations with a DINO-lite Premier AM4113T microscopy (10-200x), 1.3 MPx. Moreover,
207 colorimetric measurements were performed using a CM2600d Konica Minolta portable spectrophotometer
208 with aD65 illuminant and 10° standard observer to quantify the SCI (Specular component included) colour
209 variations due to erosion or salt crusts formation [49]. In order to consider the high surface inhomogeneities
210 and obtain a repeatable measure, a medium averaged spot size of 8 mm Ø, an average of 5 points with 3
211 scans each was considered for each specimen. The formation of salts crusts and efflorescences on the
212 surfaces lead to more or less extended white stains with lightness increase over 5 points, the formation of
213 sub-efflorescences lead to delamination and erosion of the surfaces with lightness decrease over 5 points.

214
215 In order to evaluate the internal degradation and possible detachment of the render layers enhanced by salt
216 crystallization, a Schmidt Pendulum Hammer PT sclerometer for soft materials was used. The instrument
217 measures the rebound of a spring loaded mass impacting against the surface of the sample., The mass is
218 constituted of a cylindrical hammer head of 40mm diameter and 720g that slides on an arch, the hammer
219 head can be loaded in fixed position, then released in order to impact the surface with an impact energy of
220 0,83Nm or 0,44J [50] . The strokes give a reproducible mechanical solicitation that might cause detachment
221 or failure of degraded layers and the rebound is dependent to the hardness of the material (tabulated in
222 specific graphs by the instrument producer). Five hammer strokes at percussion energy 0.44J were followed
223 by other five at 0.83J, by choosing a different starting angle of the pendulum. The specimens that endure all
224 the strokes without failures or detachments demonstrated optimal cohesion and salt resistance, moreover, the
225 higher the rebound, the stronger the internal render cohesion.

226 The salt distribution profile at the end of the test was used as an indication of salt transport within the
227 plasters and the possibility to sub-surface damage. The renders were cut without the use of water in 4slices,
228 and the conductivity was determined according to NorMaL 13/83 with the EC-meter GLP31 by Crison [51].

229

230 To evaluate the quality of each candidate point, i.e. the overall resistance of each system to salt decay, four
231 parameters of decay (i.e. response variable Y_i , $i=1,...,4$) were selected and evaluated by using the results of
232 the observations and measurements and by elicitation of the researcher. In order to guarantee an opportune of
233 elicitation, the researcher should have sufficient background experience to autonomously identify the typical
234 degradation patterns, the properties and behaviour of render systems suitable for historic masonries. The
235 parameters, selected for their severity and representativeness, are:

- 236 • Y_1 =presence of detachments and cracks formation (the behavior after the mechanical solicitation
237 with Schimdt Hammer is considered, together with visual observation of cracks);
- 238 • Y_2 =internal de-cohesion and crumbling (hardness and elastic properties measured by the hammer
239 rebound is considered together with mass losses and visual observation);
- 240 • Y_3 =external erosion/exfoliation (visual observation, erosion extent, colorimetric measurements and
241 position of salts by conductivity measurement are taken into account);
- 242 • Y_4 =external efflorescences (white stains and crusts extent and colour variation are considered).

243 Each response variable is evaluated with a mark from 1 to 10, where 1= non present; 10= completely present,
244 e.g. $Y_1=10$ if complete detachment of the render layer from the substrate occurs without hammer strokes or
245 with low energy strokes (0,44J), $Y_1=1$ if no detachment occur after 10 strokes; $Y_2=8-10$ if negative mass
246 losses ($\Delta M\% \leq -2$) and low rebounds (≤ 8) are measured; $Y_{3,4}=10$ if the degradation extent cover the whole
247 surfaces, $Y_{3,4}=1$ if it covers less than 20% of the surface. The averaged results of the three replicates for each
248 experimental point is considered.

249 We can then assume that these decay forms have decreasing importance; in fact the render and the masonry
250 undergo severe damage if the render is completely detached or if its internal cohesion is scarce due to salt
251 action; external exfoliation and erosion cause surface damage limited to the render; external efflorescences
252 do not physically damage the masonry or render, but might induce prolonged render wetness due to salt
253 hygroscopicity and aesthetical damage. Therefore, in order to define a unique response function Y to
254 optimize, we will introduce a weighted combination of the selected parameters defined as

255
$$Y=0.4Y_1+0.3Y_2+0.2Y_3+0.1Y_4.$$

256

257 **2.4 DEEM approach based on Evolutionary Bayesian Networks (EBN-design)**

258 The DEEM approach based on Evolutionary Bayesian Networks, EBN-design, begins by randomly
259 generating a first population of n_1 experimental points $\mathbf{X}_{n1}=(\mathbf{x}_1 \ \mathbf{x}_2 \dots \mathbf{x}_{n1})$, where each point
260 $\mathbf{x}_k=(x_{k,1}x_{k,2}x_{k,3}x_{k,4}) \in \Omega$, $k=1, \dots, n_1$, represents the particular combination of components producing a specific
261 specimen.

262 This initial design is then prepared and tested as described in paragraph 2.2. The degradation patterns were
263 evaluated as described in Paragraph 2.3 thus providing the first set of response values, namely the vector \mathbf{y}_{n1} .
264 At the end of this step the set of data $\mathbf{D}_1=(\mathbf{X}_{n1}, \mathbf{y}_{n1})$ representing both the tested specimens and their
265 corresponding observed responses, is available for the first generation.

266 This set of data is modeled by a Bayesian network models, which is formally represented by a directed
267 acyclic graph (DAG) and a probability distribution (P). DAG represents the structure of the BN and it is
268 composed of nodes representing the set of random variables $\mathbf{X}=(X_1, X_2, \dots, X_d)$, each of which can take a value
269 in a finite set of possible mutually exclusive variable values, and of arcs between nodes in the form of
270 $X_i \rightarrow X_j$, indicating direct probabilistic dependencies between the corresponding variables. The
271 correspondence between the structure of the DAG and the dependence and independence relationships
272 among the variables is derived by the d-separation criterion [31-32]. This criterion in fact uses the properties
273 of the path structures and graph theory to define whether two nodes are independent conditioned to another
274 node or set of nodes. Then from the identification of the dependence/independence relationships, the Markov
275 property is assumed stating that there are no direct dependencies in the system being modeled which are not
276 already explicitly shown via arcs and d-separation [31-32]. Finally by means of the Markov property, the
277 joint probability distribution P can be written as in the following factorization:

$$278 \quad P(\mathbf{X} = \mathbf{x}) = \prod_{i=1}^n P(X_i = x_i | Pa(x_i)) \quad i=1, \dots, d \quad (1)$$

279 where $\mathbf{X}=\mathbf{x}$ indicates that the set of variables $\mathbf{X}=(X_1, X_2, \dots, X_d)$ is observed at specific values $\mathbf{x}=(x_1, x_2, \dots, x_d)$,
280 and $Pa(x_i)$ is regarded as the particular value realization of the parent set of X_i , i.e. all the variables with a
281 direct arc to X_i . The set of conditional probabilities $P(X_i=x_i|Pa(x_i))$, $i=1, \dots, d$, represents the set of parameters
282 of the Bayesian network. The simplification of the joint probability distribution given by Eq.1 allows complex

283 systems to be analyzed and modeled using a limited number of local relationships and to be regarded as
284 stochastic models, built up by combining together simple components.

285 When a particular target variable Y , i.e. class variable, is identified within the set of variables, we can see
286 the Bayesian network as a probabilistic classifier [32, 52]. These classifiers are used in many applications as
287 they are able to probabilistically identify to which target value a new observation belongs based on the
288 observation of the values assumed by the other variables of the system. In particular, Bayesian classifiers
289 such as Naive Bayes [53] or Tree Augmented Naive Bayes, TAN [54-55] are graphical models composed of
290 nodes and arcs among nodes with particular structures. Naïve Bayes assumes that the target variable Y has a
291 direct dependence relationship with all the X_i variable of the system, $Y \rightarrow X_i$, but no dependencies are
292 admitted among the set of X_i ; TAN relaxes this assumption assuming that the target variable Y has no
293 parents and each variable X_i has as parents the target variable and at most one other variable X_j . In this
294 research we will focus on TAN classifiers as they are a restricted family of Bayesian networks and they are
295 proven to perform very well in chemoinformatics applications [52].

296 Following EBN-design we built a TAN classifier and used the achieved joint probability distribution to
297 predict the estimated values $\hat{y}_{1,\Omega}$ of the class variable for all the possible points of the search space. From the
298 set of values $(\Omega, \hat{y}_{1,\Omega})$ we select the sub-set of n_2 experimental points with optimal predicted class values.
299 This new set of points $\mathbf{X}_{n2}=(\mathbf{x}_1 \mathbf{x}_2 \dots \mathbf{x}_{n2})$ becomes the second population of the evolutionary procedure and is
300 evaluated yielding the new data $\mathbf{D}_2=(\mathbf{X}_{n2}, \mathbf{y}_{n2})$.

301 This process is iteratively repeated, generation after generation, and ends when total number of experimental
302 points is tested. In particular in this experimentation we build EBN-design selecting a population of $n_q=7$
303 specimens and evolving the algorithm through 3 generations, i.e. $q=1,2,3$. Only for the first generation, as we
304 need to test all the recycled aggregates (the 9 possible values of variable X_1), we randomly select 14
305 candidate experimental points by assuming that all the aggregates were selected almost once. With this
306 design setting, we then evaluate only 28 possible candidate specimens representing 26% of the whole search
307 space. EBN-design and all the statistical analysis were performed using the R statistical software [56]

308

309

310

311 **3. Results**

312 Table 2 reports the mixture compositions of the specimen population defined in the three generations. The
313 three generations were prepared and tested separately and subsequently, since the results of each generation
314 was used to estimate a model of the experimental space and to indicate the optimized mixtures for the next
315 generation. Therefore, the discussion is presented by highlighting the results of each sequential generation.

316

317 **3.1 First generation**

318 After 28 days of maturing, some of the render layers detached bodily from the bricks, even before the
319 crystallization cycles, as indicated in table 3 and shown in Figure 2. Y_1 (detachments) was evaluated as 10
320 when bodily detachment occurs before the exposure.

321 Besides the detached specimens, the more recurrent decay features were (Figure 2): slight presence of
322 efflorescences without substantial loss of material and slight darkening of the surface for the series 1.7 and
323 1.1; diffuse presence of efflorescence (1.2,1.3, 1.4, 1.11); spalling and surface delamination (1.13, 1.14,1.5);
324 diffuse delamination and complete detachment from the surface layer (1.6, 1.8, 1.9, 1.10). The variations of
325 colour components a^* and b^* are negligible, whilst ΔL^* and the total variation ΔE are almost coincident.
326 The prevailing colour of the mortars is gray and the salts can cause white efflorescences or a darkening effect
327 (hue saturation) due to surface erosion or hygroscopic humidity retention(Figure 3).. The series 1.5,1.4,1.2,
328 1.10 were affected by a higher absolute colour variation, with darkening mainly due to loss of the external
329 layer in the case of 1.5, 1.10 and to hygroscopic humidity for 1.4 and 1.2.

330 The weight variations seem to reflect the appearance of the specimens (Figure 4): weight variation of series
331 1.1 to 1.6 is not significant and a lesser presence of salt crusts and efflorescences were observed, while
332 positive weight variation were observed for the series 1.10, 1.11, 1.13, 1.14 due to salt accumulation within
333 and over the specimens, evident also by visual observation. The differences at each cycle could be due to a
334 different drying behavior of specimens more polluted by salts.

335 Before the exposure, the different series showed similar conductivity of around 42 ± 10 $\mu\text{s}/\text{cm}$ in the internal
336 layer and 70 ± 20 $\mu\text{s}/\text{cm}$ in the external layer. After the exposure, the conductivity profiles (Table 3) indicate
337 that most of the specimens accumulate salts close to the surface, pointing out the presence of efflorescences
338 or subefflorescences. In particular, the series 1.1, 1.3 maintained high salt concentration in the whole profile;

1.7 and 1.11 (with 0.5% and 0.3% of water repellent on the external layer) shown salt accumulation behind the surface; 1.2 maintained a uniform increasing profile; 1.8 (without water repellent admixture) showed a lower conductivity in the middle layers.

Hammer rebound test (table 3) highlighted that series 1.3, 1.6, 1.14, 1.10, did not detach before the salt cycles and were able to endure 7 strokes before bodily detachment, even if the mean hammer rebounds values were around 10, denoting soft mortar layers. 1.9 and 1.13 also demonstrated an acceptable adhesion before the cycle (1 specimen over 3 detached by themselves) and after the cycles, by enduring at least 9 strokes. In every case, high standard deviation were calculated, due to the intrinsic heterogeneity of the systems, which caused rebound variation within each specimen.

Based on the observed behaviour, in particular on the absence/presence and extent of the different degradation pattern Y_i , the marks assigned to the specimens of Generation 1 are reported in Table 4 (rows 1-14).

351

3.2 *Second generation.*

Table 2, rows 15-21, reports the mixture compositions (2.1-2.7) of the population provided for the second generation from the information achieved by TAN model estimated for the response variable Y, i.e. the mark of the specimens, and design variables, i.e. specimen components.

After 28 days of curing and the first drying, the render layers of set 2.3, 2.4, 2.5 detached bodily from the bricks, pointing out a lack of flexibility and an insufficient adhesion (Table 3).

The exposure caused recurring patterns within each set of specimens (Figure 2), in particular: 2.2 was the unique set showing scarce crumbling and few salt efflorescences on the surfaces, whilst 2.4 and 2.5 specimens were covered by salt efflorescences, serious erosion of entire surface areas occurred. The colorimetric measurements shown in Figure 5 agree with the visual observation, denoting a lightness ΔL^* and total colour ΔE variations were higher than the first generation, in particular for 2.4 and 2.2.

The salt accumulation within and over the specimens caused a common increasing trend regarding weight variation during the cycles (Figure 6). Weight discrepancies were observed particularly after the first cycle, possibly due to a higher salt transport and accumulation, without crumbling or material losses, in comparison to the following cycles. Then, spalling and crumbling occurred with loss of material, counterbalancing the

367 salt accumulation and efflorescence formation. The relative variation amongst the different sets could be due
368 to different presence of salts in relation to the water repellent behavior, the pore structure and the
369 hygroscopicity of the systems.

370 Also for the second generation, the conductivity measurements indicate (table 3) a higher salt concentration
371 in the external parts of the systems in comparison to internal parts, due to deposition of salt on the surfaces.
372 However, 2.1, 2.2 and 2.6 had high concentration also nearby the brick.

373 In comparison to the first generation, the different series endured higher mechanical solicitation with at least
374 9 strikes before bodily detachment. In particular, 2.4 and 2.6 demonstrated optimal adhesion even after
375 repeated strikes and rebounds over 20°, denoting a coherent, but still elastic structure.

376 Marks assigned to the specimens of Generation 2 are reported in Table 4 (rows 15-21).

377

378 **3.3 Third generation**

379 The mixture compositions defined in the third generation, achieved by modeling marks of the first and
380 second generation, are presented in table 2, rows 22-28.

381 In this generation just one specimen underwent bodily detachment from the brick before the exposure. After
382 the cycles, decay patterns such as crumbling and spalling were limited in comparison to previous generations
383 (Figure 2). However, salt crusts and salt efflorescence formation were observed for several series. Colour
384 variations exceeding 5 points of ΔL^* or ΔE were observed for 3.7, 3.4 and 3.1 series, due to surface loss of
385 material and presence of efflorescence (Figure 7).

386 The weight variation showed trends similar to the second generation, due to salt accumulation and humidity
387 retention due to salt hygroscopicity (Figure 8). In particular 3.1a and 3.1b, 3.5, 3.6 showed loss of material
388 and thick salt crust formation. However, only the conductivity profile of 3.6 (table 3) indicates a higher salt
389 concentration in the external render layer, whilst 3.1 and 3.5 showed quite homogenous profiles. It is
390 possible that a quick salt transport mechanism with deposition of salt only occurred on the external surface.
391 3.2 and 3.7 series had high conductivity in the 10-12 mm range corresponding to the subsurface part, thus
392 indicating storage of salt crystals within the specimens. 3.4 showed an increasing trend of conductivity
393 values from the internal part to the surface.

394 Reduced detachments/delamination were observed under mechanical solicitation by Schmidt Hammer after
395 the cycles (Table 3). 3.2 and 3.7 were more stiff in comparison to the other series, with hammer rebounds
396 higher than 30° for impact stress of 0.88J, possibly also due to the formation of rigid subsurface salt
397 deposition (indicated by conductivity profiles). Values over 20° for impact stress of 0.88J were measured for
398 the other specimens, demonstrating a good balance among stiffness and compactness.
399 Table 4 reports the evaluation of the specimens composing the third generation, rows 22-28.

400

401 **4. Discussion**

402 *4.1 Evolution of the EBN-design across generations and minimization of the degradation features*

403 The evaluations carried out for each generation have allowed us to investigate and model the experimental
404 space. In Figure 9, the evolution of the EBN-design across generations is presented, in particular Figure 9a
405 show the evolution of the mean response function Y and its corresponding standard error. Notably, the mean
406 response decreased from $Y=5.03$ ($sd=2.3$) to $Y=3.09$ ($sd=0.87$), corresponding to lower decay features and a
407 38% improvement, just in 3 generations of the process. The same behaviour can be observed considering Y_1
408 (detachments) and Y_2 (internal de-cohesion) response variables (Figure 9b), which are the decay features that
409 can cause complete failure of render systems and are responsible for the 40% and 30% respectively of Y , as
410 described in Section 2.2.1. Y_3 (external exfoliations) and in particular Y_4 (efflorescences) usually cause
411 aesthetical damage and not structural collapse, therefore contribute less to the definition of the response
412 function Y and their behaviour reveals this characteristic. In particular in the 3th generation, a homogenous
413 transport of salt solutions to the surface is often observed and the evaporation of water from the external
414 surface leads to crystallization of the salts as innocuous salt efflorescence, avoiding spalling [6-8].

415 Considering all the experimental points ordered by generations, as presented in Figure 10, we can see how
416 the variability of the response decreases. In the first generation, we selected a random sample from the
417 possible specimens, achieving responses with a wide range of values, some of them with response value Y
418 smaller than 3 (4 specimens out of 14, i.e. 28% of the experiments in generation 1). After just 2 generations
419 of the process, we significantly reduced the range of response values in the interval [1.67, 4.32] with 3
420 specimens having response value Y smaller than 3 (i.e. 43% of the experiments in generation 3).

421 Given that our aim was to derive a very small set of informative experimental points with respect to the
422 whole search space, these results seem very good as the EBN-design has a high probability to improve the
423 sustainability and resistance of the renders in the early generations of the design, saving experimental
424 resources and reducing possible waste. Moreover, the approach achieved good values testing just a small set
425 of the whole experimental space, namely less than 26% of the candidate experimental points.

426

427 *4.2 The TAN model after three generations: the probabilistic influence of the composition on the resistance* 428 *to NaCl exposure*

429 The results obtained during the experimentation were used to estimate a TAN model at the end of each
430 generation of the EBN-design process. The TAN model estimated at generation 3 derived by all the tested
431 data of this experimentation, i.e. at the end of the evolutionary procedure, is presented in Figure 11. The class
432 variable represents the target of the optimisation, i.e. the response variable Y, which describes the degree of
433 decay occurred. As required by TAN models, each design variable (recycled aggregate, w/b ratio, water
434 repellent percentage and air entraining percentage) has Y and at most one variable as parents, as indicated by
435 the direct arcs in the network . In this way, we are able to identify the strongest relations amongst the design
436 variables X_i , $i=1,\dots,4$, with the detrimental effect Y, and how the interconnection network amongst them is
437 developed.

438 It is notable that the type of recycled aggregate is a key factor in the experimentation, as the corresponding
439 variable is parent of all the other variables in the network. This implies that when choosing a specific
440 aggregate, the value of the percentage of water repellent, the water/binder ratio and the percentage of air
441 entraining follow from that assumption. The aggregate type is therefore a significant variable and this needs
442 to be taken into account when using recycled aggregates. In particular, the presence of recycled mortars
443 which are internally water-repellent may have a direct effect on the water transport properties of the first
444 layer, hindering the moisture transport and favouring salt deposition at the interface substrate-first layer, in
445 particular for cement based aggregates (e.g. for mixtures 1.10, 1.11 that had as aggregates CM750 and
446 CMCast containing in turn a 0.5% of water-repellent agent). Whilst the presence of pozzolana or of NHL
447 aggregates may lead to side reactions of the binder, favouring the formation of hydration products and
448 enhancing the strength (e.g. mixtures 1.3, 1.5, 3.2, 3.1 that contains the aggregates PM, NM, NMcast). The

effect of the recycled aggregate on the final structure and behaviour, needs to be compensated by the adequate choice of additives and additive dosages, in order to obtain a good durability in the presence of rising damp of salt solutions.

When TAN is estimated, we can develop probabilistic inference about design variables, deriving in this way the strength of the relationships among each variable and the decay represented by Y. For example, given that we observed a very low value of Y, say for example included in the interval [1,2), we will derive a probability equal to 0.332 that a specimen is composed by CMcast aggregate. Moreover, conditioned to very low value of Y, the probability of selecting specimens with a water/binder ratio of 1.0 and 1.2 is 0.532 and 0.522 respectively, showing that these w/b ratios are equally probable, whilst the aggregate type is not. This confirms the hypothesis that the interconnection network has a key variable in the type of aggregate. In fact, if we condition to a very low value of Y and a CMcast aggregate, we observe with probability equal to 0.896 a specimen with water/binder ratio of 1.2. This highlights the very informative role of TAN network in identifying the relationships amongst design variables towards the optimisation problem.

4.2.1 Effect of each composition variable in lowering the global detrimental effect

In order to better visualize the effect of each variable in lowering the global detrimental effect, represented by the answer variable Y, the boxplots of the Y conditioned to each value of the design variables X_i , $i=1, \dots, 4$, is reported in Figure 12. Boxplots at the top-left present the distribution of Y values, when focusing on a particular recycled aggregate. We can see that whilst NMcast as aggregate produces specimens with value of Y ranging from 3 to 4, CMcast and NM have a wider range of Y values. Whilst the two different values of water/binder ratio produce similar distributions of Y (boxplots at the top-right of Figure 12), it is clear that using a percentage of air entraining equal to 0.09% produces in average low values of the response (boxplots at the bottom-left of Figure 12). This behaviour can be explained by considering previous researches that highlighted the effects of air-entraining agents, such as porosity increase, formation of larger pores able to host large amount of salts prior to suffering detrimental effects, better interconnection of the substrate with the porous structure of the render layer and enhanced moisture transport, [57-58].

Concerning the percentage of water repellent, whilst there are no statistically significant differences in the distributions of Y when conditioning on 0.00% and 0.30% values, there is an increase of the median response value when considering 0.50% of water repellent. The use of high percentages of water repellents

477 in presence of rising damp is known to have hindering effect of the liquid flux [38, 47]. This often leads to
478 the recession of the evaporation front within the render layer, causing salt deposition within the layer and
479 subsequently the sub-efflorescences formation and delamination. Despite this drawback when using the
480 water repellent admixture, the experiment demonstrated that with low dosages, the internal salt solution flux
481 is adequately regulated, whilst the protection against the entrance of external water is assured.
482 Focusing on the percentage of air entraining for which there is a clear difference in the response behaviour
483 when conditioning on the two possible values, we notice that in Figure 13, when combined with a particular
484 aggregate, the reduced percentage of the air entraining (0.03%) could provide a positive effect. For example,
485 a specimen composed by the CMcast aggregate mixed at a 0.03% of air entraining, most of time leads to a
486 response value Y in the optimality area of the experimentation, apart from one which has response Y=10 (ID
487 experiment 2.3 of the second generation). To understand what could affect this experiment, we notice that
488 this is the only mixture in which there is a percentage of water repellent equal to 0.5%, subsequently failure
489 occurred due to preferential salt deposition within the first layer, delamination and detachment. A similar
490 trend can be observed for NMcast, with higher value of Y with 0.03% of water repellent being associated
491 with a 0.5% of water repellent in the external layer. When we consider mixtures added with NM, the use of
492 0.09% of air entraining agent is necessary to observe good durability, independently from the water-
493 repellent's percentage of the external layer, the air entraining admixture possibly regulates the first layer's
494 structure, allowing a good equilibrium of salt transport or storage during the cycles (as in 3.1).

495

496 **5 Conclusions**

497 The development of render systems able to endure in presence of salt solution and masonries contaminated
498 by salts is still an open challenge for designers and manufacturers that often involves high research costs.
499 The methodology applied in this study, effectively addressed the main goal of enhancing the durability of
500 render systems exposed to the absorption/drying cycles of NaCl solution, by optimizing their compositions.
501 Three generations were developed in order to obtain a significant reduction of the observed degradation
502 forms, testing only 28 of experimental points out of 108 possible candidates.
503 The procedure, developed across three sequential generations, allows to prepare and test 7 systems at a time
504 (28 specimens), that can be easily managed even in small and medium sized laboratories with low costs.

505 However, critical points were:

- 506 • the repetition of the procedure for each generation that requires long research times (1 month for the
507 specimens preparation and 1 month for the exposure and evaluation). Therefore, it is particularly
508 important to reach a significant optimization in few generations, as in our case;
- 509 • the definition of the response Y. We choose to define our target as a weighted sum of several decay
510 features. The weights were chosen on the basis of the severity of the decay forms, as commonly
511 considered in the conservation field (i.e. complete detachment over de-cohesion over external
512 crumbling over surface efflorescence);
- 513 • the evaluation of the degradation forms. The subjective nature of the “mark” influences the evolution
514 of the design process and the achieved results. In order to limit the subjectivity, the marks are given
515 by an expert researcher that integrates the impressions obtained by visual observation of the decay
516 extension and severity for each specimen with the results of quantitative measures of physical
517 behavior (weight and colour variations, flexibility, location of salts) .

518 The results highlighted that:

- 519 • the resistance to salt crystallization, tested by absorption and drying cycles of NaCl solution as
520 defined in [8], depends particularly on the correct use of water-repellent and air-entraining agents.
- 521 • Air-entraining dosage of 0.09% is suggested.
- 522 • Lower dosages of water-repellent (0% or 0.3%) reduce the degradation phenomena, possibly
523 allowing the solution flux till the surface and advection transport of salts.
- 524 • The recycled aggregate type did not show univocal trend and its effect must be considered in
525 association with the other variables. The use of cement-based aggregates containing water repellents
526 (CM750, CMcast) in association with 0,09% air entraining dosage diminished the render adhesion to
527 the brick and did not enhance the systems durability, whilst, the use of NHL-based aggregates (NM,
528 NM750, NMcast) with 0.09% air entraining content gave good results, most likely due to good
529 compatibility between aggregates and the NHL binder.

530 In order to better understand the renders effectiveness, the authors have envisaged further research
531 addressing the study of the transport mechanisms and salt depositions within the systems, based on the
532 investigation of the double layer microstructure, porosity and properties such as water absorption or drying

533 behavior. Moreover, future prospects foresee the application of the optimized system in meso scale models
 534 and on real historical masonries. The future testing should definitely confirm the renders suitability, however
 535 the results already obtained are promising for further application of this statistic methodology on a larger
 536 scale in order to optimize the render design reducing the research costs for the advantage of manufacturers
 537 and guarantying render effectiveness and compatibility for users satisfaction.

538

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545

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Optimization of sustainable, NaCl-resistant and water-repellent renders through evolutionary experimental design

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Abstract

The paper deals with the formulation of salt-resistant renders, suitable for the protection of brick masonries subjected to rising damp of salt solution. Natural hydraulic lime, recycled mortars as aggregates, air entraining and/or water-repellent admixtures were selected to produce a double layer render ~~system to guarantee eco- and historical compatibility and resistance~~ to the action of NaCl solution salts. The render formulations were optimized by an evolutionary experimental design approach based on Bayesian networks, namely EBN-design, in order to minimize physical damages such as detachments, scaling, efflorescences. Results show that this innovative approach is promising for discovering NaCl-resistant renders by experimentally testing just a limited number out of the possible compositions.

Keywords: Render; Salt Resistance; Recycled Wastes; Evolutionary Design of Experiment; Bayesian Networks; Natural Hydraulic Lime; Water-Repellent admixtures; Air-entraining agents

1. Introduction

1.1 Repairing renders for historical masonries affected by rising damp of marine water

Comment [LF1]: Abstract - There's still too much emphasis on eco and historic compatibility given that: (i) the real topic of the article is the resistance to salt crystallization; (ii) these two features are not accessed in the article and, so there is no real guarantee that they are met. As I see it, this kind of generalization lowers, rather than increases, the credibility of the article. I would suggest rewriting the second sentence to something like: "... to produce a double layer system resistant to salt crystallization, which is also as much as possible eco-sustainable and compatible with old masonry".

34 Severe decay of render systems occurs in marine environments due to salty water penetration, salt
35 deposition, sub-efflorescences formation, salt efflorescences, and physical shrinkage occurring at each
36 crystallization/dissolution cycle [1-8]. Render systems, appropriately calibrated, have demonstrated to tackle
37 the problem by regulating the water flux and salt transport [6-10]. The technical literature describes several
38 renders types developed, studied and applied for the protection and conservation of architectural and
39 historical masonries, among them: traditional renders with slight hydraulic properties or cement composites
40 with high hydraulic properties; slow transporting and water-proof layers or macroporous, highly permeable
41 and sacrificial plasters [6-8].

42 However, the development of render mixtures durable in marine environments and suitable for historical
43 masonries restoration remains a complex challenge. Several issues have to be taken into account: i) the
44 system effectiveness, in terms of resistance to the disaggregating action of salts, internal cohesion, adhesion
45 to the substrate, external appearance, etc. [9]; ii) the compatibility of the render systems with the historical
46 masonry in chemical-physical terms, as stated in [10-11], iii) the increased awareness regarding eco-
47 sustainability that leads to prefer environmental compatible systems [12-13]. Compatibility and eco-
48 sustainability can be addressed by choosing natural hydraulic lime NHL instead of cement as binder (NHL in
49 fact repeatedly demonstrated a general compatibility with ancient masonries and lower carbon footprint [[12;
50 14]) and recycled materials as aggregates [15-19]. Effectiveness can be addressed by opportunely testing
51 laboratory specimens, subsequently individuating the most promising systems, optimizing the starting
52 composition to on-field apply and test.

53 In this study, an evolutionary experimental design approach is presented for the i) evaluation of renders
54 durability when exposed to 3% NaCl solution and the ii) in-lab optimization of render system formulation.

55

56 *1.2 Optimization of the mixture formulation by experiment design methods*

57 In the traditional approach, owing to his/her expertise, the researcher individuates the suitable starting
58 materials, mix proportions, application and curing conditions, then he/she tests some promising
59 compositions. Since testing all the possible compositions is not economically sustainable, only few
60 compositions are selected: the choice is strictly related to the researcher's experience and previous well-

61 known literature. However, the expert's subjective knowledge on mixture compositions can prevent to select
 62 and test promising compositions *a priori* excluded from the experimentation

63 In the last few years, studies based on statistical designs of the experiments (DoE) and on mixture design
 64 modeling are becoming more widespread and offer an alternative approach to the optimization of render
 65 formulation.

66 Through factorial experimental designs, the effects and possible interactions of the different material factors
 67 can be assessed (chemical admixtures, mineral additions and water/binder ratio). In k^d factorial designs, a set
 68 of possible compositions is defined, where d represent the number of factors (e.g. binders, aggregates,
 69 admixtures) and k represents the number of possible levels for each factor (e.g. tot. binder types, tot.
 70 different aggregates, tot. different water-repellent percentages) . Analysis of the variance (ANOVA) is then
 71 developed on the results of suitable physical properties (e.g. the maximum compressive strength or the
 72 capillary water absorption coefficient) to test if any factor produces a statistically different effect on the
 73 responses [20-22]. For example, Ribeora et al (2013) [23] evaluated the effect of selected factors such as
 74 expanded polystyrene addition content and type, ~~cement type~~ and a superplasticizer-water-retaining mix
 75 with ANOVA built on a $2^1 \times 4^1$ mixed-level full factorial design on capillary absorption and compressive
 76 strength, whereas Ferrandiz et al (2016) [24] proposed a $3^3 \times 2^1$ d-optimal experimental design to evaluate the
 77 effect of incorporation of recycled glass fibre reinforced plastics on the mechanical behaviour of polyester
 78 polymer mortars. These DoE approaches generally requires to experimentally test almost all or even all the
 79 possible combinations; therefore they are suitable when a very limited number of factors and/or levels of
 80 factors are investigated. Moreover, to develop analysis on the effect of the factors or interactions amongst
 81 factors, simple models with a small set of interactions are usually assumed.

82 While the number of variables and their different nature, as well as the limited number of points that can be
 83 tested due to technical and economical constraints, can make it very difficult to use classical DoE
 84 methodologies, planning efficient and effective experiments is essential to achieve good results in such areas
 85 of research where experimentation is complex, extremely expensive and time consuming.

86 In this study, an innovative approach based on evolutionary experimental designs is proposed: the Design of
 87 Evolutionary Experiments based on Models approach (DEEM approach) [25-28]. DEEM approach has been
 88 recently developed to derive small sets of informative experimental points (i.e. to experimentally test a

Comment [LF2]: Lines 73-75 "For example, Ribeora et al (2013) [23] evaluated the effect of selected factors such as expanded polystyrene addition content and type, cement type and a superplasticizer-water-retaining mix with ANOVA built on a $2^1 \times 4^1$ mixed-level full factorial design on capillary absorption and compressive strength..." - I think there's something wrong here. $2^1 \times 4^1$ => we have 1 factor with 2 levels and 1 factor with 4 levels, that is, a total of 2 factors. However, 3 factors are mentioned before (expanded polystyrene, cement and superplasticizer).

89 limited number of compositions), when classical hypotheses of statistical designs and multidimensional
90 modelling are missing.

91 The design, consisting of a collection of experimental points, is regarded as a population that evolves across
92 generations according to the evolutionary paradigm. Each population of experiments is very small with
93 respect to the size of the possible designs (i.e. all the possible combinations according to the variables and
94 their levels), but it changes throughout generations, “learning” from one generation to the next and
95 exploring, in an intelligent way, the search space.

96 Statistical models are used to identify the relevant information at each generation and this information is
97 incorporated in the evolutionary procedure, making the search more efficient and effective. In particular, our
98 approach is based on the evolution of Probabilistic Graphical Models, PGMs [29-31], i.e. models where a
99 graph-based representation is combined with the rules of probability theory to provide a flexible framework
100 for modeling large collections of variables with complex interactions. We focus on a particular class of
101 PGMs, that is the class of Bayesian network models (BNs), where the graph representation is based on nodes
102 corresponding to random variables and on arcs between nodes, describing the probabilistic dependence
103 structure that may characterize the set of variables on which we then develop statistical inference [32-33].

104 When a limited number of experimental points, i.e. promising compositions, can be tested due to technical
105 and economical constraints, the information achieved by statistical models is adopted to guide the
106 optimization towards the objective of the experiment. The “optimal composition” can be defined in relation
107 to specific environmental situations and to desirable properties, allowing a good durability and compatibility.

108 DEEM approach is very flexible, since it can be developed using different classes of models and with
109 different evolutionary procedures. Moreover it has the great advantage of significantly limiting the
110 experimental tests (in our case just 30% of the possible combinations were experimentally tested) and it has
111 been proved to produce very successful results in a large set of experimental studies [26-28; 34-35].

112

113 *1.3 Aims and structure of the work*

114 In this study, the specific aims were:

- 115 • to individuate render multilayer systems able to resist to rising damp of 3% NaCl solution and to
116 minimize the degradation patterns such as detachments, disaggregation, sub- and efflorescence
117 formation;
- 118 • the individuation of the promising systems within a large and complex set of possible compositions
119 by applying DEEM approach based on Evolutionary Bayesian Network models, in order to avoid *a*
120 *priori* and subjective decision of few testable mixtures;
- 121 • to highlights strengths and weaknesses of this approach when applied to the development of complex
122 render systems.

123 Double layer render systems on bricks specimens, based on natural hydraulic lime NHLas binder and
124 recycled crushed mortars were considered. Moreover, air-entraining agents, water repellent admixtures,
125 different water binder ratios, were taken into account in order to act on porosity and wettability which are
126 usually related to the salt- resistance, as highlighted in previous researches [2-7, 36-40]

127 The systems, produced according to the indication of the experimental design, underwent cycles of capillary
128 absorption of 3% NaCl solution and drying cycles to simulate the effect of marine water.

129 Subsequently, visual observation, weight variations, the capability to remain adherent to the brick under
130 mechanical solicitation and salt distribution were assessed. Based on the results and on an expert elicitation
131 [41-42] four detrimental effects, i.e. the presence of detachments, internal de-cohesion and crumbling,
132 external erosion/exfoliation and external efflorescences, were identified as response variables Y_i and
133 evaluated with a mark. This mark, chosen in a 10-point scale ranging from 1 to 10, was assigned to each

134 detrimental effect and considered as observed value of the associated response variable. ~~Then a model~~

135 ~~among response variables and design variables were estimated to infer the next generations of experiments.~~

136 Then a statistical model built for both response variable and design variables were estimated from the
137 available data. The successive generation of experiments was therefore determined by predicting the
138 estimated behaviour of the system variables by means of the information achieved from the statistical model.

139 The process was repeated three times in order to minimize the degradation effects and optimize the system
140 behaviour.

Comment [LF3]: Then a model among response variables and design variables were estimated to infer the next generations of experiments." - I don't understand this sentence

141 Following the evolutionary characteristic of the proposed approach, in this paper we present the results
142 obtained for each tested generation, and finally the model achieved at the end of the process is presented and
143 discussed.

144

145 **2. Materials and Methods**

146 *2.1 Starting Materials and design variables*

147 Table 1 summarizes the brick substrate, the double layer render system and its general composition.

148 In order to resemble a traditional masonry, full, red-fired bricks (by San Marco Laterizi S.p.A., dimensions
149 16cm X 12cm X 2cm) were chosen as substrate for the render application.

150 The preparation of the first layer of render involved the following starting materials: natural hydraulic lime
151 NHL 3.5 supplied by MGN as binder; limestone- siliceous sand with a size fraction of 0/5 mm as aggregate;
152 recycled aggregates (size fraction 0/5, Boloomy distribution, obtained by crushing old water-repellent
153 mortars made of limestone cement, natural hydraulic lime and pozzolana-lime [38; 40]); sodium alkyl
154 sulfonate admixture as air entraining agent; tap water used in two different water–binder proportions.

155 For the preparation of the second layer NHL 3.5, a limestone- siliceous sand (size fraction 0/2 mm) and
156 water repellent admixtures (calcium stearate by SIGMA Aldrich or the powdery siloxane product Sitren
157 P750 by Evonik) were employed.

158 .In order to design optimal renders with respect to specific properties, we defined the set of design variables

159 $\mathbf{X}=(X_1,X_2,X_3,X_4)$, where each X_i represents a particular component of the specimen (Table 1):

- 160 • X_1 : Recycled aggregate, assuming the set of possible values $x_1=\{CM, CM750, CMcast, PM, PM750,$
161 $PMcast, NM, NM750, NMcast\}$
- 162 • X_2 : water/binder ration, assuming the set of possible values $x_2=\{1.0, 1.2\}$. These levels were chosen
163 in order to obtain either a regular mixture workability, either a fluid mixture with a final
164 higher porosity [43-44]
- 165 • X_3 : % of air entraining, assuming the set of possible values $x_3=\{0.03, 0.09\}$. The three possible ratios
166 were chosen for the air entraining agents in order to obtain different microstructures and porosity, in
167 particular the second ratio is a percentage commonly used in dry-mix products, whilst the third is
168 able to obtain a macroporous structure [45-46]

- X_4 : % of water repellent, assuming the set of possible values $x_4=\{0, 0.03, 0.05\}$. These ratios are commonly used in commercial products and in previous researches [36, 38, 47].

Under this setting the whole combinatorial search space Ω consists of $9*2*2*3=108$ possible specimens types (experimental points). Applying DEEM approach based on Evolutionary Bayesian Network, EBN-design, we limit the number of candidate experimental points to 28 representing just the 26% of the tests to be conducted. Since each experimental point corresponds to three replicated specimens, 84 specimens were physically produced out of 324 theoretical specimens.

2.2 Specimens preparation and exposure

The composition of each specimen was indicated by EBN-design in each generation of the procedure (see also paragraph 2.4), whilst their preparation and exposure is based on the Protocol proposed within the COMPASS project [8]. The choice of the testing protocol is particularly difficult when the resistance to salt degradation of multicomposite systems is considered, since no precise normative is available [48], the COMPASS protocol was chosen since it demonstrated to be particularly suitable in highlighting salt-induced degradation. Mock-ups made of full, fired clay bricks, covered by a double layer of render were prepared (Figure 1). The preparation of the first layer involved mixing of the binder with half the water and with the air entraining agent at a low speed (100 rpm) for 5 minutes, then the remaining water and the aggregates were incorporated and mixed for a further 5 minutes. The mixture was then applied on moist bricks to form a layer 1 cm thick and patting the surface with a trowel in order to enhance the adhesion of the second layer. The specimens were cured for 12 hours at 95% HR and 20°C before the application of the second layer. The second layer, 0.5 cm thick, was prepared by applying the mixture of binder, water, aggregates and water repellent admixtures (when present) on the first layer, then smoothing the surface with a trowel. The specimens were cured in environmental atmosphere at 95% HR and 20°C for 28 days, then dried at room temperature till constant weight (HR% 55%, T 23 °C). Three independent replicates were prepared for each experimental point. The major differences with the COMPASS protocol are the larger dimension of the specimens (16cm x12cm x2cm) and the absence of lateral sealing, in order to enhance mock-ups representativeness.

196 Once hardened, each system was subjected to drying-wetting cycles by capillary rise of 3% by weight NaCl
197 solution, in order to simulate the salinity of the sea water. The bottoms of the specimens were immersed in
198 the salt solution for 24 hours to ensure complete wetness also in the presence of water repellent admixtures.
199 The specimens were then removed from the container and placed at HR 65%, T23°C for a 7 day drying, thus
200 avoiding excessive stress on the material and allowing the evaporation of 80% of the solution before the
201 following cycle. Four cycles were performed in one month of exposure without removing efflorescence or
202 debris from the surface of the specimens at each cycle.

203

204 *2.3 Evaluation methods*

205 In order to highlight the resistance to salt crystallization cycles, the specimens were weighted at each cycle,
206 while photographic documentation and 1200dpi scans of the surfaces by a Epson perfection 3170 Photo was
207 taken after the cycles to record the presence of decay forms such as efflorescences, cracks, erosions linked
208 to the extension of stains, crusts and eroded areas. The visual damage was revealed also by optical
209 microscopy observations with a DINO-lite Premier AM4113T microscopy (10-200x), 1.3 MPx. Moreover,
210 colorimetric measurements were performed using a CM2600d Konica Minolta portable spectrophotometer
211 with aD65 illuminant and 10° standard observer to quantify the SCI (Specular component included) colour
212 variations due to erosion or salt crusts formation [49]. In order to consider the high surface inhomogeneities
213 and obtain a repeatable measure, a medium averaged spot size of 8 mm Ø, an average of 5 points with 3
214 scans each was considered for each specimen. The formation of salts crusts and efflorescences on the
215 surfaces lead to more or less extended white stains with lightness increase over 5 points, the formation of
216 sub-efflorescences lead to delamination and erosion of the surfaces with lightness decrease over 5 points.

217

218 In order to evaluate the internal degradation and possible detachment of the render layers enhanced by salt
219 crystallization, a Schmidt Pendulum Hammer PT sclerometer for soft materials was used. The instrument
220 measures the rebound of a spring loaded mass impacting against the surface of the sample. The mass is
221 constituted of a cylindrical hammer head of 40mm diameter and 720g that slides on an arch, the hammer
222 head can be loaded in fixed position, then released in order to impact the surface with an impact energy of
223 0.83Nm or 0.44J [50]. The strokes give a reproducible mechanical solicitation that might cause detachment

Comment [LF4]:

The authors used "an analogue instrument composed by a pendulum" to observe the rebound angle. The authors should insert a figure of the pendulum hammer device to illustrate this device.

Video uso sclerometro anche Schmidt
<https://youtu.be/wZOuJ7L5oI>

in inglese:

<https://www.proceq.com/compare/schmidt-rebound-hammers/>

http://www.pasirsl.it/ftp/prd/pdf/Schmidt_Family_Sales_Flyer_IT_2013.04_high.pdf

224 or failure of degraded layers and the rebound is dependent to the hardness of the material (tabulated in
225 specific graphs by the instrument producer). Five hammer strokes at percussion energy 0.44J were followed
226 by other five at 0.88J, by choosing a different starting angle of the pendulum. The specimens that endure all
227 the strokes without failures or detachments demonstrated optimal cohesion and salt resistance, moreover, the
228 higher the rebound, the stronger the internal render cohesion.

229 The salt distribution profile at the end of the test was used as an indication of salt transport within the
230 plasters and the possibility to sub-surface damage. The renders were cut without the use of water in 4 slices,
231 and the conductivity was determined according to NorMaL 13/83 with the EC-meter GLP31 by Crison
232 [5051].
233

234 To evaluate the quality of each candidate point, i.e. the overall resistance of each system to salt decay, four
235 parameters of decay (i.e. response variable Y_i , $i=1,...,4$) were selected and evaluated by using the results of
236 the observations and measurements and by elicitation of the researcher. In order to guarantee an opportune of
237 elicitation, the researcher should have sufficient background experience to autonomously identify the typical
238 degradation patterns, the properties and behaviour of render systems suitable for historic masonries. The
239 parameters, selected for their severity and representativeness, are:

- 240 • Y_1 =presence of detachments and cracks formation (the behavior after the mechanical solicitation
241 with Schimdt Hammer is considered, together with visual observation of cracks);
- 242 • Y_2 =internal de-cohesion and crumbling (hardness and elastic properties measured by the hammer
243 rebound is considered together with mass losses and visual observation);
- 244 • Y_3 =external erosion/exfoliation (visual observation, erosion extent, colorimetric measurements and
245 position of salts by conductivity measurement are taken into account);
- 246 • Y_4 =external efflorescences (white stains and crusts extent and colour variation are considered).

247 Each response variable is evaluated with a mark from 1 to 10, where 1= non present; 10= completely present,
248 e.g. Y_1 = 10 if complete detachment of the render layer from the substrate occurs without hammer strokes or
249 with low energy strokes (0.44J), Y_1 =1 if no detachment occur after 10 strokes; Y_2 =8-10 if negative mass
250 losses ($\Delta M\% \leq -2$) and low rebounds (≤ 8) are measured; $Y_{3,4}$ = 10 if the degradation extent cover the whole

Comment [LF5]: The authors based their model on visual assessments and on expert knowledge, saying that "the expert guarantees an objective evaluation that takes into account several things and not a mere transformation of physical data into marks". The authors should discuss better the skills of this "expert" and the scientific and/or professional background that allow an accurate assessment.

251 surfaces, $Y_{3,4} = 1$ if it covers less than 20% of the surface. The averaged results of the three replicates for each
252 experimental point is considered.

253 We can then assume that these decay forms have decreasing importance; in fact the render and the masonry
254 undergo severe damage if the render is completely detached or if its internal cohesion is scarce due to salt
255 action; external exfoliation and erosion cause surface damage limited to the render; external efflorescences
256 do not physically damage the masonry or render, but might induce prolonged render wetness due to salt
257 hygroscopicity and aesthetical damage. Therefore, in order to define a unique response function Y to
258 optimize, we will introduce a weighted combination of the selected parameters defined as
259 $Y = 0.4Y_1 + 0.3Y_2 + 0.2Y_3 + 0.1Y_4$.

261 **2.4 DEEM approach based on Evolutionary Bayesian Networks (EBN-design)**

262 The DEEM approach based on Evolutionary Bayesian Networks, EBN-design, begins by randomly
263 generating a first population of n_1 experimental points $\mathbf{X}_{n1} = (\mathbf{x}_1 \ \mathbf{x}_2 \dots \mathbf{x}_{n1})$, where each point
264 $\mathbf{x}_k = (x_{k,1} x_{k,2} x_{k,3} x_{k,4}) \in \Omega$, $k=1, \dots, n_1$, represents the particular combination of components producing a specific
265 specimen.

266 This initial design is then prepared and tested as described in paragraph 2.2. The degradation patterns were
267 evaluated as described in Paragraph 2.3 thus providing the first set of response values, namely the vector y_{n1} .
268 At the end of this step the set of data $D_1 = (\mathbf{X}_{n1}, \mathbf{y}_{n1})$ representing both the tested specimens and their
269 corresponding observed responses, is available for the first generation.

270 This set of data is modeled by a Bayesian network models, which is formally represented by a directed
271 acyclic graph (DAG) and a probability distribution (P). DAG represents the structure of the BN and it is
272 composed of nodes representing the set of random variables $\mathbf{X} = (X_1, X_2, \dots, X_d)$, each of which can take a value
273 in a finite set of possible mutually exclusive variable values, and of arcs between nodes in the form of
274 $X_i \rightarrow X_j$, indicating direct probabilistic dependencies between the corresponding variables. The
275 correspondence between the structure of the DAG and the dependence and independence relationships
276 among the variables is derived by the d-separation criterion [31-32]. This criterion in fact uses the properties
277 of the path structures and graph theory to define whether two nodes are independent conditioned to another
278 node or set of nodes. Then from the identification of the dependence/independence relationships, the Markov

property is assumed stating that there are no direct dependencies in the system being modeled which are not already explicitly shown via arcs and d-separation [31-32]. Finally by means of the Markov property, the joint probability distribution P can be written as in the following factorization:

$$P(\mathbf{X} = \mathbf{x}) = \prod_{i=1}^n P(X_i = x_i | Pa(x_i)) \quad i=1, \dots, d \quad (1)$$

where $\mathbf{X}=\mathbf{x}$ indicates that the set of variables $\mathbf{X}=(X_1, X_2, \dots, X_d)$ is observed at specific values $\mathbf{x}=(x_1, x_2, \dots, x_d)$, and $Pa(x_i)$ is regarded as the particular value realization of the parent set of X_i , i.e. all the variables with a direct arc to X_i . The set of conditional probabilities $P(X_i=x_i | Pa(x_i))$, $i=1, \dots, d$, represents the set of parameters of the Bayesian network. The simplification of the joint probability distribution given by Eq.1 allows complex systems to be analyzed and modeled using a limited number of local relationships and to be regarded as stochastic models, built up by combining together simple components.

When a particular target variable Y, i.e. class variable, is identified within the set of variables, we can see the Bayesian network as a probabilistic classifier [32, 524]. These classifiers are used in many applications as they are able to probabilistically identify to which target value a new observation belongs based on the observation of the values assumed by the other variables of the system. In particular, Bayesian classifiers such as Naive Bayes [532] or Tree Augmented Naive Bayes, TAN [534-545] are graphical models composed of nodes and arcs among nodes with particular structures. Naïve Bayes assumes that the target variable Y has a direct dependence relationship with all the X_i variable of the system, $Y \rightarrow X_i$, but no dependencies are admitted among the set of X_i ; TAN relaxes this assumption assuming that the target variable Y has no parents and each variable X_i has as parents the target variable and at most one other variable X_j . In this research we will focus on TAN classifiers as they are a restricted family of Bayesian networks and they are proven to perform very well in chemoinformatics applications [542].

Following EBN-design we built a TAN classifier and used the achieved joint probability distribution to predict the estimated values $\hat{y}_{1,\Omega}$ of the class variable for all the possible points of the search space. From the set of values $(\Omega, \hat{y}_{1,\Omega})$ we select the sub-set of n_2 experimental points with optimal predicted class values. This new set of points $\mathbf{X}_{n2}=(\mathbf{x}_1 \mathbf{x}_2 \dots \mathbf{x}_{n2})$ becomes the second population of the evolutionary procedure and is evaluated yielding the new data $\mathbf{D}_2=(\mathbf{X}_{n2}, \mathbf{y}_{n2})$.

This process is iteratively repeated, generation after generation, and ends when total number of experimental

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points is tested. In particular in this experimentation we build EBN-design selecting a population of $n_q=7$ specimens and evolving the algorithm through 3 generations, i.e. $q=1,2,3$. Only for the first generation, as we need to test all the recycled aggregates (the 9 possible values of variable X_1), we randomly select 14 candidate experimental points by assuming that all the aggregates were selected almost once. With this design setting, we then evaluate only 28 possible candidate specimens representing 26% of the whole search space. EBN-design and all the statistical analysis were performed using the R statistical software [5556]

312

313

314

315 3. Results

Table 2 reports the mixture compositions of the specimen population defined in the three generations. The three generations were prepared and tested separately and subsequently, since the results of each generation was used to estimate a model of the experimental space and to indicate the optimized mixtures for the next generation. Therefore, the discussion is presented by highlighting the results of each sequential generation.

320

321 3.1 First generation

After 28 days of maturing, some of the render layers detached bodily from the bricks, even before the crystallization cycles, as indicated in table 3 and shown in Figure 2. Y_1 (detachments) was evaluated as 10 when bodily detachment occurs before the exposure.

Besides the detached specimens, the more recurrent decay features were (Figure 2): slight presence of efflorescences without substantial loss of material and slight darkening of the surface for the series 1.7 and 1.1; diffuse presence of efflorescence (1.2,1.3, 1.4, 1.11); spalling and surface delamination (1.13, 1.14,1.5); diffuse delamination and complete detachment from the surface layer (1.6, 1.8, 1.9, 1.10). The variations of colour components a^* and b^* are negligible, whilst ΔL^* and the total variation ΔE are almost coincident.

The prevailing colour of the mortars is gray and the salts can cause white efflorescences or a darkening effect (hue saturation) due to surface erosion or hygroscopic humidity retention(Figure 3).. The series 1.5,1.4,1.2, 1.10 were affected by a higher absolute colour variation, with darkening mainly due to loss of the external layer in the case of 1.5, 1.10 and to hygroscopic humidity for 1.4 and 1.2.

334 The weight variations seem to reflect the appearance of the specimens (Figure 4): weight variation of series
 335 1.1 to 1.6 is not significant and a lesser presence of salt crusts and efflorescences were observed, while
 336 positive weight variation were observed for the series 1.10, 1.11, 1.13, 1.14 due to salt accumulation within
 337 and over the specimens, evident also by visual observation. The differences at each cycle could be due to a
 338 different drying behavior of specimens more polluted by salts.

339 Before the exposure, the different series showed similar conductivity of around 42 ± 10 $\mu\text{S}/\text{cm}$ in the internal
 340 layer and 70 ± 20 $\mu\text{S}/\text{cm}$ in the external layer. After the exposure, the conductivity profiles (Table 3) indicate
 341 that most of the specimens accumulate salts close to the surface, pointing out the presence of efflorescences
 342 or subefflorescences. In particular, the series 1.1, 1.3 maintained high salt concentration in the whole profile;
 343 1.7 and 1.11 (with 0.5% and 0.3% of water repellent on the external layer) shown salt accumulation behind
 344 the surface; 1.2 maintained a uniform increasing profile; 1.8 (without water repellent admixture) showed a
 345 lower conductivity in the middle layers.

346 Hammer rebound test (table 3) highlighted that series 1.3, 1.6, 1.14, 1.10, did not detach before the salt
 347 cycles and were able to endure 7 strokes before bodily detachment, even if the mean hammer rebounds
 348 values were around 10, denoting soft mortar layers. 1.9 and 1.13 also demonstrated an acceptable adhesion
 349 before the cycle (1 specimen over 3 detached by themselves) and after the cycles, by enduring at least 9
 350 strokes. In every case, high standard deviation were calculated, due to the intrinsic heterogeneity of the
 351 systems, which caused rebound variation within each specimen.

352 Based on the observed behaviour, in particular on the absence/presence and extent of the different
 353 degradation pattern Y_i , the marks assigned to the specimens of Generation 1 are reported in Table 4 (rows 1-
 354 14).

355

356 **3.2 Second generation.**

357 Table 2, rows 15-21, reports the mixture compositions (2.1-2.7) of the population provided for the second
 358 generation from the information achieved by TAN model estimated for the response variable Y, i.e. the mark
 359 of the specimens, and design variables, i.e. specimen components.

360 After 28 days of curing and the first drying, the render layers of set 2.3, 2.4, 2.5 detached bodily from the
 361 bricks, pointing out a lack of flexibility and an insufficient adhesion (Table 3).

362 The exposure caused recurring patterns within each set of specimens (Figure 2), in particular: 2.2 was the
 363 unique set showing scarce crumbling and few salt efflorescences on the surfaces, whilst 2.4 and 2.5
 364 specimens were covered by salt efflorescences, serious erosion of entire surface areas occurred. The
 365 colorimetric measurements shown in Figure 5 agree with the visual observation, denoting a lightness ΔL^*
 366 and total colour ΔE variations were higher than the first generation, in particular for 2.4 and 2.2.
 367 The salt accumulation within and over the specimens caused a common increasing trend regarding weight
 368 variation during the cycles (Figure 6). Weight discrepancies were observed particularly after the first cycle,
 369 possibly due to a higher salt transport and accumulation, without crumbling or material losses, in comparison
 370 to the following cycles. Then, spalling and crumbling occurred with loss of material, counterbalancing the
 371 salt accumulation and efflorescence formation. The relative variation amongst the different sets could be due
 372 to different presence of salts in relation to the water repellent behavior, the pore structure and the
 373 hygroscopicity of the systems.
 374 Also for the second generation, the conductivity measurements indicate (table 3) a higher salt concentration
 375 in the external parts of the systems in comparison to internal parts, due to deposition of salt on the surfaces.
 376 However, 2.1, 2.2 and 2.6 had high concentration also nearby the brick.
 377 In comparison to the first generation, the different series endured higher mechanical solicitation with at least
 378 9 strikes before bodily detachment. In particular, 2.4 and 2.6 demonstrated optimal adhesion even after
 379 repeated strikes and rebounds over 20° , denoting a coherent, but still elastic structure.
 380 Marks assigned to the specimens of Generation 2 are reported in Table 4 (rows 15-21).

381

382 **3.3 Third generation**

383 The mixture compositions defined in the third generation, achieved by modeling marks of the first and
 384 second generation, are presented in table 2, rows 22-28.
 385 In this generation just one specimen underwent bodily detachment from the brick before the exposure. After
 386 the cycles, decay patterns such as crumbling and spalling were limited in comparison to previous generations
 387 (Figure 2). However, salt crusts and salt efflorescence formation were observed for several series. Colour
 388 variations exceeding 5 points of ΔL^* or ΔE were observed for 3.7, 3.4 and 3.1 series, due to surface loss of
 389 material and presence of efflorescence (Figure 7).

390 The weight variation showed trends similar to the second generation, due to salt accumulation and humidity
 391 retention due to salt hygroscopicity (Figure 8). In particular 3.1a and 3.1b, 3.5, 3.6 showed loss of material
 392 and thick salt crust formation. However, only the conductivity profile of 3.6 (table 3) indicates a higher salt
 393 concentration in the external render layer, whilst 3.1 and 3.5 showed quite homogenous profiles. It is
 394 possible that a quick salt transport mechanism with deposition of salt only occurred on the external surface.
 395 3.2 and 3.7 series had high conductivity in the 10-12 mm range corresponding to the subsurface part, thus
 396 indicating storage of salt crystals within the specimens. 3.4 showed an increasing trend of conductivity
 397 values from the internal part to the surface.
 398 Reduced detachments/delamination were observed under mechanical solicitation by Schmidt Hammer after
 399 the cycles (Table 3). 3.2 and 3.7 were more stiff in comparison to the other series, with hammer rebounds
 400 higher than 30° for impact stress of 0.88J, possibly also due to the formation of rigid subsurface salt
 401 deposition (indicated by conductivity profiles). Values over 20° for impact stress of 0.88J were measured for
 402 the other specimens, demonstrating a good balance among stiffness and compactness.
 403 Table 4 reports the evaluation of the specimens composing the third generation, rows 22-28.
 404

405 4. Discussion

406 4.1 Evolution of the EBN-design across generations and minimization of the degradation features

407 The evaluations carried out for each generation have allowed us to investigate and model the experimental
 408 space. In Figure 9, the evolution of the EBN-design across generations is presented, in particular Figure 9a
 409 show the evolution of the mean response function Y and its corresponding standard error. Notably, the mean
 410 response decreased from $Y=5.03$ ($sd=2.3$) to $Y=3.09$ ($sd=0.87$), corresponding to lower decay features and a
 411 38% improvement, just in 3 generations of the process. The same behaviour can be observed considering Y_1
 412 (detachments) and Y_2 (internal de-cohesion) response variables (Figure 9b), which are the decay features that
 413 can cause complete failure of render systems and are responsible for the 40% and 30% respectively of Y , as
 414 described in Section 2.2.1. Y_3 (external exfoliations) and in particular Y_4 (efflorescences) usually cause
 415 aesthetical damage and not structural collapse, therefore contribute less to the definition of the response
 416 function Y and their behaviour reveals this characteristic. In particular in the 3th generation, a homogenous

transport of salt solutions to the surface is often observed and the evaporation of water from the external surface leads to crystallization of the salts as innocuous salt efflorescence, avoiding spalling [6-8].

Considering all the experimental points ordered by generations, as presented in Figure 10, we can see how the variability of the response decreases. In the first generation, we selected a random sample from the possible specimens, achieving responses with a wide range of values, some of them with response value Y smaller than 3 (4 specimens out of 14, i.e. 28% of the experiments in generation 1). After just 2 generations of the process, we significantly reduced the range of response values in the interval [1.67, 4.32] with 3 specimens having response value Y smaller than 3 (i.e. 43% of the experiments in generation 3).

Given that our aim was to derive a very small set of informative experimental points with respect to the whole search space, these results seem very good as the EBN-design has a high probability to improve the sustainability and resistance of the renders in the early generations of the design, saving experimental resources and reducing possible waste. Moreover, the approach achieved good values testing just a small set of the whole experimental space, namely less than 26% of the candidate experimental points.

4.2 *The TAN model after three generations: the probabilistic influence of the composition on the resistance to NaCl exposure*

The results obtained during the experimentation were used to estimate a TAN model at the end of each generation of the EBN-design process. The TAN model estimated at generation 3 derived by all the tested data of this experimentation, i.e. at the end of the evolutionary procedure, is presented in Figure 11. The class variable represents the target of the optimisation, i.e. the response variable Y , which describes the degree of decay occurred. As required by TAN models, each design variable (recycled aggregate, w/b ratio, water repellent percentage and air entraining percentage) has Y and at most one variable as parents, as indicated by the direct arcs in the network. In this way, we are able to identify the strongest relations amongst the design variables X_i , $i=1, \dots, 4$, with the detrimental effect Y , and how the interconnection network amongst them is developed.

It is notable that the type of recycled aggregate is a key factor in the experimentation, as the corresponding variable is parent of all the other variables in the network. This implies that when choosing a specific aggregate, the value of the percentage of water repellent, the water/binder ratio and the percentage of air

entraining follow from that assumption. The aggregate type is therefore a significant variable and this needs to be taken into account when using recycled aggregates. In particular, the presence of recycled mortars which are internally water-repellent may have a direct effect on the water transport properties of the first layer, hindering the moisture transport and favouring salt deposition at the interface substrate-first layer, in particular for cement based aggregates (e.g. for mixtures 1.10, 1.11 that had as aggregates CM750 and CMcast containing in turn a 0.5% of water-repellent agent). Whilst the presence of pozzolana or of NHL aggregates may lead to side reactions of the binder, favouring the formation of hydration products and enhancing the strength (e.g. mixtures 1.3, 1.5, 3.2, 3.1 that contains the aggregates PM, NM, NMcast). The effect of the recycled aggregate on the final structure and behaviour, needs to be compensated by the adequate choice of additives and additive dosages, in order to obtain a good durability in the presence of rising damp of salt solutions.

When TAN is estimated, we can develop probabilistic inference about design variables, deriving in this way the strength of the relationships among each variable and the decay represented by Y . For example, given that we observed a very low value of Y , say for example included in the interval $[1,2)$, we will derive a probability equal to 0.332 that a specimen is composed by CMcast aggregate. Moreover, conditioned to very low value of Y , the probability of selecting specimens with a water/binder ratio of 1.0 and 1.2 is 0.532 and 0.522 respectively, showing that these w/b ratios are equally probable, whilst the aggregate type is not. This confirms the hypothesis that the interconnection network has a key variable in the type of aggregate. In fact, if we condition to a very low value of Y and a CMcast aggregate, we observe with probability equal to 0.896 a specimen with water/binder ratio of 1.2. This highlights the very informative role of TAN network in identifying the relationships amongst design variables towards the optimisation problem.

4.2.1 Effect of each composition variable in lowering the global detrimental effect

In order to better visualize the effect of each variable in lowering the global detrimental effect, represented by the answer variable Y , the boxplots of the Y conditioned to each value of the design variables X_i , $i=1,\dots,4$, is reported in Figure 12. Boxplots at the top-left present the distribution of Y values, when focusing on a particular recycled aggregate. We can see that whilst NMcast as aggregate produces specimens with value of Y ranging from 3 to 4, CMcast and NM have a wider range of Y values. Whilst the two different values of water/binder ratio produce similar distributions of Y (boxplots at the top-right of Figure 12), it is

clear that using a percentage of air entraining equal to 0.09% produces in average low values of the response (boxplots at the bottom-left of Figure 12). This behaviour can be explained by considering previous researches that highlighted the effects of air-entraining agents, such as porosity increase, formation of larger pores able to host large amount of salts prior to suffering detrimental effects, better interconnection of the substrate with the porous structure of the render layer and enhanced moisture transport, [567-578]. Concerning the percentage of water repellent, whilst there are no statistically significant differences in the distributions of Y when conditioning on 0.00% and 0.30% values, there is an increase of the median response value when considering 0.50% of water repellent. The use of high percentages of water repellents in presence of rising damp is known to have hindering effect of the liquid flux [38, 47]. This often leads to the recession of the evaporation front within the render layer, causing salt deposition within the layer and subsequently the sub-efflorescences formation and delamination. Despite this drawback when using the water repellent admixture, the experiment demonstrated that with low dosages, the internal salt solution flux is adequately regulated, whilst the protection against the entrance of external water is assured. Focusing on the percentage of air entraining for which there is a clear difference in the response behaviour when conditioning on the two possible values, we notice that in Figure 13, when combined with a particular aggregate, the reduced percentage of the air entraining (0.03%) could provide a positive effect. For example, a specimen composed by the CMcast aggregate mixed at a 0.03% of air entraining, most of time leads to a response value Y in the optimality area of the experimentation, apart from one which has response Y=10 (ID experiment 2.3 of the second generation). To understand what could affect this experiment, we notice that this is the only mixture in which there is a percentage of water repellent equal to 0.5%, subsequently failure occurred due to preferential salt deposition within the first layer, delamination and detachment. A similar trend can be observed for NMcast, with higher value of Y with 0.03% of water repellent being associated with a 0.5% of water repellent in the external layer. When we consider mixtures added with NM, the use of 0.09% of air entraining agent is necessary to observe good durability, independently from the water-repellent's percentage of the external layer, the air entraining admixture possibly regulates the first layer's structure, allowing a good equilibrium of salt transport or storage during the cycles (as in 3.1).

5 Conclusions

Comment [LF6]: * At the conclusions, the authors should highlight the methodology final purpose and outcomes. To help building practitioners or managers? To support designers, manufacturers or experts?

501 The development of render systems able to endure in presence of salt solution and masonries contaminated
502 by salts is still an open challenge for designers and manufacturers that often involves high research costs.

503 The methodology applied in this study, effectively addressed the main goal of enhancing the durability of
504 render systems exposed to the absorption/drying cycles of NaCl solution, by optimizing their compositions.
505 Three generations were developed in order to obtain a significant reduction of the observed degradation
506 forms, testing only 28 of experimental points out of 108 possible candidates.

507 The procedure, developed across three sequential generations, allows to prepare and test 7 systems at a time
508 (28 specimens), that can be easily managed even in small and medium sized laboratories with low costs.

509 However, critical points were:

- 510 • the repetition of the procedure for each generation that requires long research times (1 month for the
511 specimens preparation and 1 month for the exposure and evaluation). Therefore, it is particularly
512 important to reach a significant optimization in few generations, as in our case;
- 513 • the definition of the response Y. We choose to define our target as a weighted sum of several decay
514 features. The weights were chosen on the basis of the severity of the decay forms, as commonly
515 considered in the conservation field (i.e. complete detachment over de-cohesion over external
516 crumbling over surface efflorescence);
- 517 • the evaluation of the degradation forms. The subjective nature of the “mark” influences the evolution
518 of the design process and the achieved results. In order to limit the subjectivity, the marks are
519 given by an expert researcher that integrates the impressions obtained by a visual observation of the
520 decay extension and severity ~~level~~ for each specimen ~~was integrated by~~ with the results of
521 quantitative measures of physical behavior (weight and colour variations, flexibility, location of
522 salts) .

523 The results highlighted that:

- 524 • the resistance to salt crystallization, tested by absorption and drying cycles of NaCl solution as
525 defined in [8], depends particularly on the correct use of water-repellent and air-entraining agents.
- 526 • Air-entraining dosage of 0.09% is suggested.
- 527 • Lower dosages of water-repellent (0% or 0.3%) reduce the degradation phenomena, possibly
528 allowing the solution flux till the surface and advection transport of salts.

Comment [LF7]: Thus, a visual observation of the decay extension and severity level for each specimen was integrated by the results of quantitative measures of physical behavior (weight and colour variations, flexibility, location of salts)." - This sentence is not clear. It may be simply a language issue

Comment [LF8]: - I would suggest using "resistance to salt crystallization" instead of simply "resistance" and adding some information about how that resistance was evaluated. The sentence would become something like "The resistance to salt crystallization, as defined by ????, depends..."

- The recycled aggregate type did not show univocal trend and its effect must be considered in association with the other variables. The use of cement-based aggregates containing water repellents (CM750, CMcast) in association with 0,09% air entraining dosage diminished the render adhesion to the brick and did not enhance the systems durability, whilst, the use of NHL-based aggregates (NM, NM750, NMcast) with 0.09% air entraining content gave good results, most likely due to good compatibility between aggregates and the NHL binder.

In order to better understand the renders effectiveness, the authors have envisaged further research addressing the study of the transport mechanisms and salt depositions within the systems, based on the investigation of the double layer microstructure, porosity and properties such as water absorption or drying behavior. Moreover, future prospects foresee the application of the optimized system in meso scale models and on real historical masonries. The future testing should definitely confirm the renders suitability, however the results already obtained are promising for further application of this statistic methodology on a larger scale in order to optimize the render design reducing the research costs for the advantage of manufacturers and guarantying render effectiveness and compatibility for users satisfaction.

Acknowledgments

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Optimization of sustainable, NaCl-resistant and water-repellent renders through evolutionary experimental design

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Tables and Table captions

Table 1. General composition of the specimens

Layer	Dimension	Name	Description	Proportion
Substrate	16cm X 12cm X 2cm	Red brick	Full red clays bricks	
First render layer	16cm X 12 cm X 0.75 cm	NHL	Natural hydraulic lime binder, NHL3.5	1 part by volume
		Sand	Siliceous, limestone sand (size fraction 0/5 mm)	2 parts by volume
		Recycled mortars		
		CM	Limestone cement mortar without admixtures	1 part by volume
		CM750	Limestone cement mortar with 0.5% of siloxane Sitren P750	
		CMcast	Limestone cement mortar with 0.5% of Calcium stearates	
		PM	Pozzolana-lime mortar without admixtures	
		PM750	Pozzolana-lime mortar with 0.5% of siloxane Sitren P750	
		PMcast	Pozzolana-lime mortar with 0.5% of calcium stearates	
		NM	Natural hydraulic lime mortar without admixtures	
		NM750	Natural hydraulic lime mortar with 0.5% of Sitren P750	
		NMcast	Natural hydraulic lime mortar with 0.5% of calcium stearates	
		Hostapur	Air entraining agent, lignin sulfonate	0.03%; 0.09% w/w _b
		Water	Tap water	w/b 1.0; 1.2
Second render layer	16 cm X 12 cm X 0.5 cm	NHL	Binder, natural hydraulic lime NHL3.5	2 part by volume
		Sand	Siliceous, carbonate sand (size fraction 0/2 mm)	3 parts by volume
		Water repellent admixtures		
		P750	Silane-siloxane supported on a silica carrier	0%; 0.3%; 0.5% w/w _b
		Cast	Calcium stearates technical grade	
		water	Tap water	w/b 1.0

*W_b= binder weight; w/b=water-binder ratio

Table 2 . Specimen compositions of the three-generations investigated

	ID exps Render system	First layer			Second layer
		X ₁ Recycled aggregate	X ₂ w/b	X ₃ Air entraining %	X ₄ Water repellent%
1 st Generation	1.1	NMcast	1.2	0.09%	0.0%
	1.2	PMcast	1.0	0.03%	0.3%
	1.3	PM	1.2	0.03%	0.3%
	1.4	CMcast	1.0	0.03%	0.0%
	1.5	NM	1.2	0.09%	0.5%
	1.6	PM750	1.0	0.03%	0.5%
	1.7	CMcast	1.2	0.03%	0.3%
	1.8	CM	1.0	0.03%	0.0%
	1.9	CM750	1.2	0.09%	0.5%
	1.10	CM750	1.0	0.03%	0.0%
	1.11	CMcast	1.0	0.09%	0.3%
	1.12	NM	1.0	0.03%	0.3%
	1.13	NM750	1.0	0.03%	0.3%
	1.14	NM750	1.2	0.03%	0.5%
2 nd Generation	2.1	CMcast	1,00	0.03%	0.3%
	2.2	CMcast	1,20	0.03%	0%
	2.3	CMcast	1,20	0.03%	0.5%
	2.4	PMcast	1,00	0.03%	0.5%
	2.5	PMcast	1,20	0.03%	0.3%
	2.6	NM	1,20	0.03%	0.5%
	2.7	NM	1,00	0.09%	0.3%
3 rd Generation	3.1	NM	1.0	0.09%	0%
	3.2	NMcast	1.0	0.09%	0.3%
	3.3	NMcast	1.0	0.03%	0.3%
	3.4	NM750	1.2	0.09%	0%
	3.5	CM	1.2	0.09%	0%
	3.6	CM	1.2	0.03%	0.5%
	3.7	PM750	1.0	0.09%	0.3%

Table 3. Sclerometric measurements: the mean of three specimens is reported for a percussion strength of 0.44J and for 0.88J, together with the indication of the detachment during this measurement; Conductivity profile measured on the mortar layer after the cycle ($\leq 90 \mu\text{s/cm}$ = light gray; $90\text{--}130 \mu\text{s/cm}$ = gray; $131\text{--}150 \mu\text{s/cm}$ = medium gray; $\geq 150 \mu\text{s/cm}$ dark gray) .

	Render system	Nr. specimens detached before exposure*	Sclerometric rebound			Nr specimens detached after sclerometer	Conductivity profile (µs/cm) Int. →ext			
			0.44J Mean ± dev.st	0.88J Mean ± dev.st	Average nr of strikes for detached specimens		1 st Layer		2 nd Layer	
							0-4 mm	5-9 mm	10-12 mm	13-15 mm
1 st Generation	1.1	2/3	8.0±1.5	12,9±9,0	7	2/3	107,7	94,4	196,6	176,2
	1.2	-	12,6±2,2	19,2±9,7	6	3/3	105,0	108,3	114,6	134,4
	1.3	2/3	9,1±1,6	14,5±1,0	7	3/3	116,7	115,2	138,7	163,0
	1.4	-	7,9±1,7	9,4±2,0	6	3/3	90,8	98,7	110,2	149,6
	1.5	-	8,3±2,3	9,4±2,4	-	-	91,5	107,7	109,0	394,5
	1.6	2/3	9,7±2,3	10,6±2,1	7	3/3	61,4	75,3	96,0	153,7
	1.7	-	7,5±0,8	10,1±1,8	-	-	97,8	87,8	168,2	148,8
	1.8	2/3	5,8±5,0	15,3±5,3	-	2/3	101,5	75,2	84,5	127,9
	1.9	1/3	6,6±2,7	24,7±7,6	9	3/3	88,7	85,8	116,8	171,1
	1.10	2/3	11,4±4,8	15,5±3,5	7	3/3	90,3	72,1	86,3	171,6
	1.11	2/3	6,0±3,3	x	4	3/3	141,5	101,7	204,2	x
	1.12	3/3	x	x	x	x	x	x	x	x
	1.13	1/3	4,5±2,2	29,7±5,7	-	1/3	77,0	75,4	94,3	184,0
	1.14	2/3	13,0±6,7	21,7±4,6	9	3/3	96,9	85,1	100,2	288,1
2 nd Generation	2.1	-	9,8±1,5	19,6±3,4	8	2/3	120,0	100,7	148,0	109,4
	2.2	1/3	8,4±2,5	21,4±6,2	9	2/3	99,7	105,9	112,4	153,2
	2.3	3/3	x	x	x	x	x	x	x	x
	2.4	2/3	8,6±1,5	24,0±4,3	-	2/3	95,9	91,4	88,8	133,0
	2.5	2/3	7,4±1,7	20,6±7,4	9	3/3	100,1	104,5	94,5	158,5
	2.6	1/3	7,7±3,1	20,2±5,4	-	1/3	139,4	81,6	79,6	174,2
	2.7	1/3	8,8±2,5	25,3±5,1	9	2/3	77,7	83,9	138,8	151,1
3 rd Generation	3.1	-	12,9±2,3	29,6±7,1	-	-	148,6	138,7	127,5	134,7
	3.2	-	12,5±3,1	34,6±4,4	7	2/3	88,5	149,0	242,2	139,8
	3.3	1/3	9,7±3,8	26,2±3,9	-	1/3	107,3	114,2	137,5	174,5
	3.4	-	9,5±2,5	20,1±7,1	8	2/3	81,5	77,4	116,3	125,0
	3.5	-	9,5±1,6	22,9±8,5	5	1/3	99,2	119,6	100,4	124,9
	3.6	-	10,1±3,4	23,7±6,3	7	2/3	96,2	98,8	127,2	145,6
	3.7	-	10,7±2,6	35,4±7,6	8	1/3	90,1	110,5	196,1	123,3

-- no detachment; x=complete detachment or erosion: no possible measurement

* some mortars/renders were detached from the brick substrate before the salt cycles and therefore were not tested

Table 4. marks the first generation, 10=completely present; 1=not present.

Y₁= bodily detachments; Y₂=internal de-cohesion and crumbling, Y₃=external erosion/exfoliation and crypto efflorescences, Y₄=external efflorescences

	Render system	Y ₁	Y ₂	Y ₃	Y ₄	Y
1 st Generation	1.1	6,00	4,00	1,00	1,00	3,90
	1.2	2,00	2,00	4,00	5,00	2,70
	1.3	6,00	5,00	3,00	2,00	4,70
	1.4	2,00	2,00	2,00	3,00	2,10
	1.5	1,00	2,00	4,00	3,00	2,10
	1.6	6,00	10,00	9,00	4,00	7,60
	1.7	1,00	2,00	1,00	5,00	1,70
	1.8	7,00	5,00	4,00	10,00	6,10
	1.9	5,00	7,00	4,50	7,00	5,70
	1.10	8,00	7,00	4,00	4,00	6,50
	1.11	9,00	5,00	2,00	3,00	5,80
	1.12	10,00	x	x	x	10,00
	1.13	4,00	8,00	4,00	3,00	5,10
	1.14	7,67	8,00	3,00	4,00	6,47
2 nd Generation	2.1	2,00	5,33	4,00	5,67	3,77
	2.2	4,00	1,00	2,00	1,50	2,45
	2.3	10,00	x	x	x	10,00
	2.4	7,67	7,00	9,00	8,00	7,77
	2.5	7,33	6,00	4,00	8,00	6,33
	2.6	5,00	7,00	6,50	5,00	5,90
	2.7	4,00	2,50	3,50	8,00	3,85
3 rd Generation	3.1	1,00	2,00	2,00	2,67	1,67
	3.2	2,00	3,00	4,33	7,67	3,33
	3.3	3,67	2,50	7,00	7,00	4,32
	3.4	2,67	3,33	6,00	4,67	3,73
	3.5	2,33	1,00	2,00	7,33	2,37
	3.6	3,33	2,67	2,67	6,67	3,33
	3.7	3,00	3,00	2,33	3,00	2,87

x= complete detachment before the cycle occurred

Optimization of sustainable, NaCl-resistant and water-repellent renders through evolutionary experimental design

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Figure Captions

Figure 1. Structure of the render-brick system, two layers of render with composition, indicated by the experimental design, were applied on a full brick, resembling the historical bricks used in Venice.

Figure 2. Scans of some of the representative specimens before and after the crystallization cycles. The specimens demonstrate the major degradation forms and relative severity observed. Group i) complete detachment before the cycles (1.8b as 1.12, 2.3); ii) efflorescences without substantial loss of material (3.1c as 1.1, 2.1, 2.3, 3.2), iii) slight darkening of the surfaces due to the presence of hygroscopic salts (1.7 similar to 2.7); iv) diffuse presence of efflorescence and/or diffuse darkening of the surfaces (1.2 similar to 1.3, 1.4, 1.11, 2.6); v) presence of surface delamination without internal de-cohesion (1.13 similar to 1.14, 1.5); vi, vii) diffuse delamination and spalling with internal decohesion (es 3.4 similar to 1.6, 1.8, 1.9, 1.10, 2.4, 2.5, 3.1a and b, 3.5, 3.6, 3.3); vii) limited presence of surface delamination but the presence of subefflorescence and reduced internal de-cohesion (3.7 similar to 2.2) .

Figure 3. Absolute lightness variation ΔL^* and total colour variation ΔE with specular component included in the first generation. The whole set 1.12 was not measured, as the specimens detached before the exposure.

Figure 4. Weight variation during the exposure measured on dried specimens from the first generation at each cycle

Figure 5. Absolute lightness variation ΔL^* and total colour variation ΔE with specular component included in the second generation.

Figure 6. Weight variation during the exposure measured on dried specimens from the second generation at each cycle

Figure 7. Absolute lightness variation ΔL^* and total colour variation ΔE with specular component included in the third generation.

Figure 8. Weight variation during the exposure measured on dried specimens from the third generation at each cycle

Figure 9. The evolution across generations of the mean response function Y representing the target of the optimization (a) and of the mean response variables Y_i , $i=1, \dots, 4$ representing the selected parameters of decay (b)

Figure 10. EBN-design response values ordered by generations. The ID experimental point of specimens with response function Y smaller than 3 is reported.

Figure 11. The TAN model estimated at the end of the EBN-design process.

Figure 12. The boxplots of the response variable Y conditioned to each value of the design variables X_i , $i=1, \dots, 4$

Figure 13. The dotplot of the response variable Y conditioned to recycled aggregate and percentage of air entraining.

Figure 1
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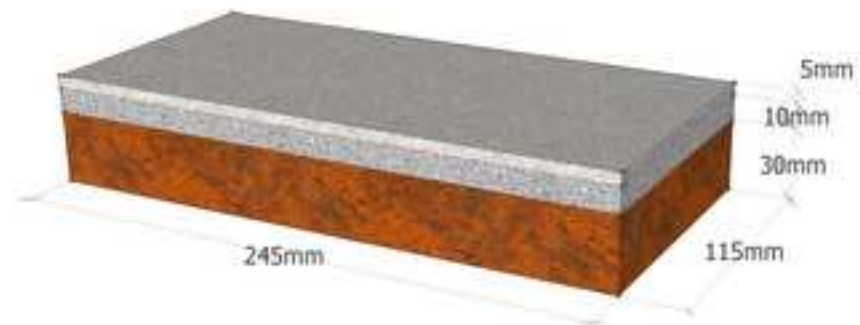


Figure 2
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i (1.8b)



ii (3.1c)



iii (1.7b)



iv (1.2b)



v (2.6 a)



vi (1.13 c)



vii (3.4 c)



viii (3.7 b)



Figure 3
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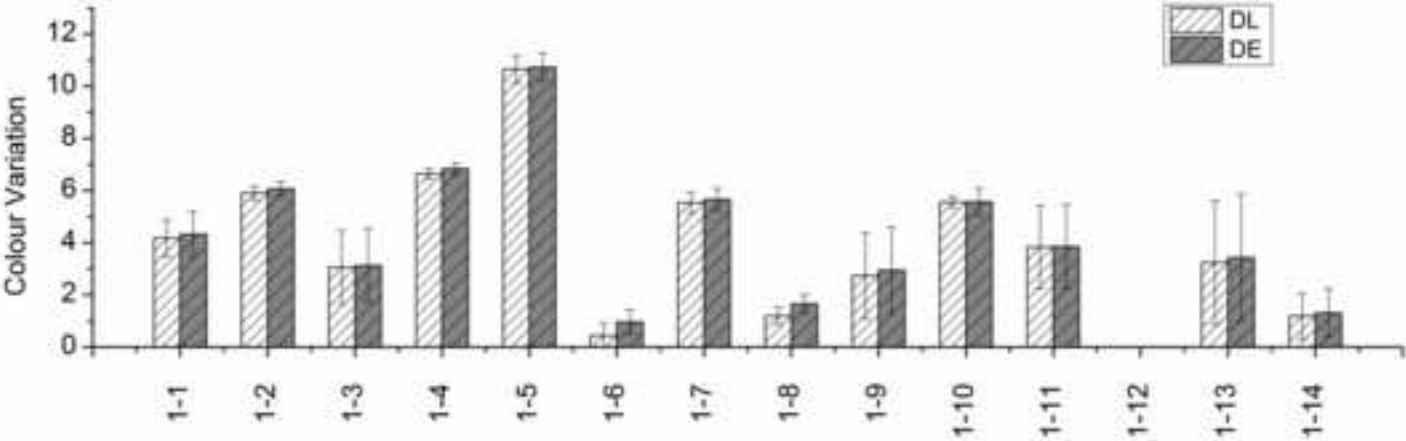


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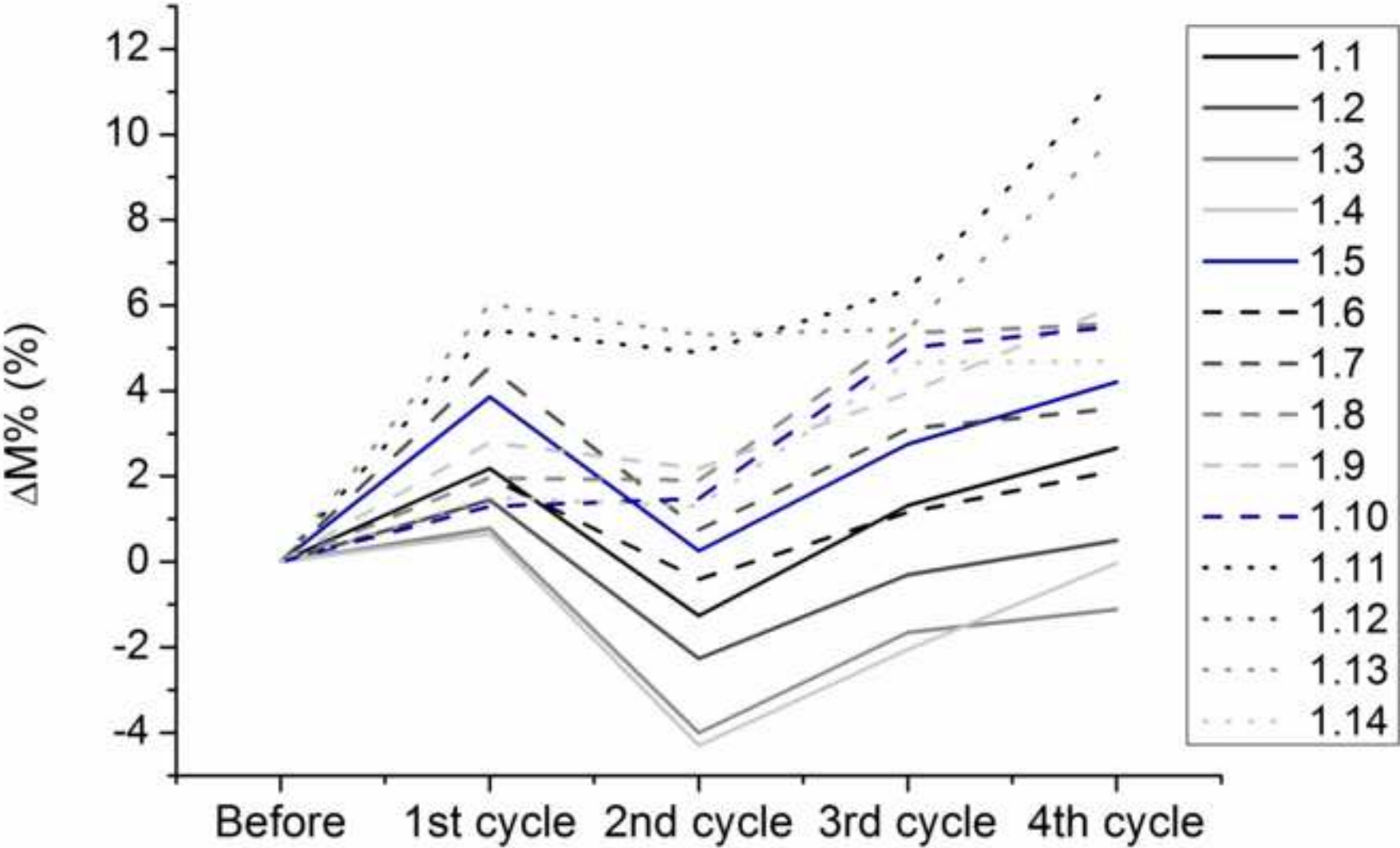


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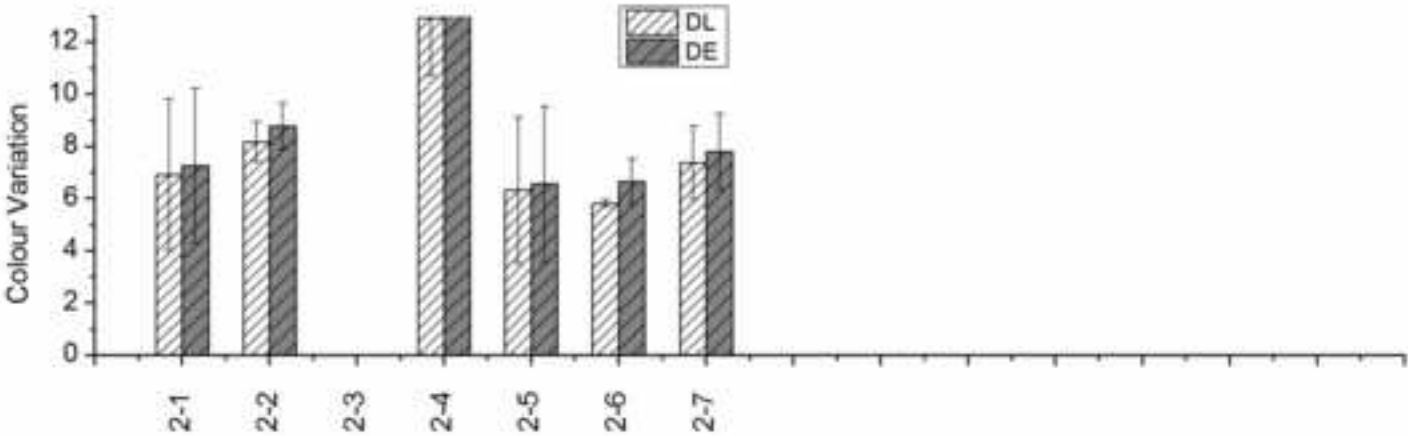


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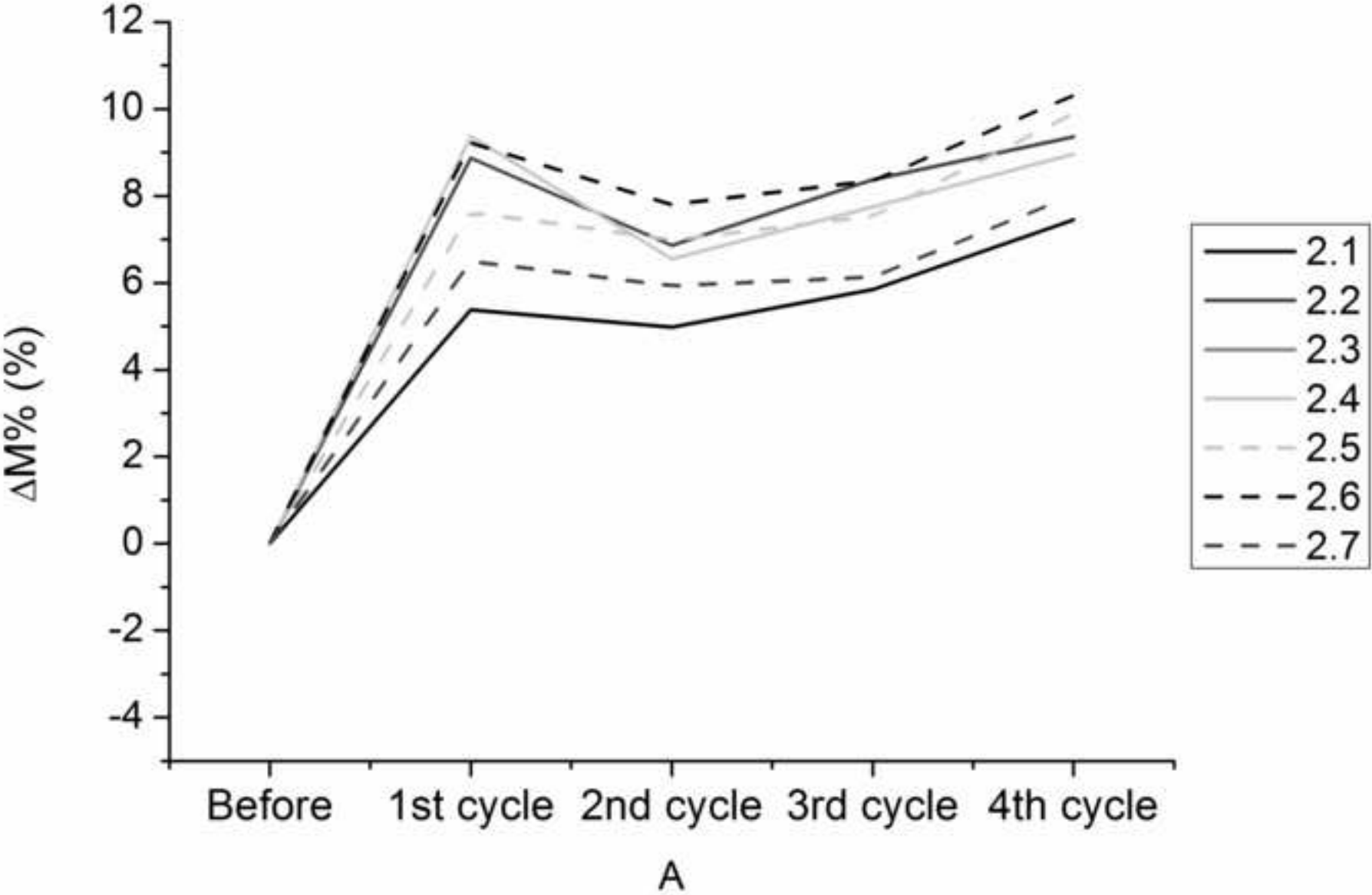


Figure 7

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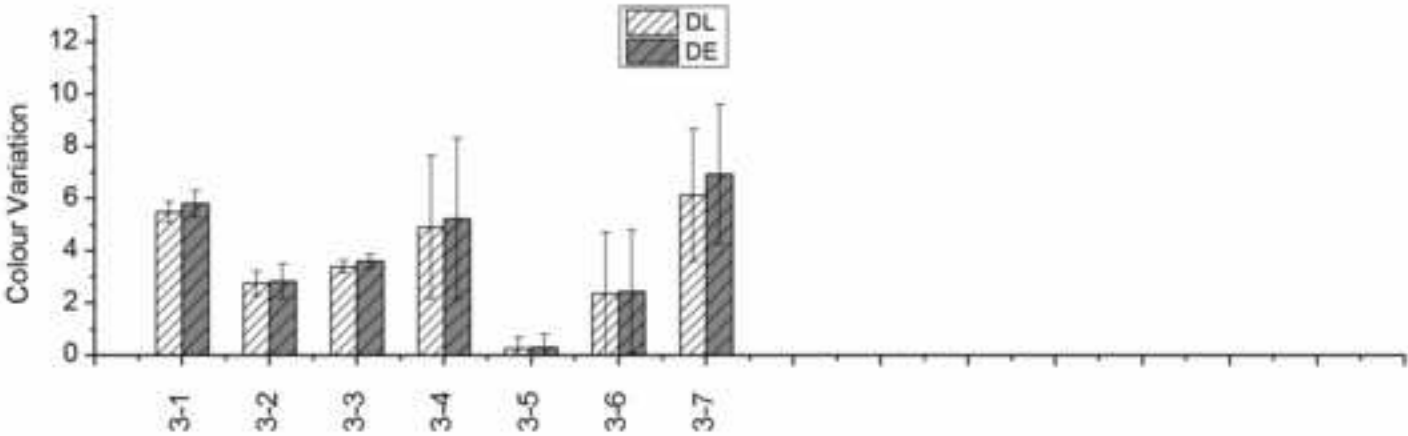


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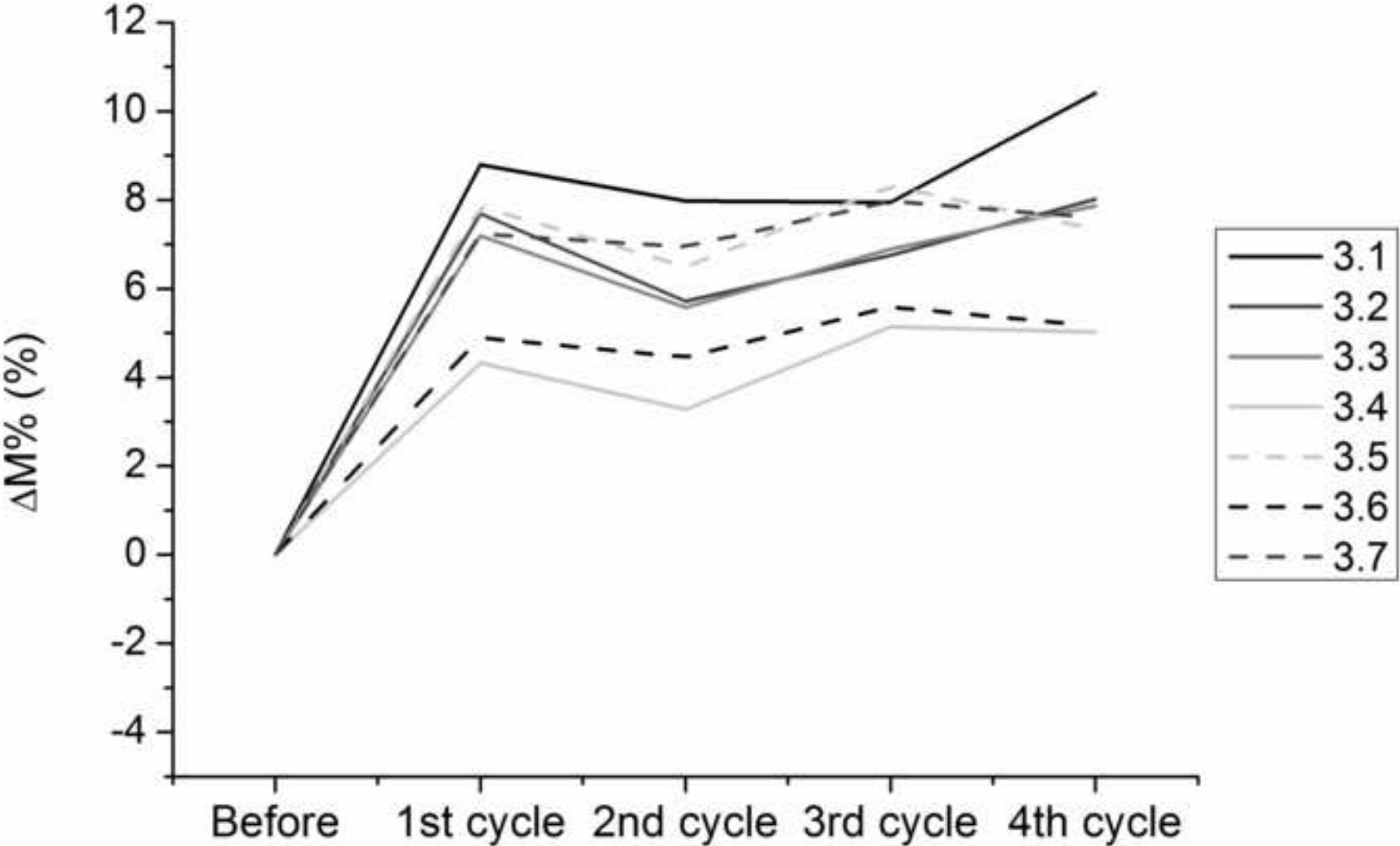
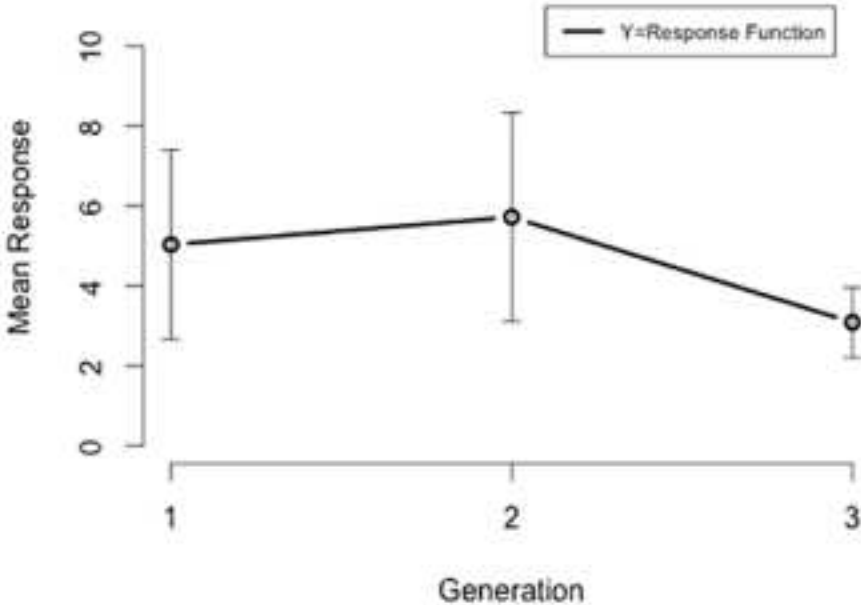
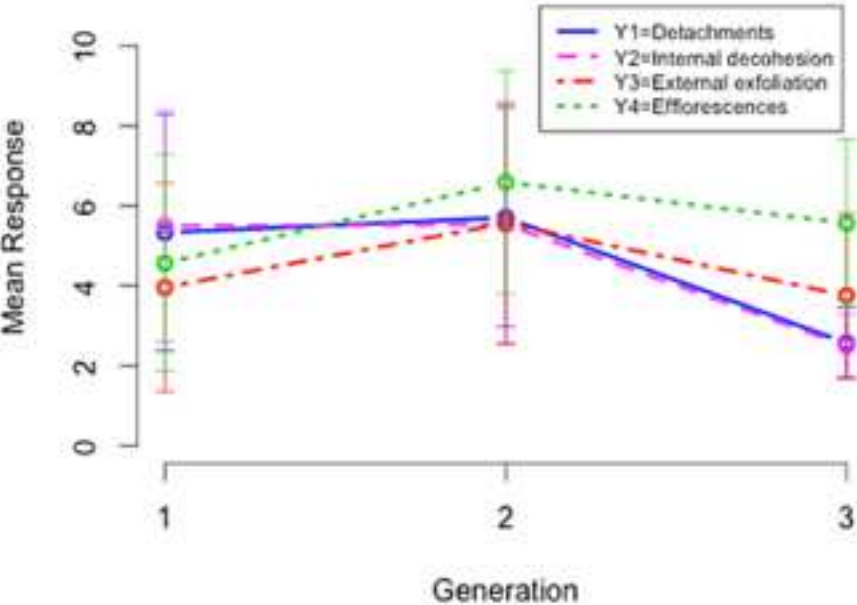


Figure 9

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(a)



(b)

Figure 10
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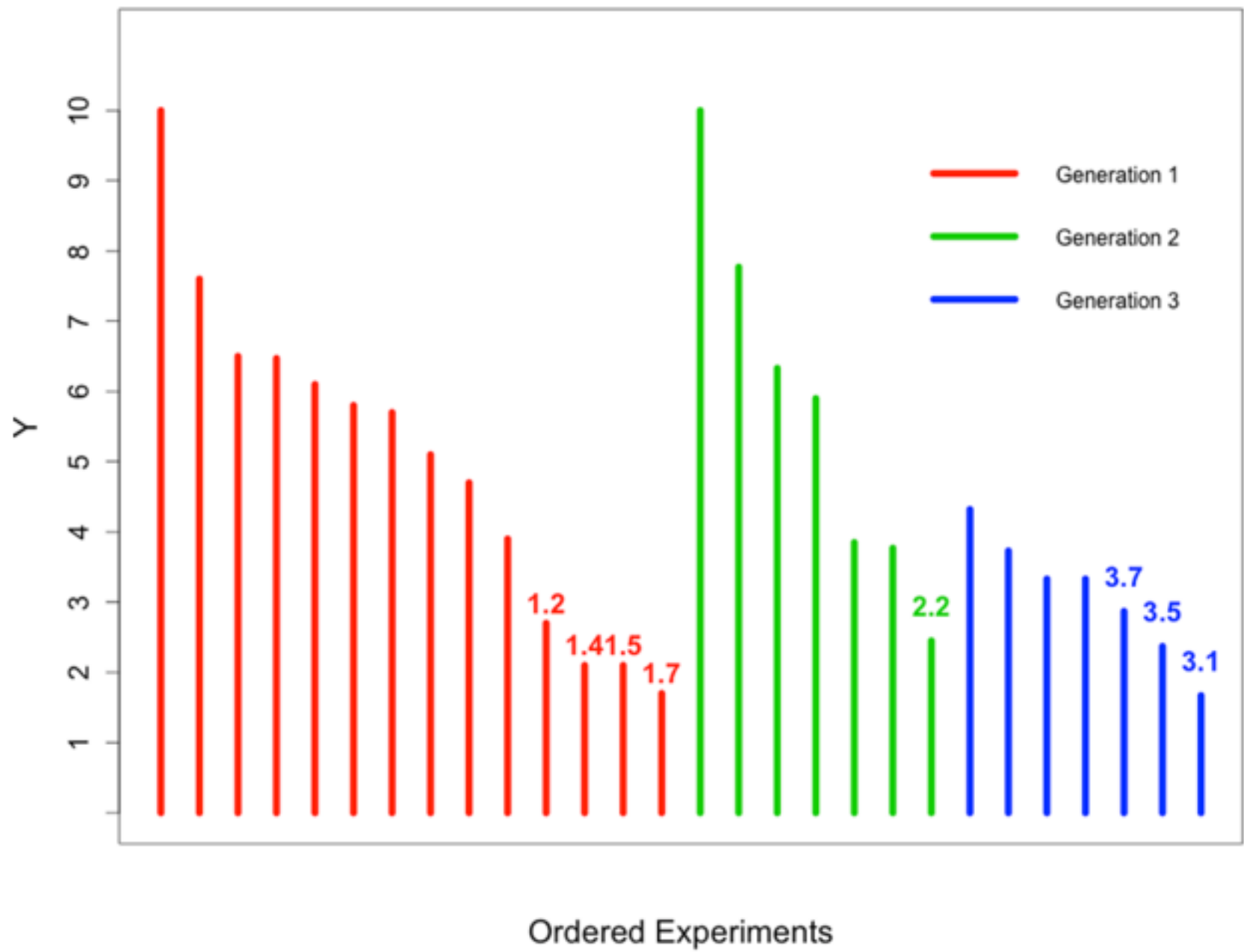


Figure 11
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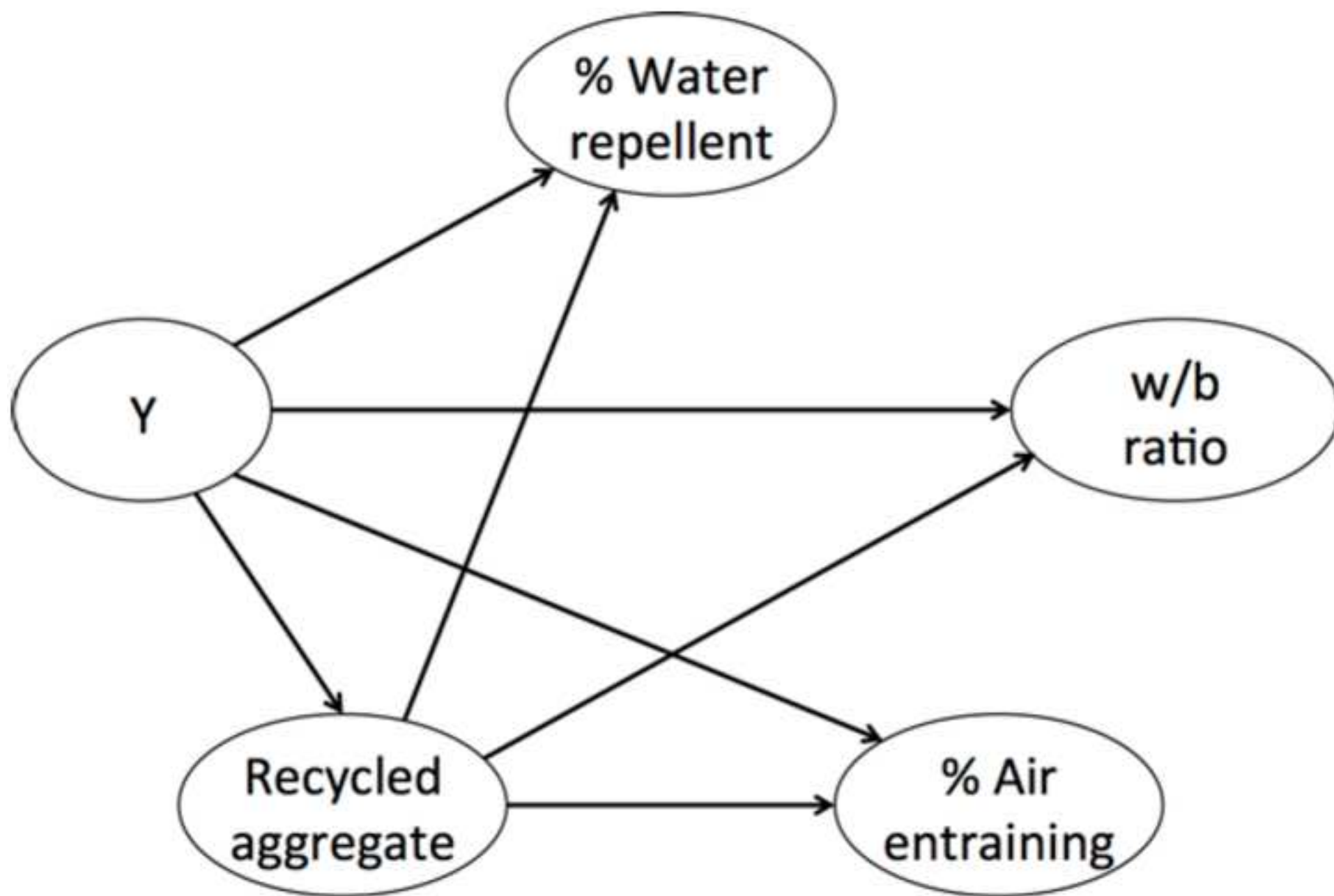


Figure 12
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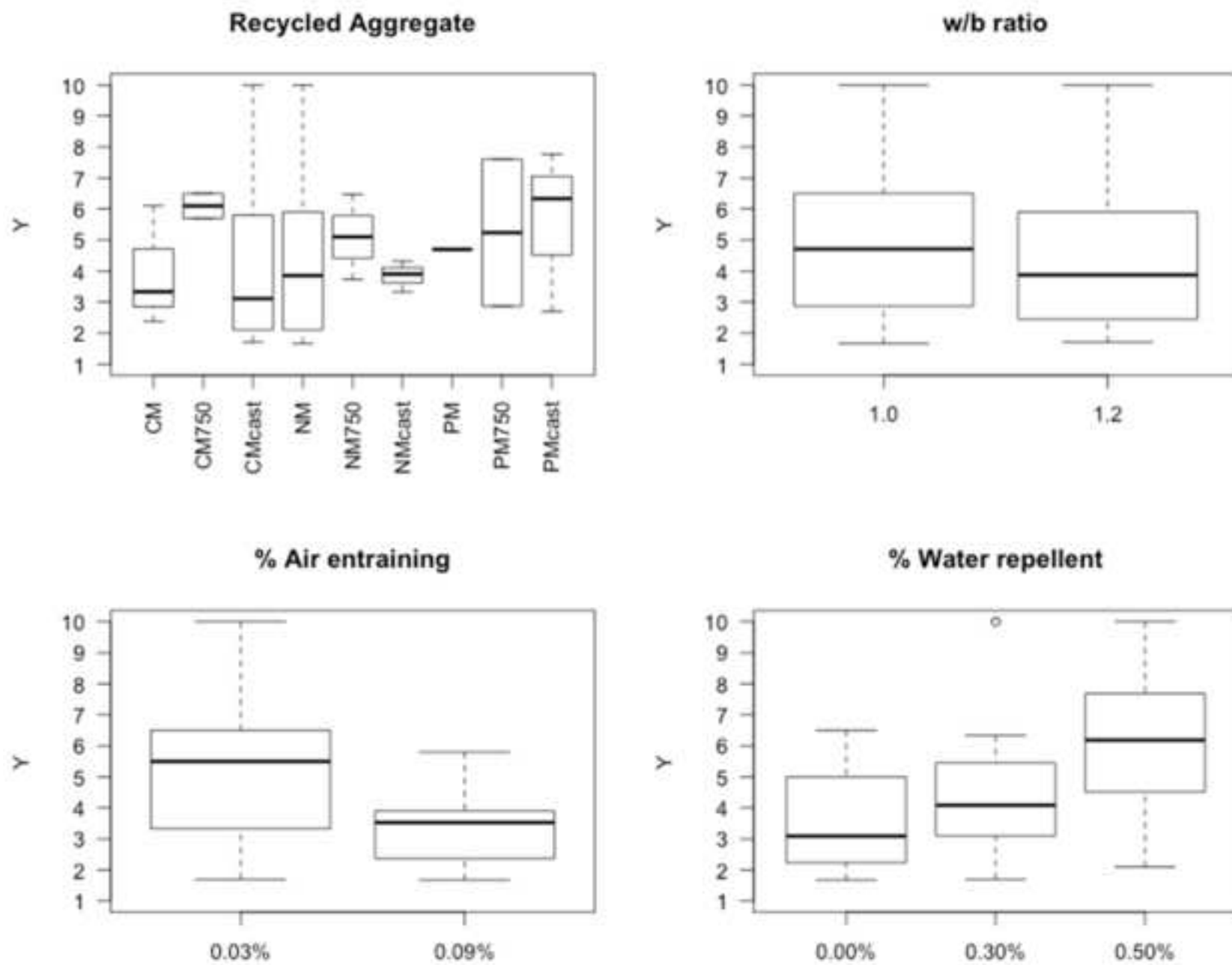


Figure 13
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